



The challenge of rainfall estimation and prediction across scales  
**LEARNING FROM PATTERNS**



Efi Foufoula-Georgiou  
University of California, Irvine

Langbein Lecture  
2019 AGU

# Walter Langbein – the keen observer



Courtesy of Bill Dietrich

# Walter Langbein – the theoretician

## The Concept of Entropy in Landscape Evolution

By LUNA B. LEOPOLD and WALTER B. LANGBEIN

1962

THEORETICAL PAPERS IN THE HYDROLOGIC AND  
GEOMORPHIC SCIENCES

GEOLOGICAL SURVEY PROFESSIONAL PAPER 500-A



STEADY STATE IN THE STOCHASTIC THEORY  
OF LONGITUDINAL RIVER PROFILE DEVELOPMENT

A. E. SCHEIDECKER and W. B. LANGBEIN

1966

## River Meanders— Theory of Minimum Variance

By WALTER B. LANGBEIN and LUNA B. LEOPOLD

PHYSIOGRAPHIC AND HYDRAULIC STUDIES OF RIVERS

GEOLOGICAL SURVEY PROFESSIONAL PAPER 422-H

*The geometry of a meander is that of a random walk whose most frequent form minimizes the sum of the squares of the changes in direction in each unit length. Changes in direction closely approximate a sine function of channel distance. Depth, velocity, and slope are adjusted so as to decrease the variance of shear and the friction factor in a meander over that in an otherwise comparable straight reach of the same river.*



UNITED STATES GOVERNMENT PRINTING OFFICE, WASHINGTON : 1966

# Walter Langbein – the practitioner

Transactions, American Geophysical Union

Volume 30, Number 6

December 1949

1949

## ANNUAL FLOODS AND THE PARTIAL-DURATION FLOOD SERIES

W. B. Langbein

Abstract -- Flood data are ordinarily listed either in annual-flood series or in a partial-duration series. If the expectancy of a flood in the duration series  $\epsilon$  is known, then the probability of that flood being an annual flood is shown to be  $e^{-\epsilon}$ . From this relationship it is possible to transform recurrence intervals in the partial duration series to those in the annual-flood series. It is shown that for equivalent floods, the recurrence intervals in the partial-duration series are smaller than in the annual-flood series, but that the difference becomes inconsequential for floods greater than about five-year recurrence interval.

$$\lim_{n \rightarrow \infty} \left[ 1 - \frac{\epsilon}{n} \right]^n = \exp[-\epsilon]$$

Transform recurrence intervals in the partial duration series to those in the max annual flood series

# Walter Langbein – the science communicator

## A PRIMER ON WATER

Luna B. Leopold  
Walter B. Langbein

1960

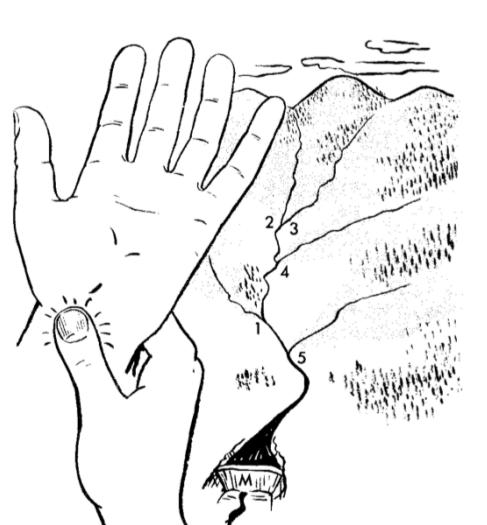
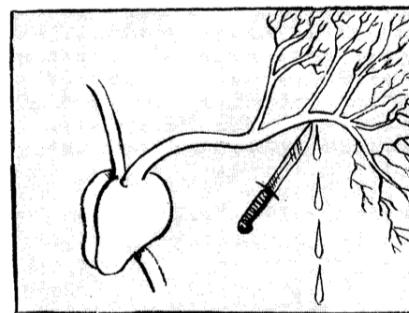
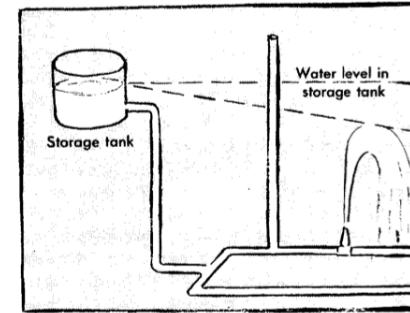


FIGURE 16—Tributaries in a natural river basin.

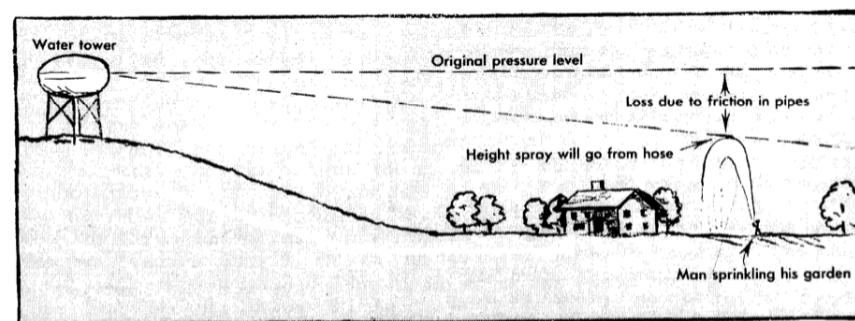
A.—BLOOD CIRCULATION SYSTEM



B.—STANDPIPE AND DISTRIBUTION SYSTEM



C.—CITY DISTRIBUTION SYSTEM



D.—AQUIFER UNDER ARTESIAN PRESSURE

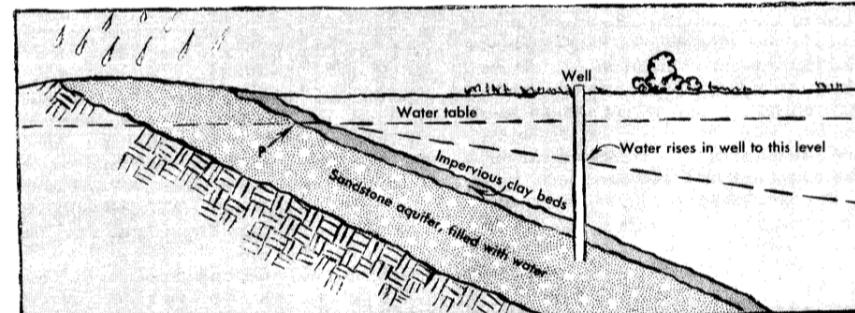


FIGURE 6—Examples of fluids under pressure.

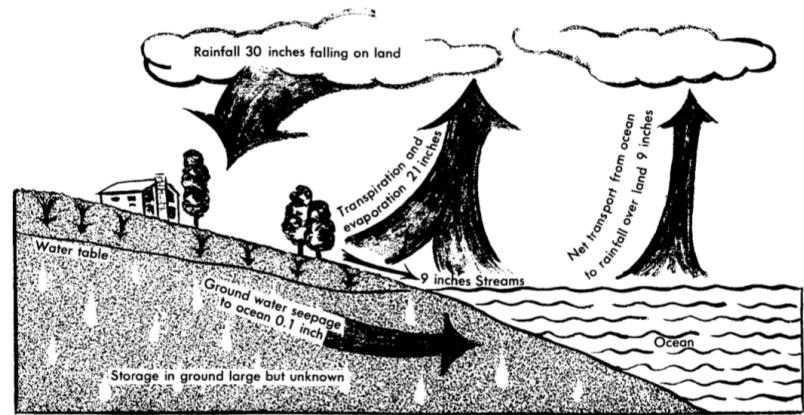
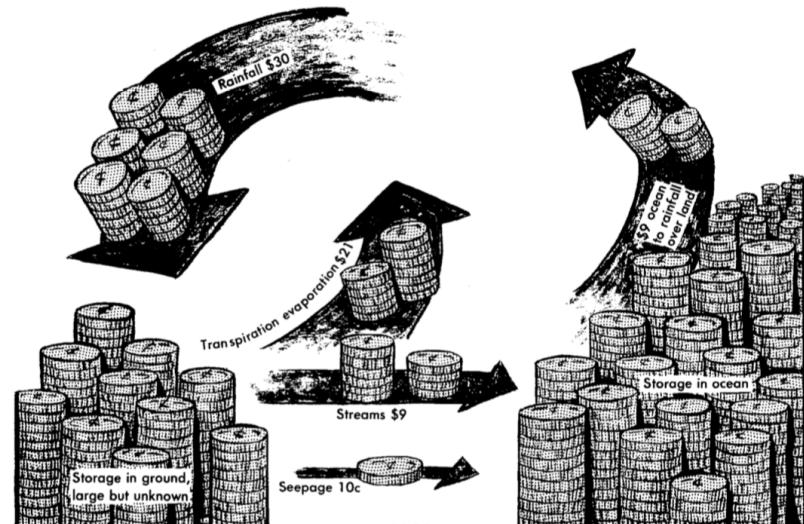


FIGURE 13—Water budget over the continental United States.



EXPRESSED AS COINAGE AS AN EXAMPLE

# Walter Langbein – the visionary

USGS Water Program

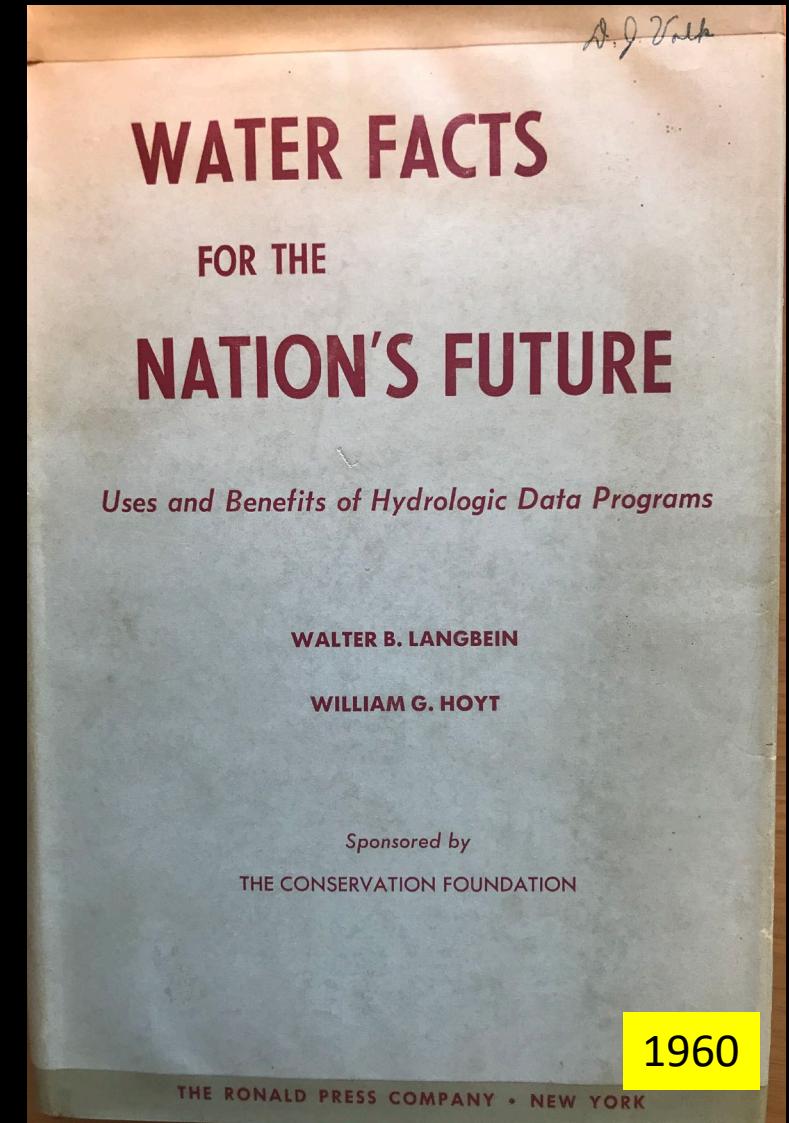
National network of hydrologic data

Flood Insurance Program

Intern. Hydrologic Decade (1965-1974; UNESCO)

Intern. Association for Hydrologic Sciences (IAHS)

World Meteorological Organization (WMO)



# Walter Langbein – the visionary

USGS Water Program

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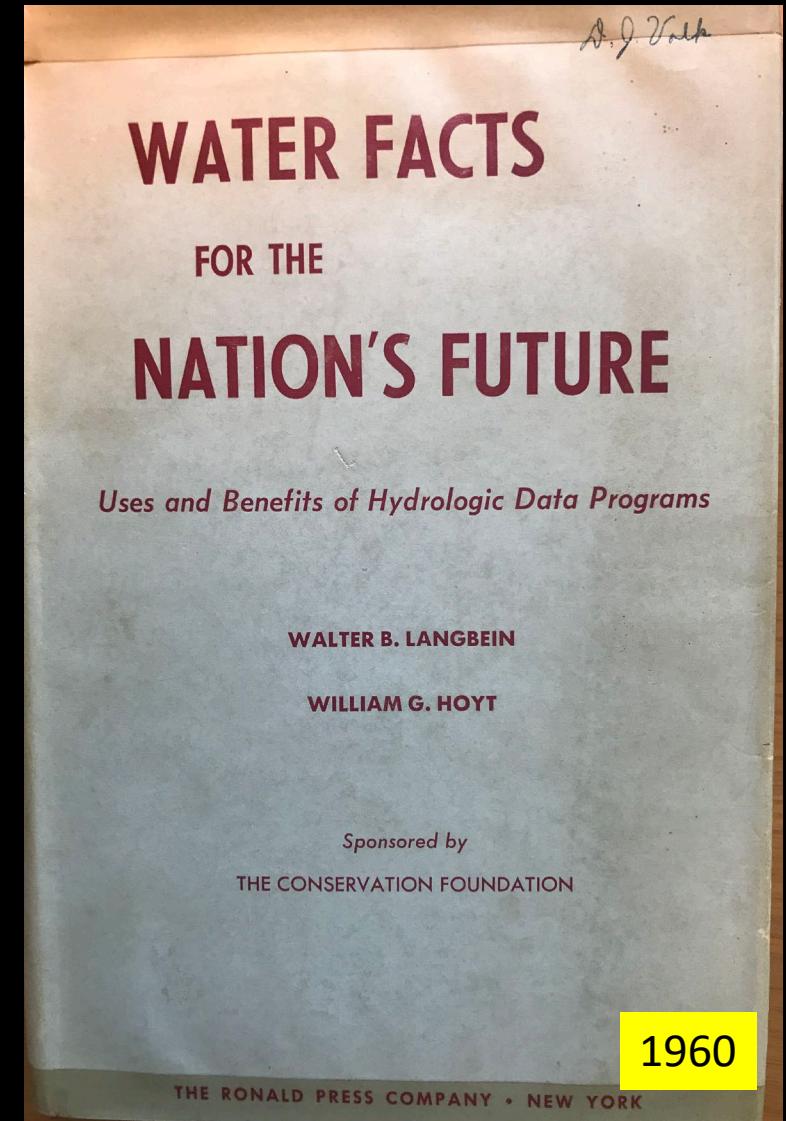
Intern. Hydrologic Decade (1965-1974; UNESCO)

Intern. Association for Hydrologic Sciences (IAHS)

World Meteorological Organization (WMO)

... “*Science is built up with facts, as a house is with stones. But a collection of facts is no more a science than a heap of stones is a house*”

– HENRY POINCARÉ



**VHP Scope****Virtual Hydrologists Project (VHP)**

## Walter B. Langbein (1907–1982)



Walter Langbein was dedicated to science that benefited the public good and was known as a versatile and talented hydrologist. Born in New Jersey in 1907, he obtained his civil engineering degree in 1931 from Cooper Union while attending night classes and working for a construction company. In 1935, he joined the U.S. Geological Survey (USGS) in Albany, but within a year he was transferred to the national headquarters, where he served as a research engineer and senior scientist for the rest of his life.

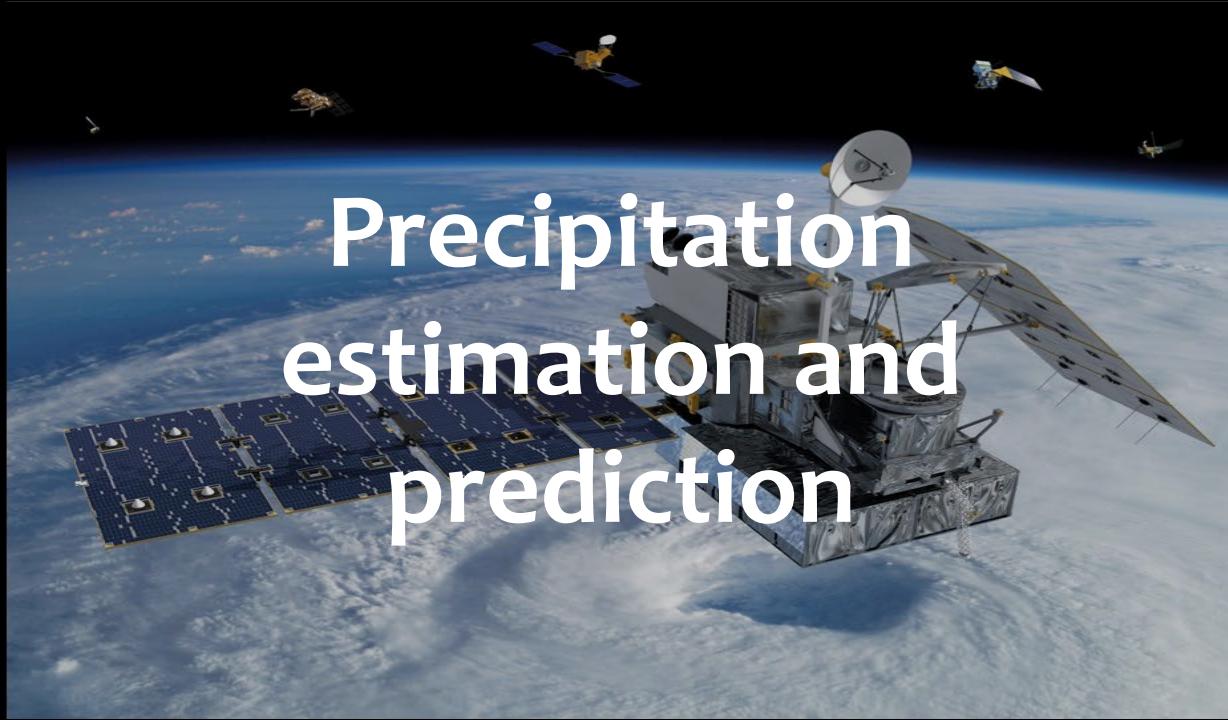
Langbein's contributions to the field of hydrology are extensive. His 1955 book, *Floods*, with W. G. Hoyt, was instrumental in the development of the National Flood Insurance Program. He developed methods in flood hydrology and the application of statistical methods to the analysis of hydrologic data. He studied evaporation from water bodies, varying from small stock ponds on the Navajo Reservation to Lake Mead. He studied infiltration in stream channels and its effect on flood wave passage. As early as 1944, Langbein was interested in the use of hydrologic data for the estimation of climate change. With Luna Leopold, he worked to establish a national program in water resources research, which led to the development of the

Office of Water Resources Research within USGS. Langbein was instrumental in founding the International Hydrologic Decade (1965–1974), and his participation in the decade focused attention on the determination of the worth of hydrologic data for water resources development. The theory of scientific network design for water data networks evolved from his work.

Walter Langbein was awarded the William Bowie and Robert E. Horton Medals from the American Geophysical Union, the J. C. Stevens Award of the American Society of Civil Engineers, the Distinguished Service Award of the Department of the Interior, and the Warren Prize of the National Academy of Sciences. He and Professor Korzun of the Soviet Union were named corecipients of the International Prize in Hydrology, awarded by the International Association of Hydrologic Sciences.

Langbein once remarked that one's professional career is a race against obsolescence. As noted by others, any hydrologist would claim that Walter B. Langbein clearly won the race.





Precipitation  
estimation and  
prediction



Tributary and  
distributary Networks



Meandering  
and braided rivers

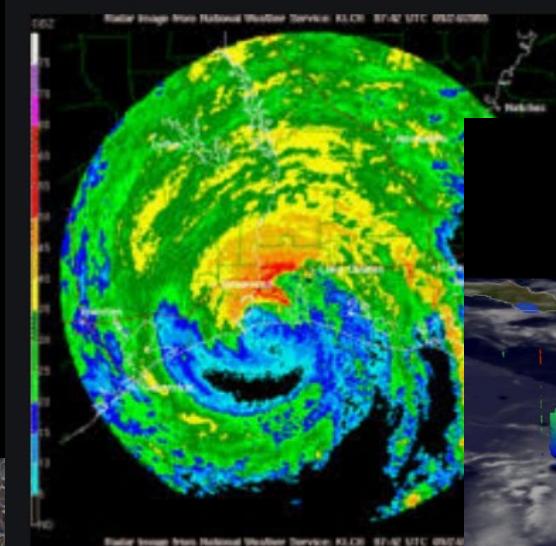


Human dominated  
landscapes

# Driving scientific questions

1. How do physics organize **precipitation** systems across spatio-temporal scales?
2. How can this organization be used to improve **estimation, modeling and prediction** at local to global scales?
3. How can we gain mechanistic **process** understanding from **landscape patterns** and form?
4. How do **perturbations** propagate through a complex ecohydrological system determining its vulnerability to change?

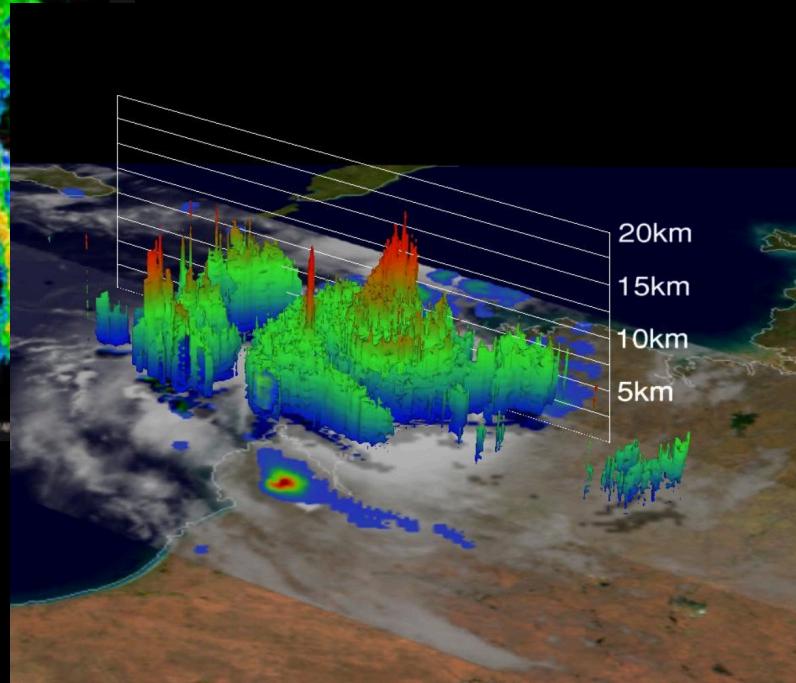
# Our data: multi-sensor observations



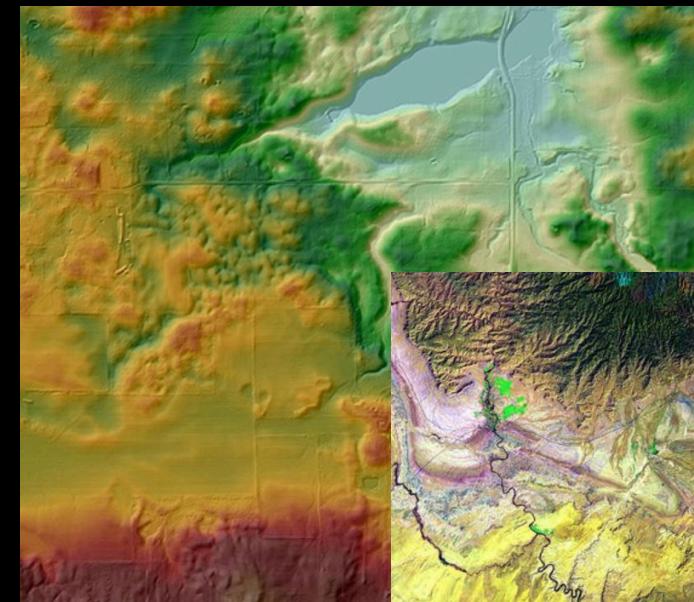
NEXRAD



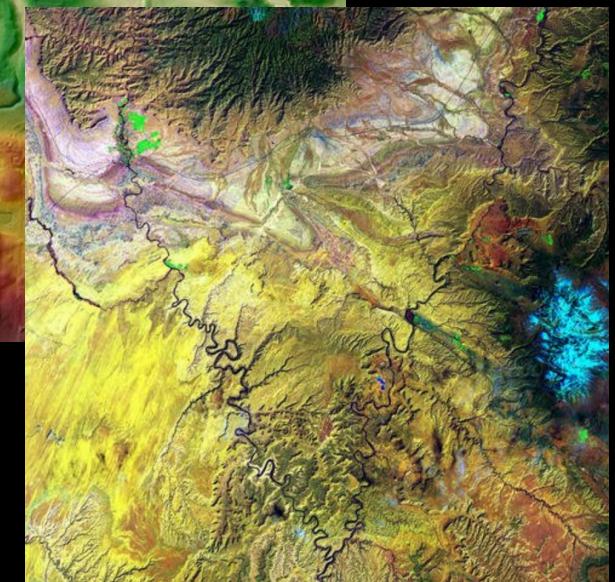
Rainguage



TRMM/GPM

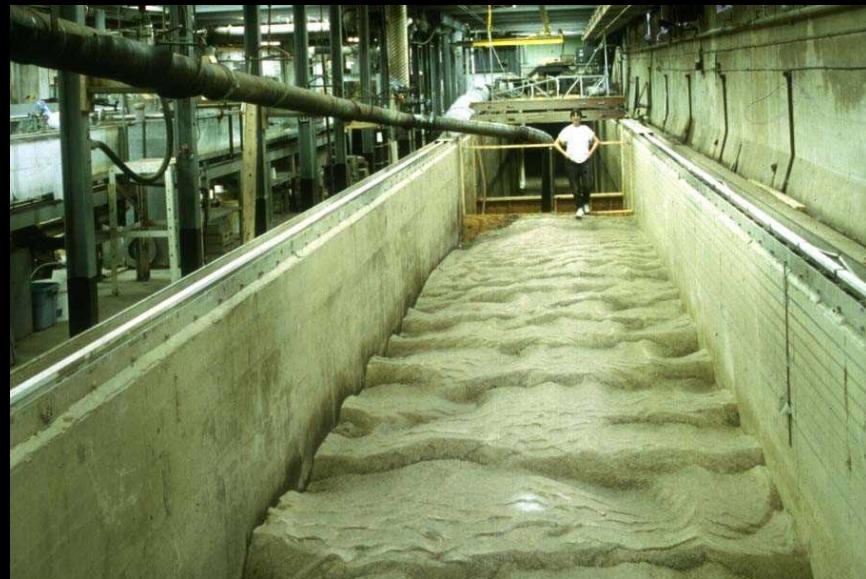
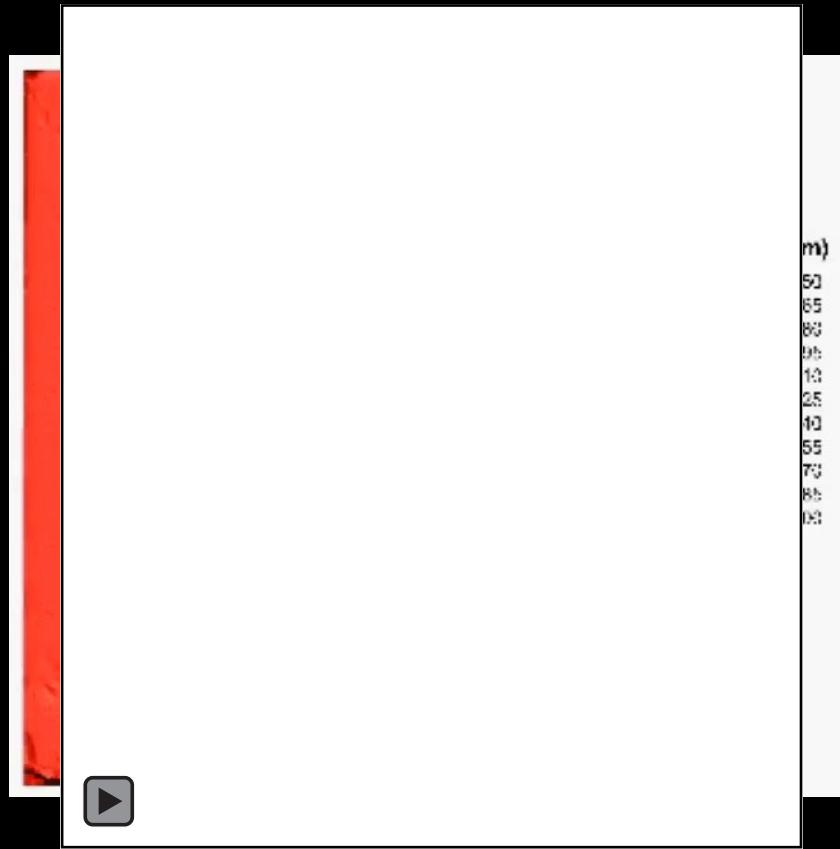
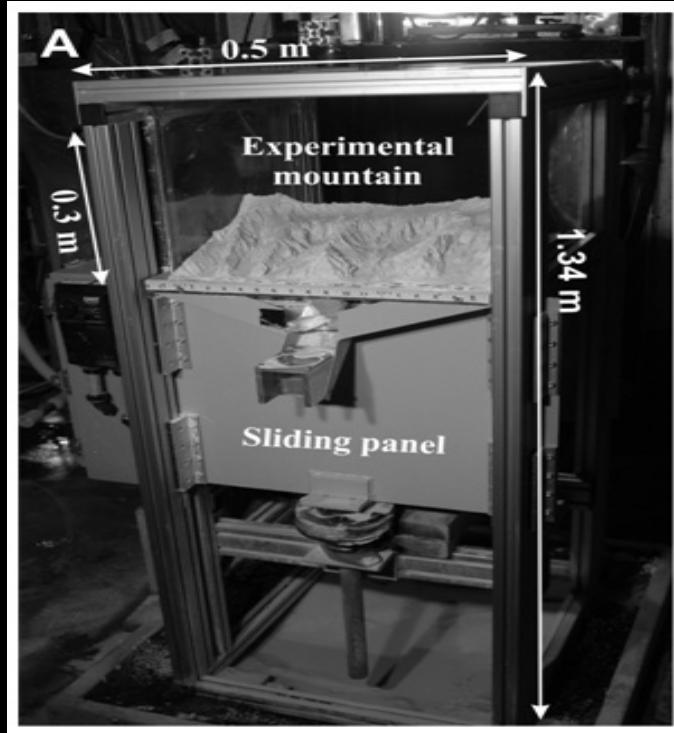


LIDAR

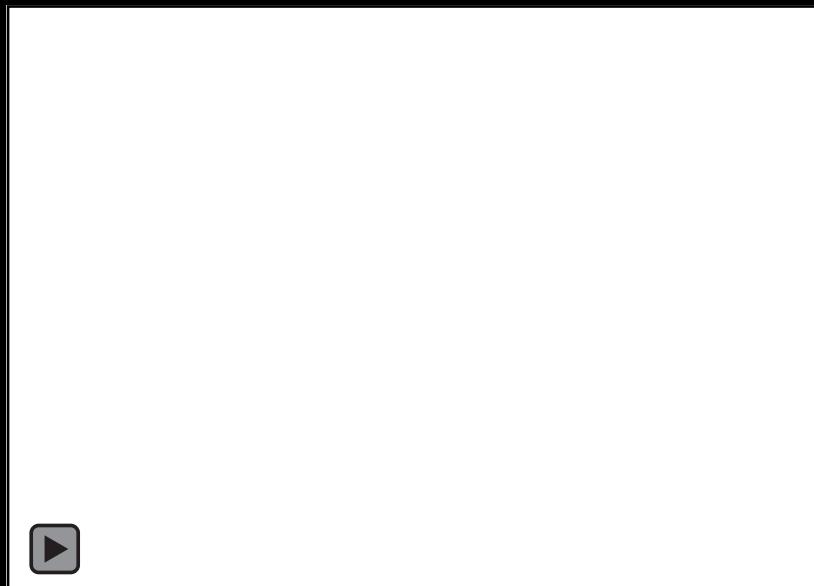


LANDSAT

# Our data: Lab experiments



St. Anthony Falls Laboratory, Univ. of Minnesota  
Arvind Singh, Vamsi Ganti, Victor Sapozhnikov



# Across processes & scales

Pebble scale



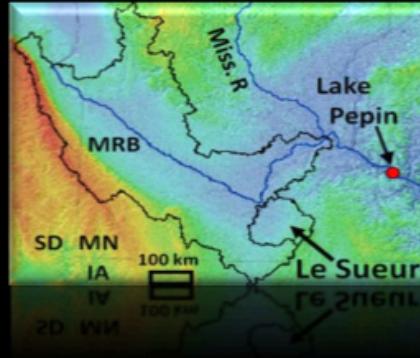
- Stochastic transport
- Semi-mech to bed load
- Bridging theory and exp

Plot scale / single river scale



- Meander bends
- Cutoff effects
- Residence/travel time
- Hillslope transport

Watershed scale



- Landscape evolution
- Cascade of hydrology to ecology/water quality
- Wetlands for water quality

Continental scale



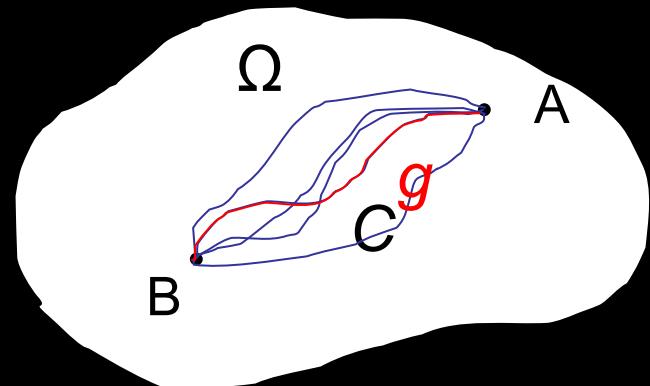
Global scale



- Precipitation retrieval
- Trends in extremes
- Large scale dynamics to local precipitation

# From raw data to quantitative patterns ...

$\Omega$ : Surface described by the regularized LIDAR data through nonlinear filtering.



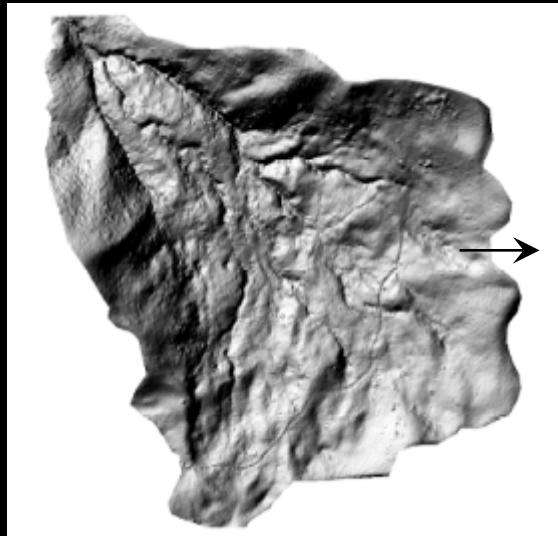
**Cost function**  $\psi$ : cost of traveling on the curve C.

**Geodesic curve** curve with minimal cost, among all possible curved connecting the two point  $a$  and  $b$

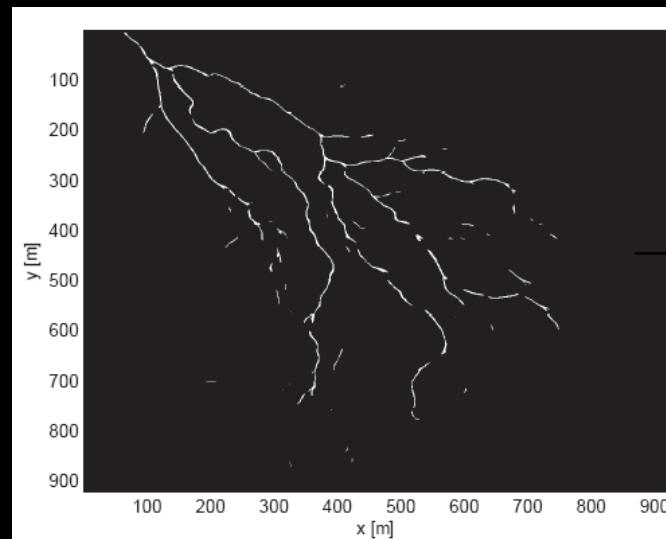
$$g(a,b) := \arg \left( \min_{C \in \Omega} \int_a^b \psi(s) ds \right)$$

Geomorphologically  
Inspired image  
Processing

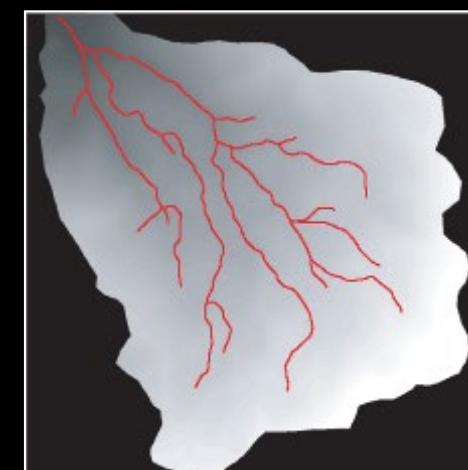
Example of river network extraction on Skunk Creek, South Fork Eel River basin, CA



Original data



Likely channelized pixels



Extracted channels through  
geodesics

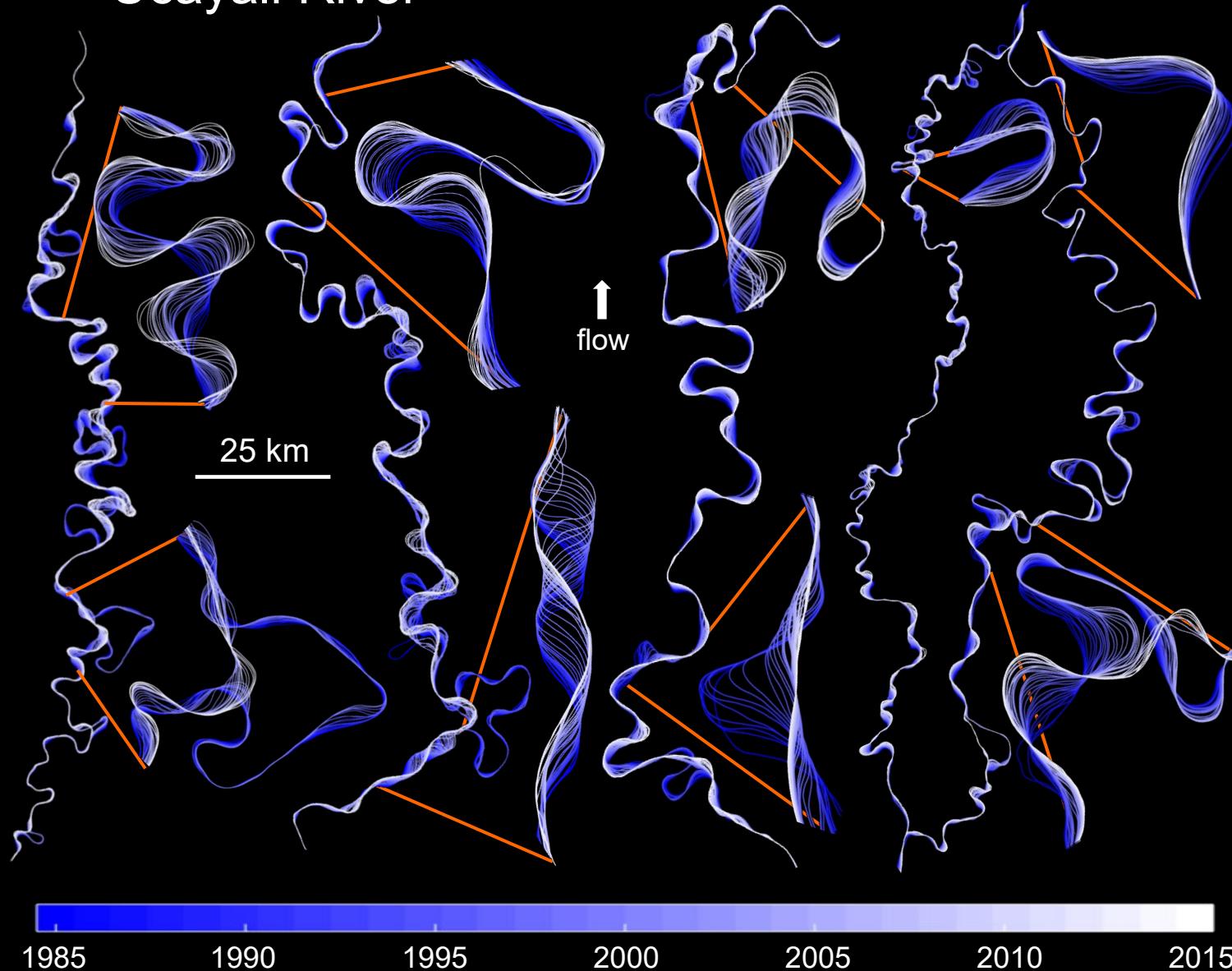
GeoNet Toolbox



Paola Passalacqua's  
Group

Passalacqua et al.  
2010, 2012

# Ucayali River



Mining  
Landsat  
archives

to resolve bend  
scale river dynamics

RivMAP Toolbox

↓  
Jon Schwenk's group

# The life of a meander bend...

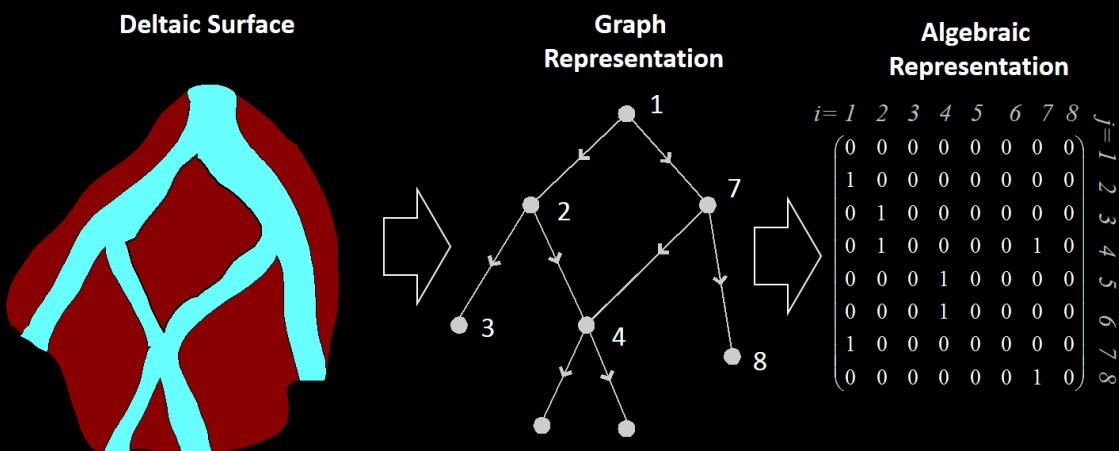
Does the shape of an oxbow lake  
carry the signature of its forming  
dynamics?

Does process nonlinearity express  
itself on the static planform geometry?

How far upstream and downstream  
do cutoff perturbations propagate?

Schwenk et al., 2015, 2016a,b, 2017

# The complexity of river deltas...



## Entropy and optimality in river deltas

Alejandro Tejedor<sup>a</sup>, Anthony Longjas<sup>a</sup>, Douglas A. Edmonds<sup>b,c</sup>, Ilya Zaliapin<sup>d</sup>, Tryphon T. Georgiou<sup>e</sup>, Andrea Rinaldo<sup>f,g,1</sup>, and Efi Foufoula-Georgiou<sup>a,1</sup>

PNAS

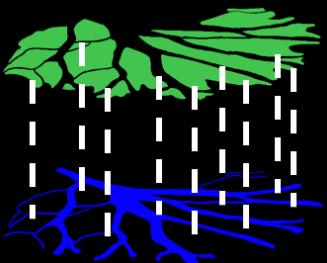
Tejedor et al., 2015a,b, 2016, 2017a,b, 2018

# The complexity of river deltas...



Coupled processes

Multi-layer  
Networks



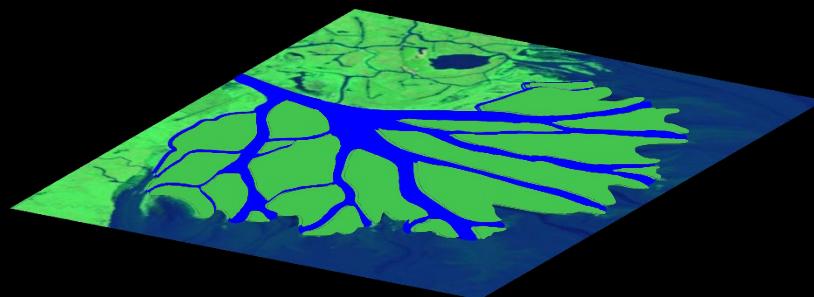
$$\mathcal{A} = \begin{pmatrix} A^C & I \\ I & A^I \end{pmatrix}$$

*Supra-Adjacency Matrix*



$$\mathcal{L} = \begin{pmatrix} D_C L^C + D_X I & -D_X I \\ -D_X I & D_I L^I + D_X I \end{pmatrix}$$

*Supra-Laplacian Matrix*



Tejedor et al., 2015a,b, 2016, 2017a,b, 2018

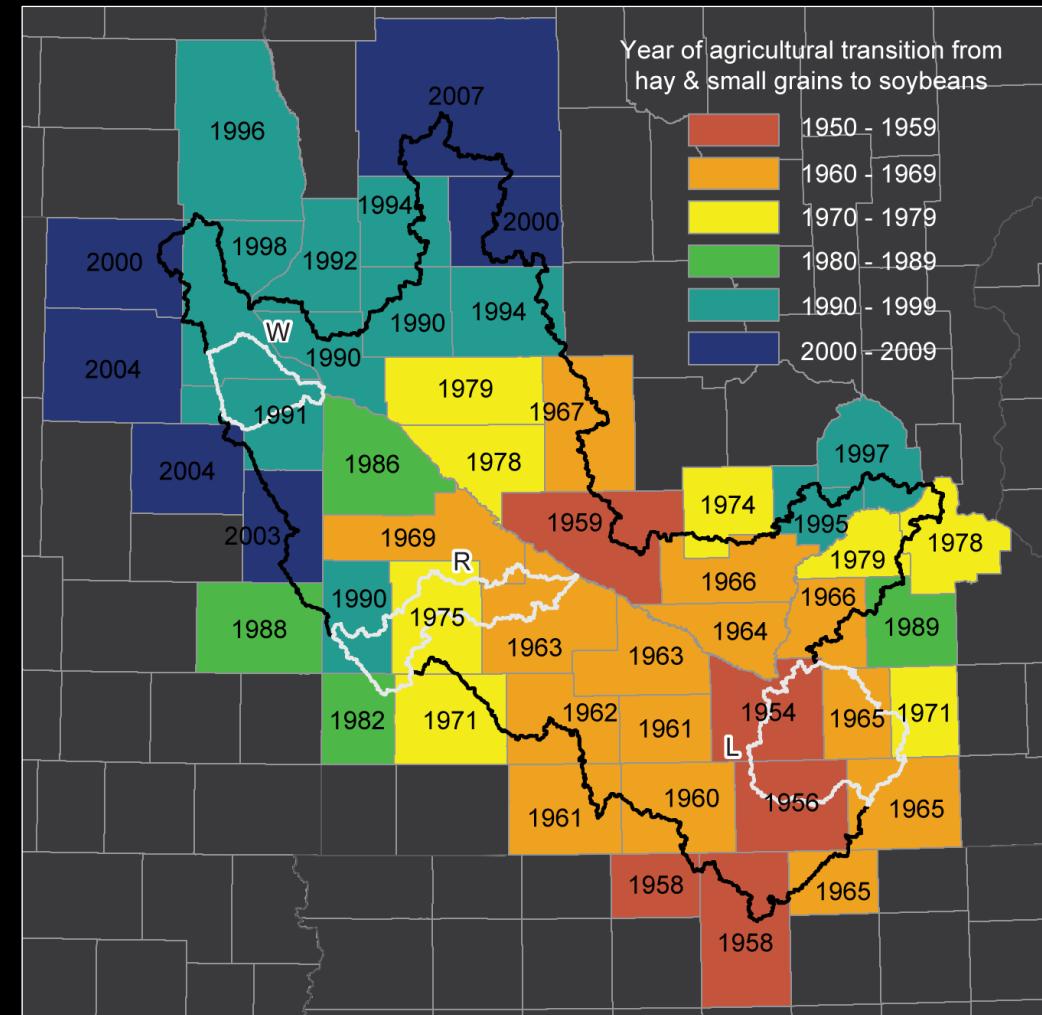
# Intensively managed landscapes

Transition from hay and small grains to soybeans changed the eco-hydrology of the system

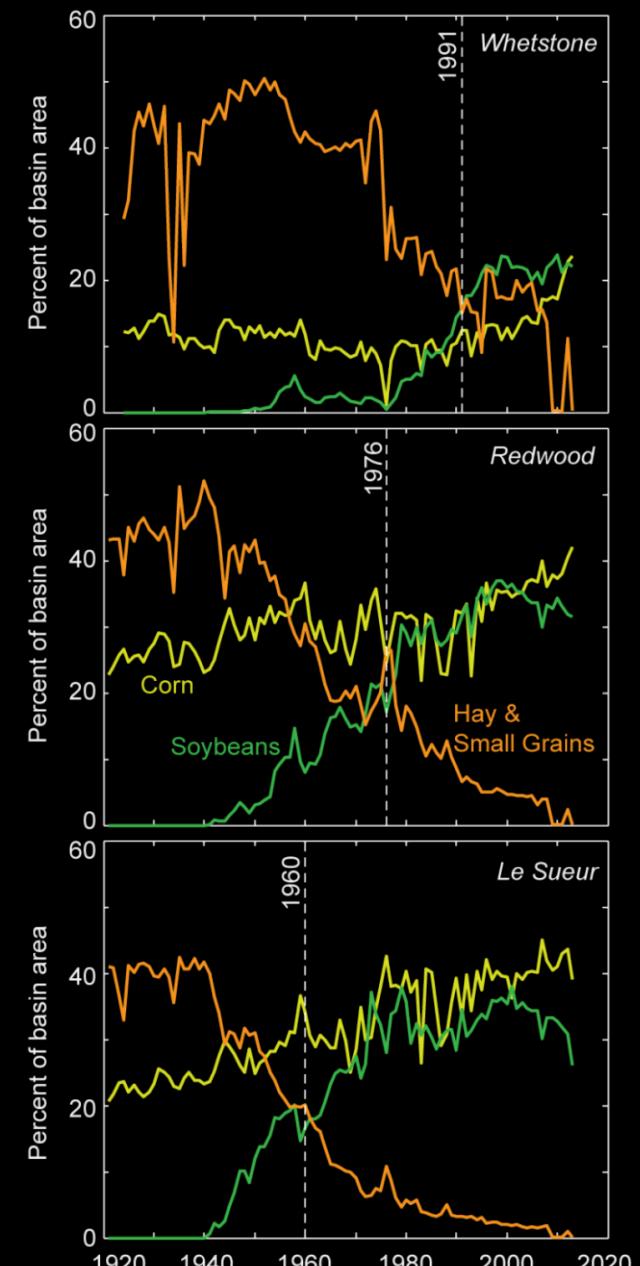


(Photo: S. Levine, B. Call, P. Belmont)

w/ Belmont, Hansen, Grant, Wilcock, Finlay



Foufoula-Georgiou et al., 2015, WRR



Czuba et al., 2014, 2015, 2017

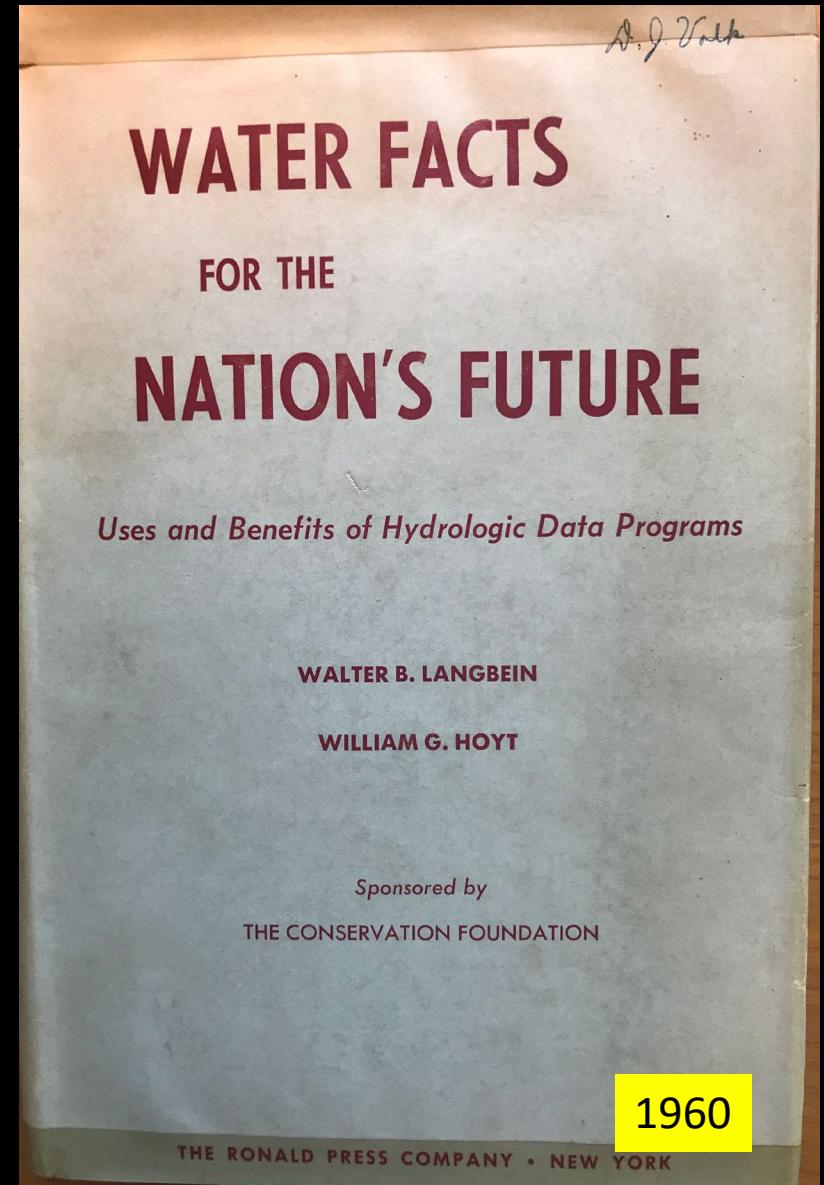
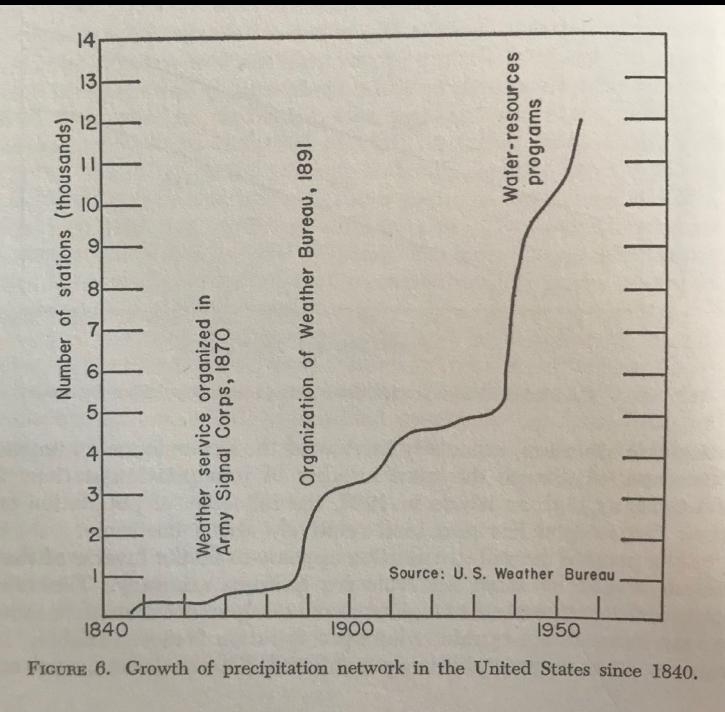
**Today's focus:**

# RAINFALL

1. Global estimation from space
2. Seasonal prediction

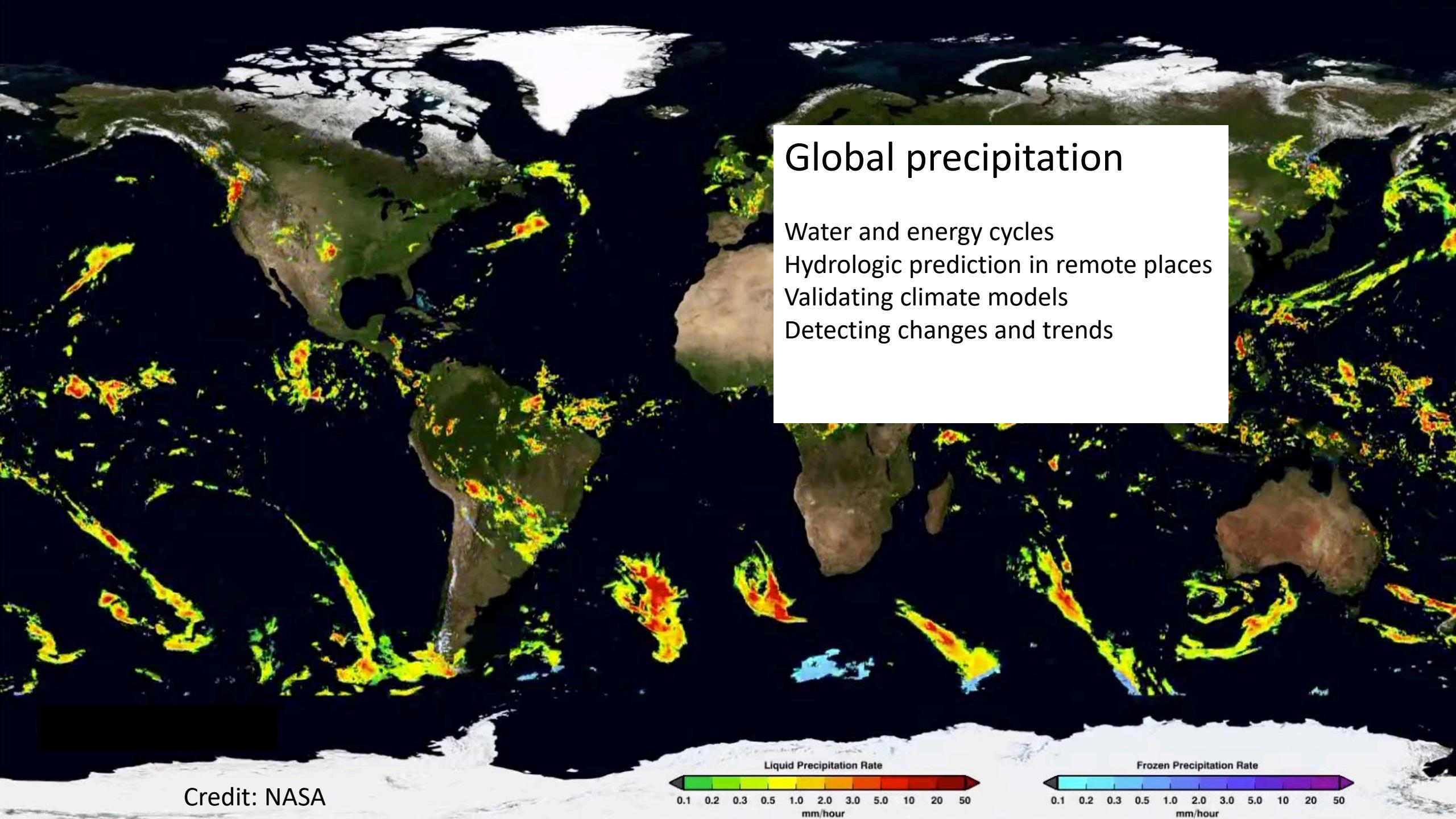
# Walter Langbein – the visionary

“... Precipitation stations are more numerous where people live ... than where precipitation is more variable and therefore most important to record.”

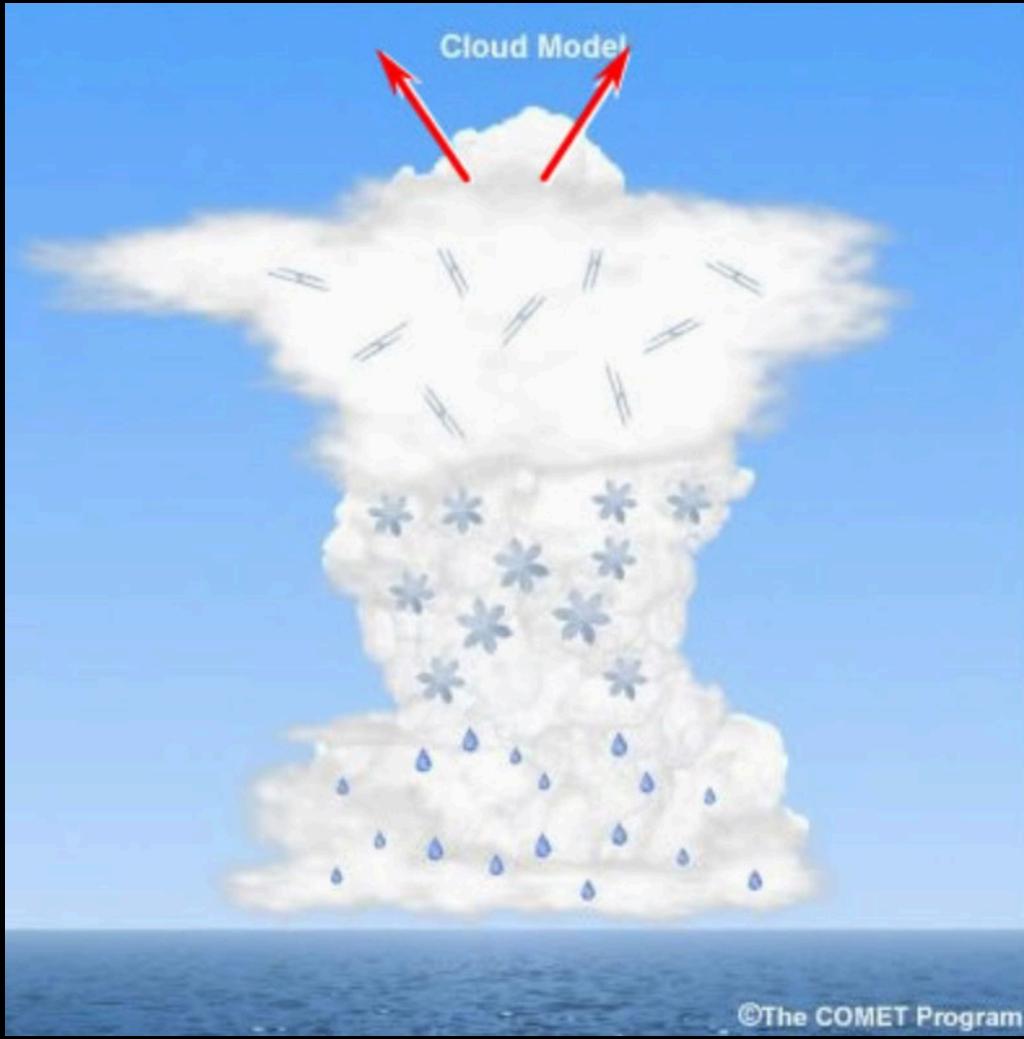


# **How much of the Earth's surface is covered by raingages?**

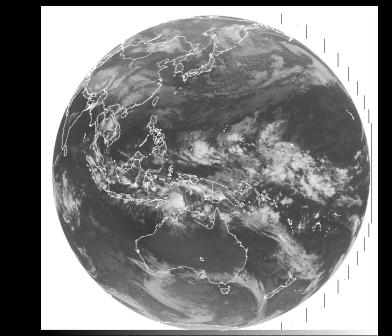
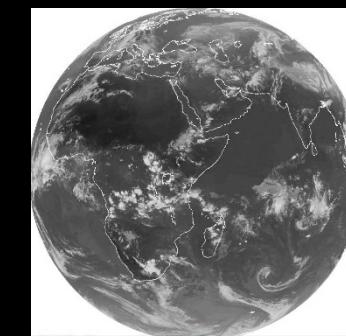
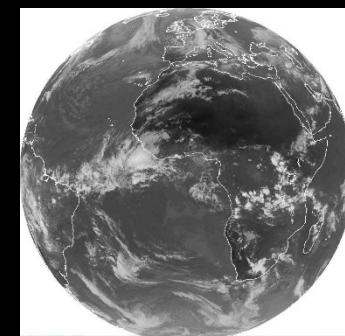
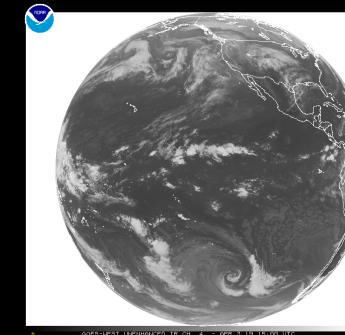
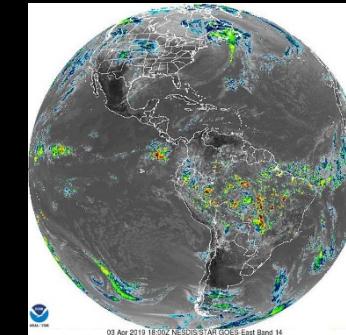




# How do we observe precipitation from space?



The GEO-IR constellation  
(NOAA-NESDIS, EUMETSAT, JMA)

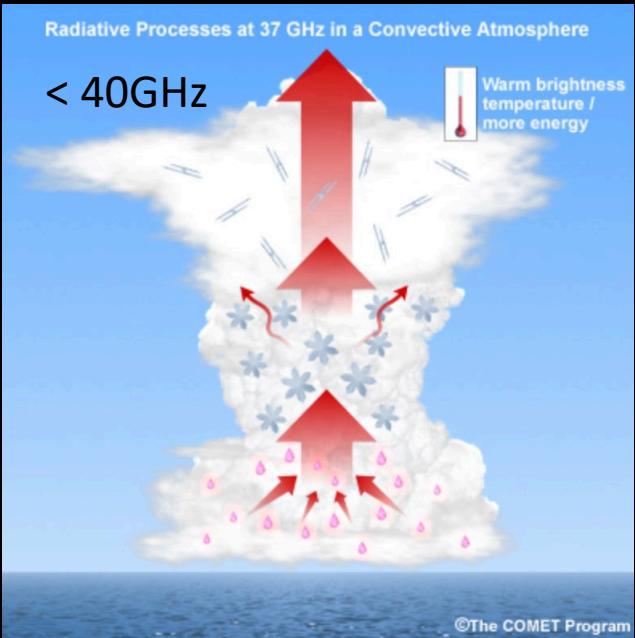


- 5 IR imagers for a quasi-global coverage

One observation every 15-30 mins

# How do we observe precipitation from space?

## The LEO-GPM constellation



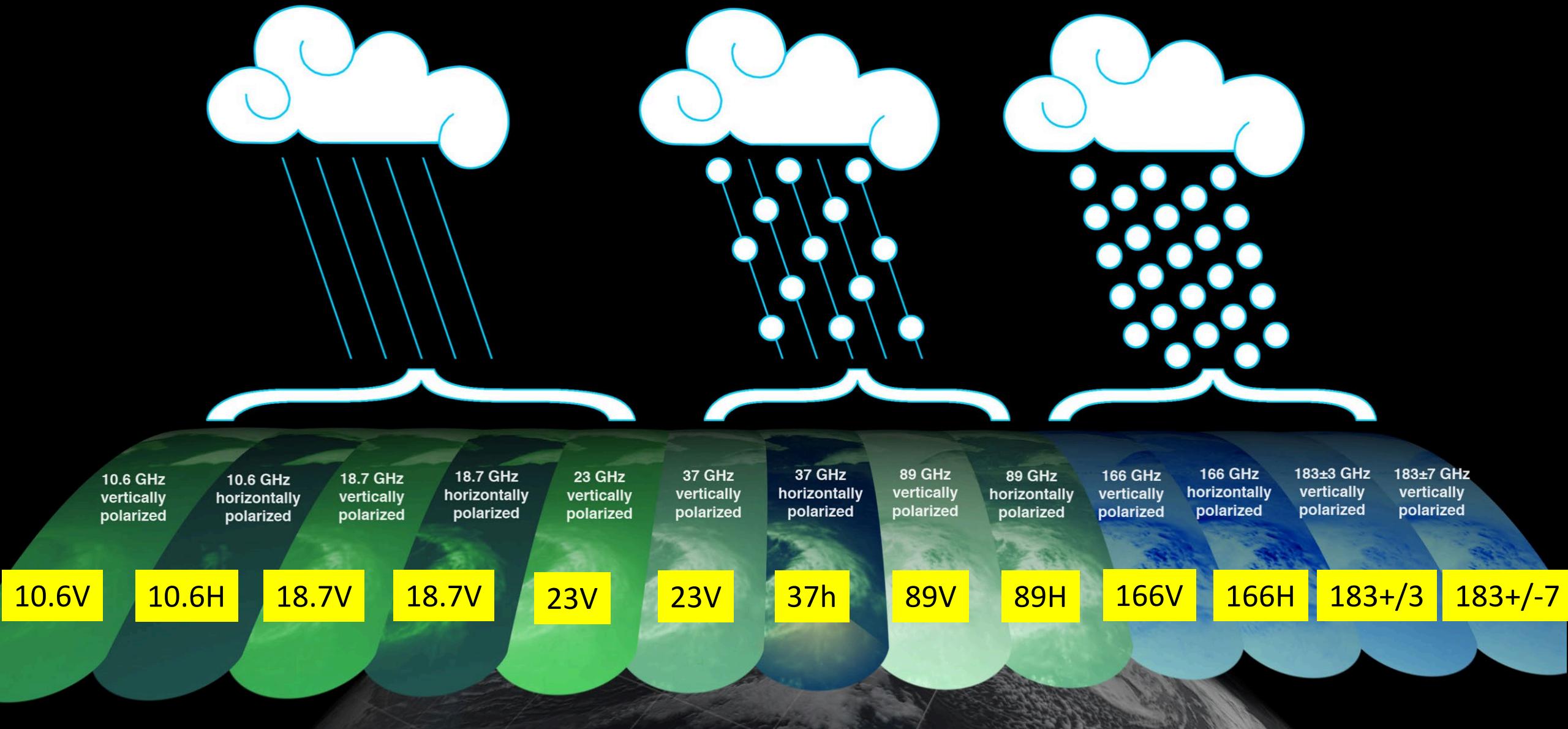
- 5 conical-scan MW imagers
- 8 cross-track MW sounders
- 1 Dual-frequency Precipitation Rad



One observation every 2-4 hrs

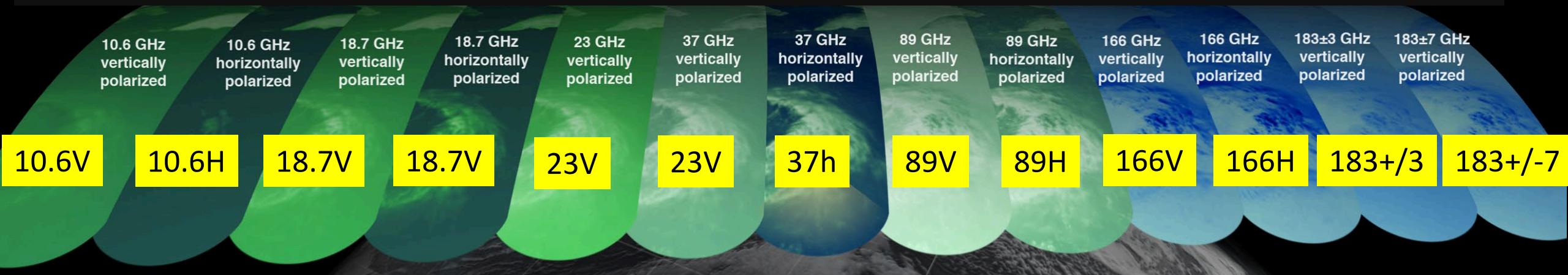
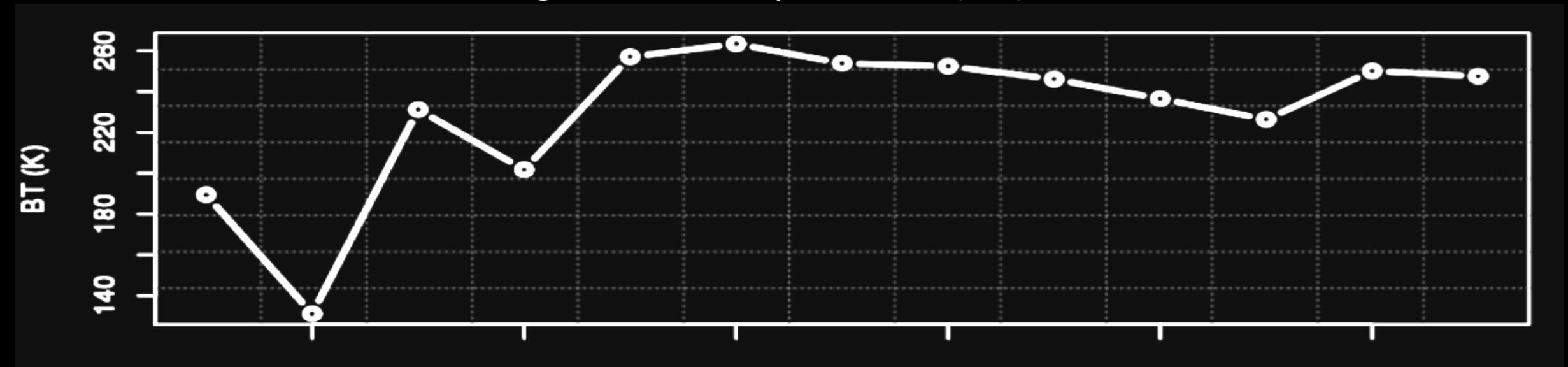
Credit: NASA

# Multispectral microwave signature



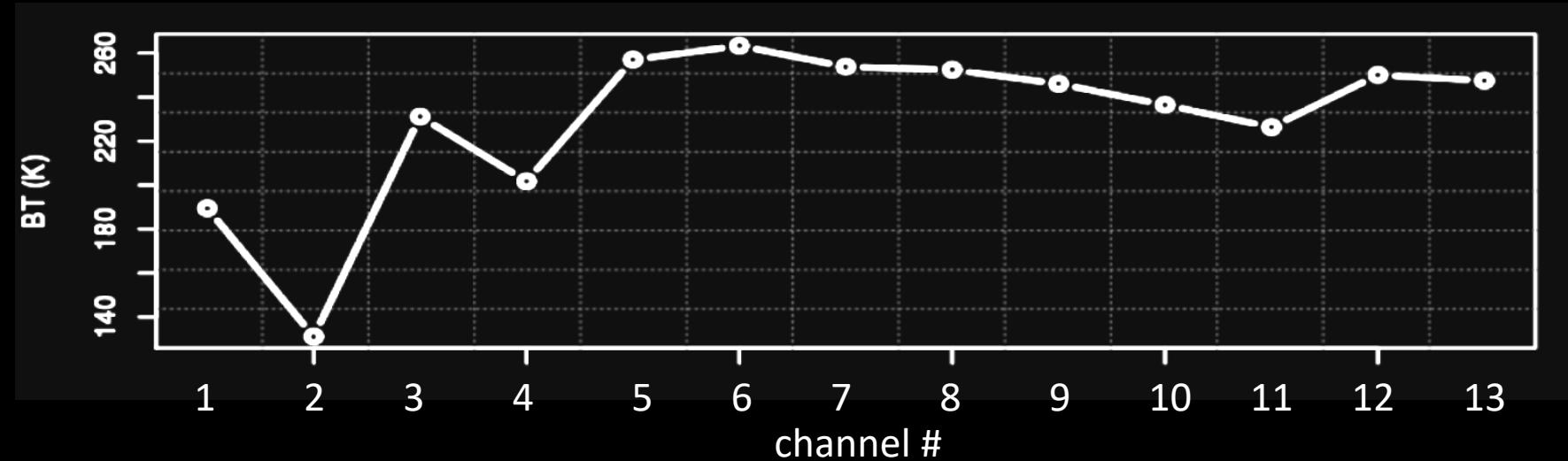
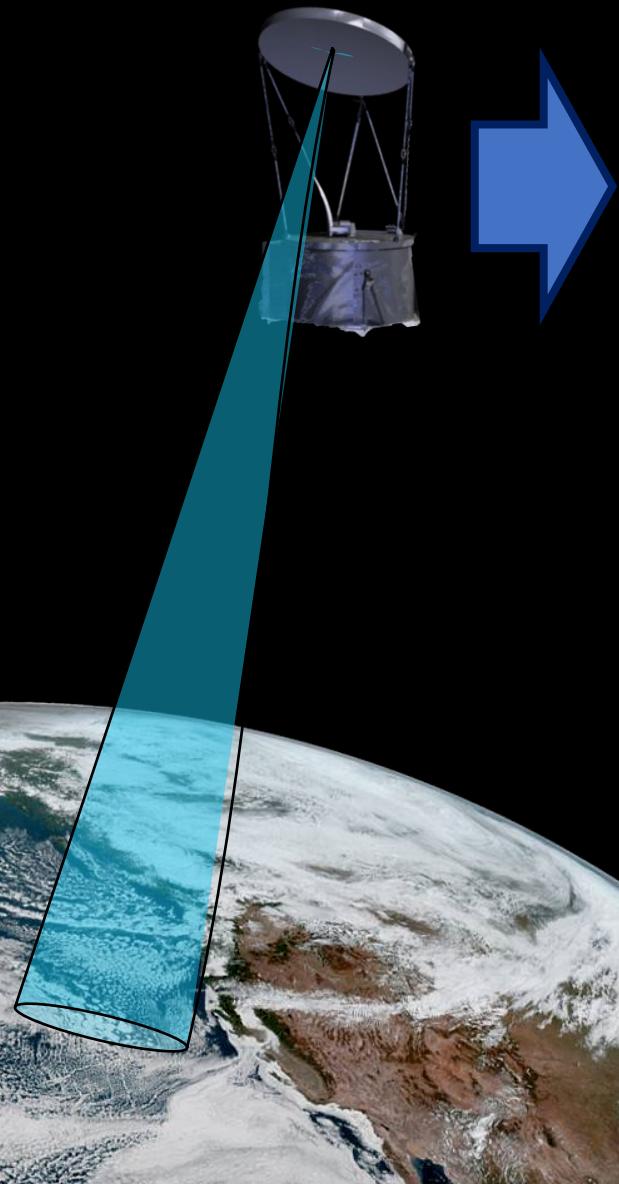
# Multispectral microwave signature

Brightness Temperature (TB)



# Retrieval is an Inverse Problem

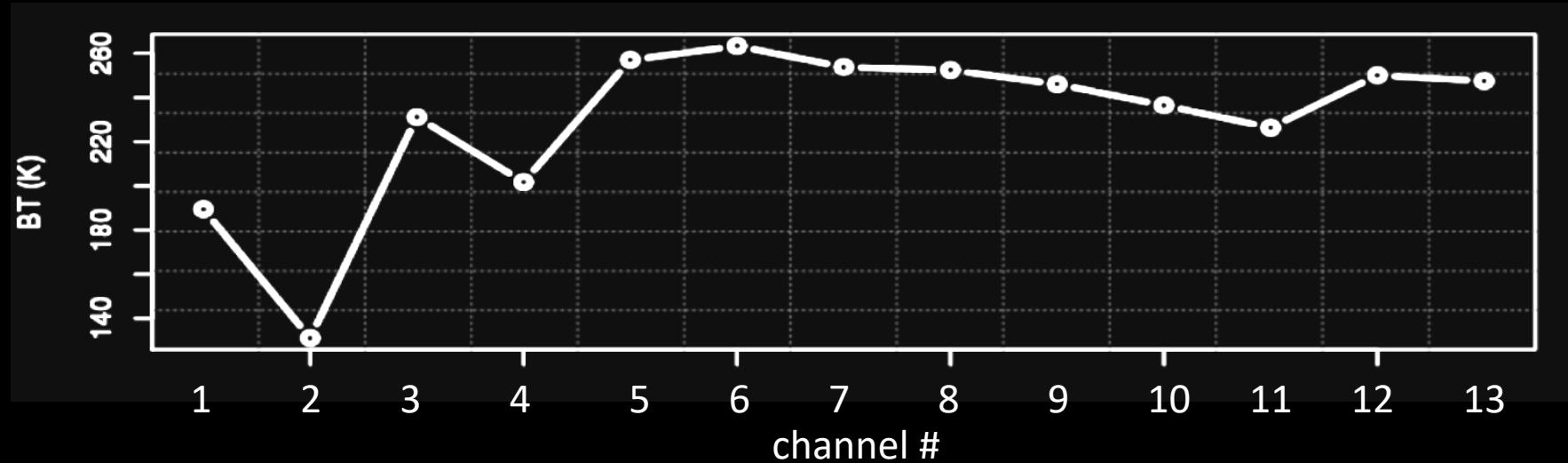
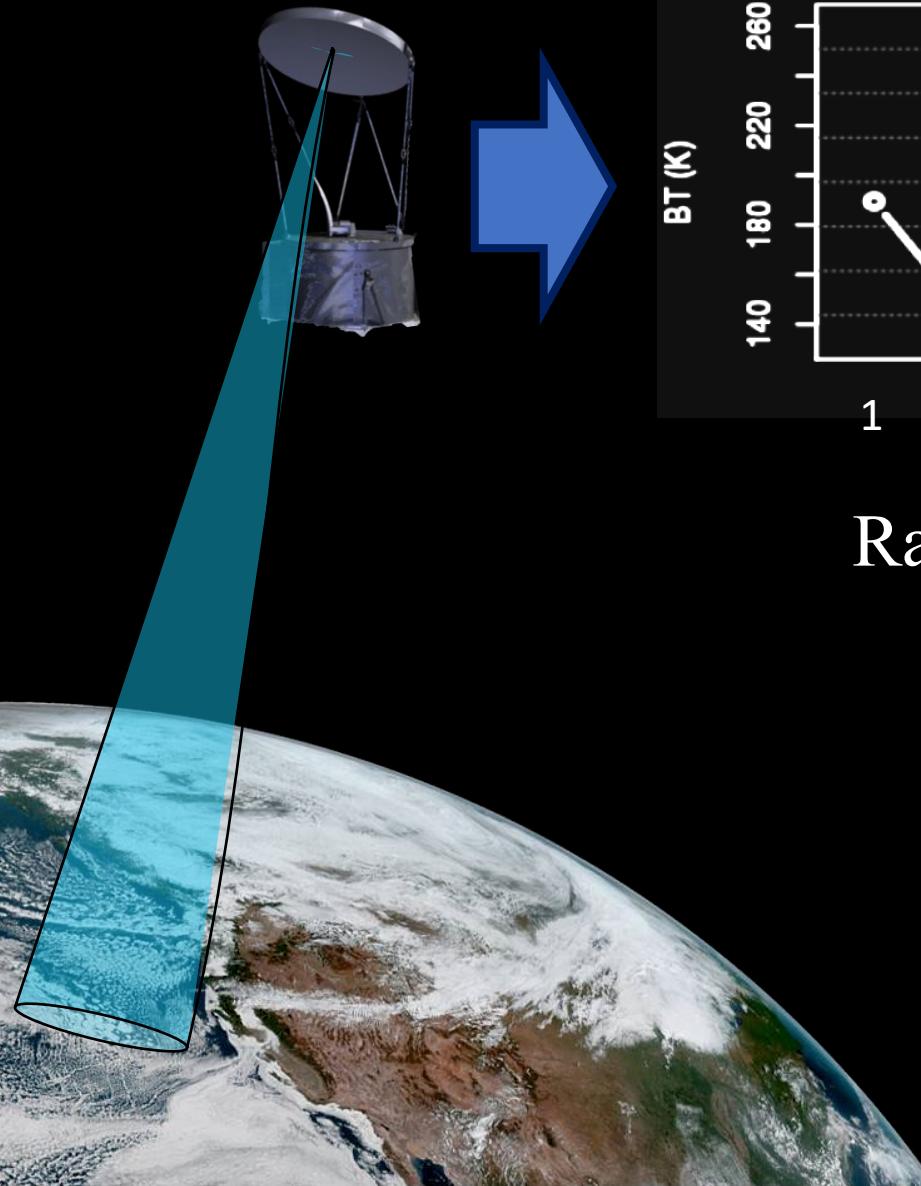
MW imager



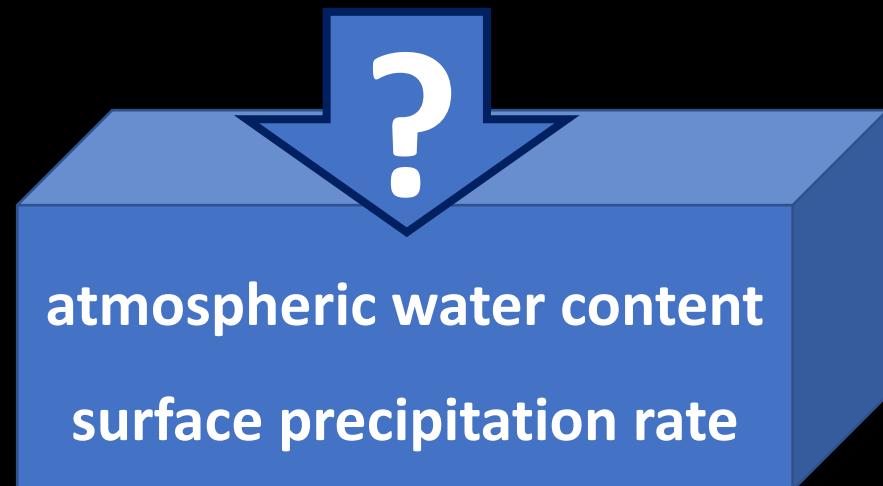
Radiometric signature at the top of the atmosphere

# Retrieval is an Inverse Problem

MW imager



Radiometric signature at the top of the atmosphere



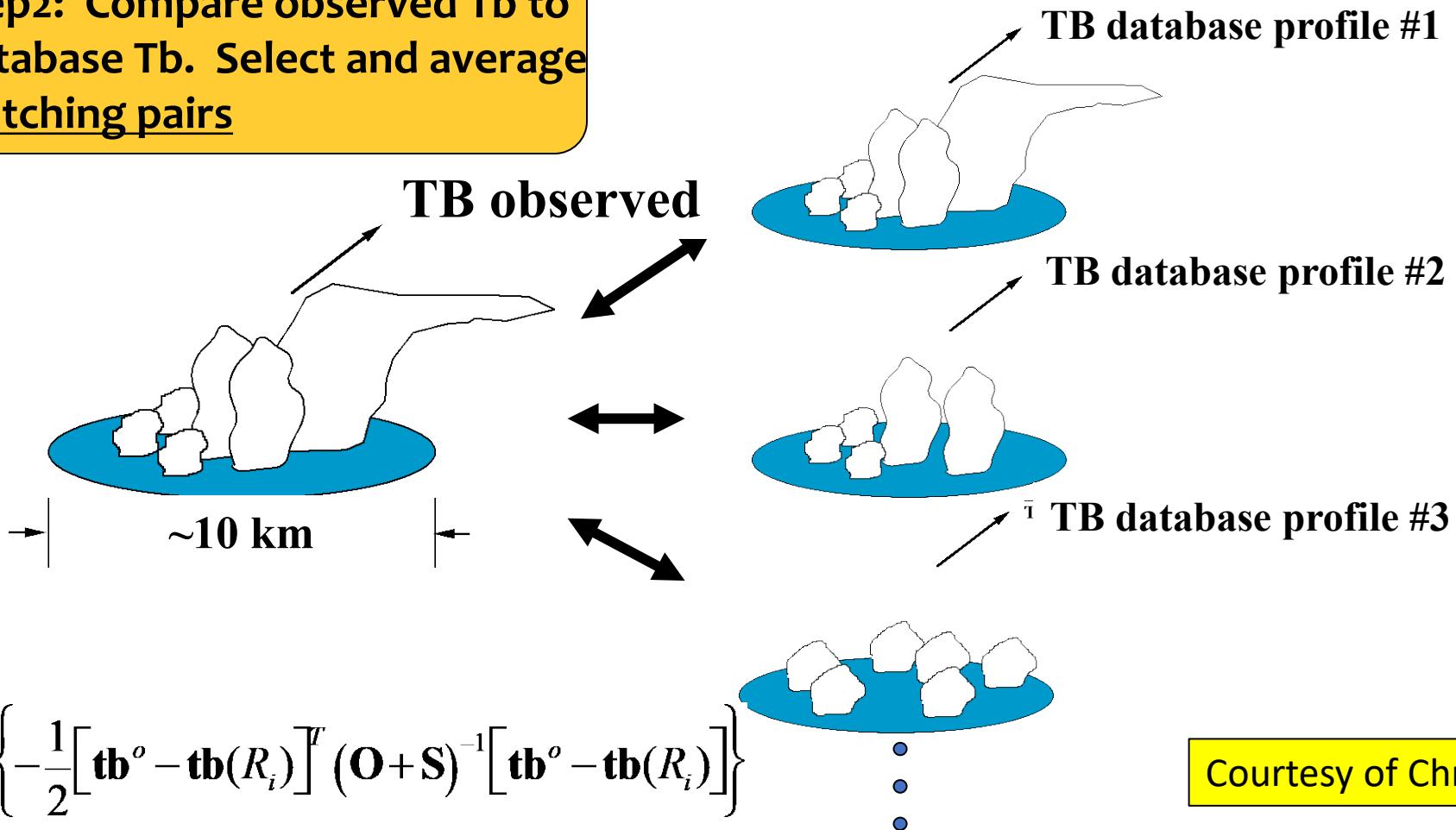
# GPM core satellite



# The NASA GPM radiometer algorithm: GPROF

Step 1: Use GPM Satellite to derive set of “Observed” profiles that define an a-priori database of possible rain structures.

Step 2: Compare observed Tb to Database Tb. Select and average matching pairs



$$J_i = \exp \left\{ -\frac{1}{2} \left[ \mathbf{tb}^o - \mathbf{tb}(R_i) \right]^T (\mathbf{O} + \mathbf{S})^{-1} \left[ \mathbf{tb}^o - \mathbf{tb}(R_i) \right] \right\}$$

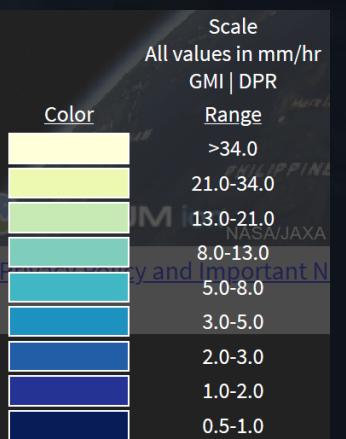
Courtesy of Chris Kummerow



# How accurate are these retrievals globally?

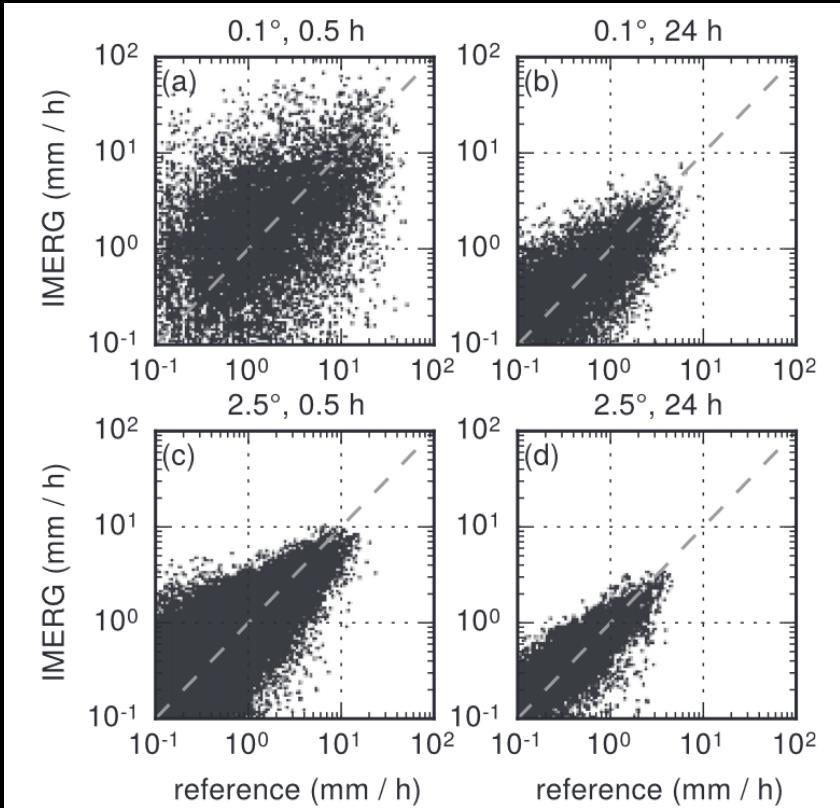


<https://pmm.nasa.gov/extreme-weather>

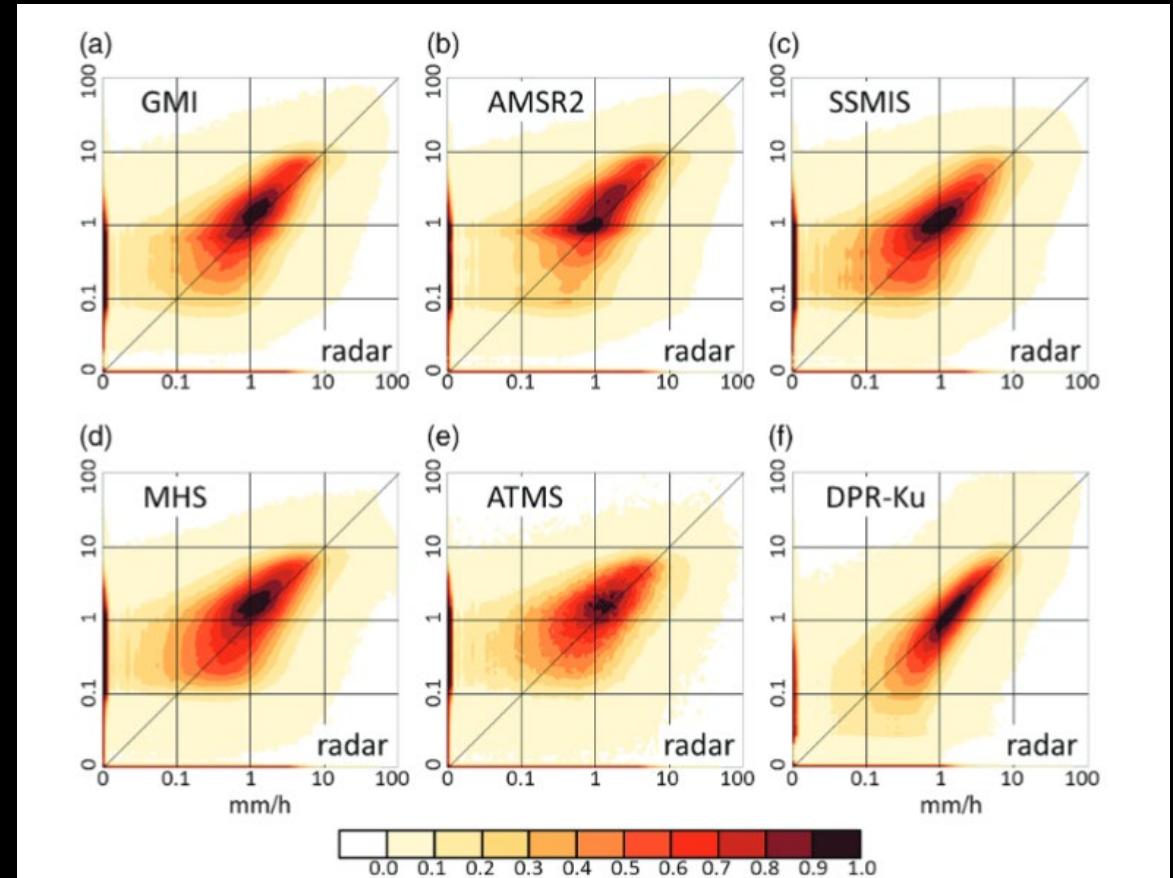
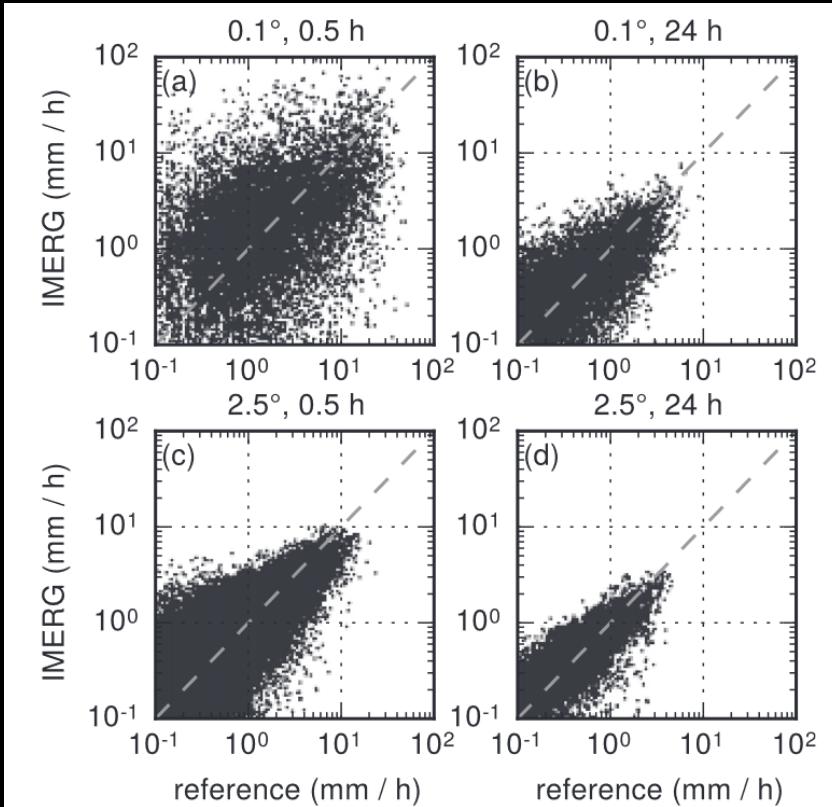


# THE CLASSICAL APPROACH IN COMPARING/VALIDATING RETRIEVALS

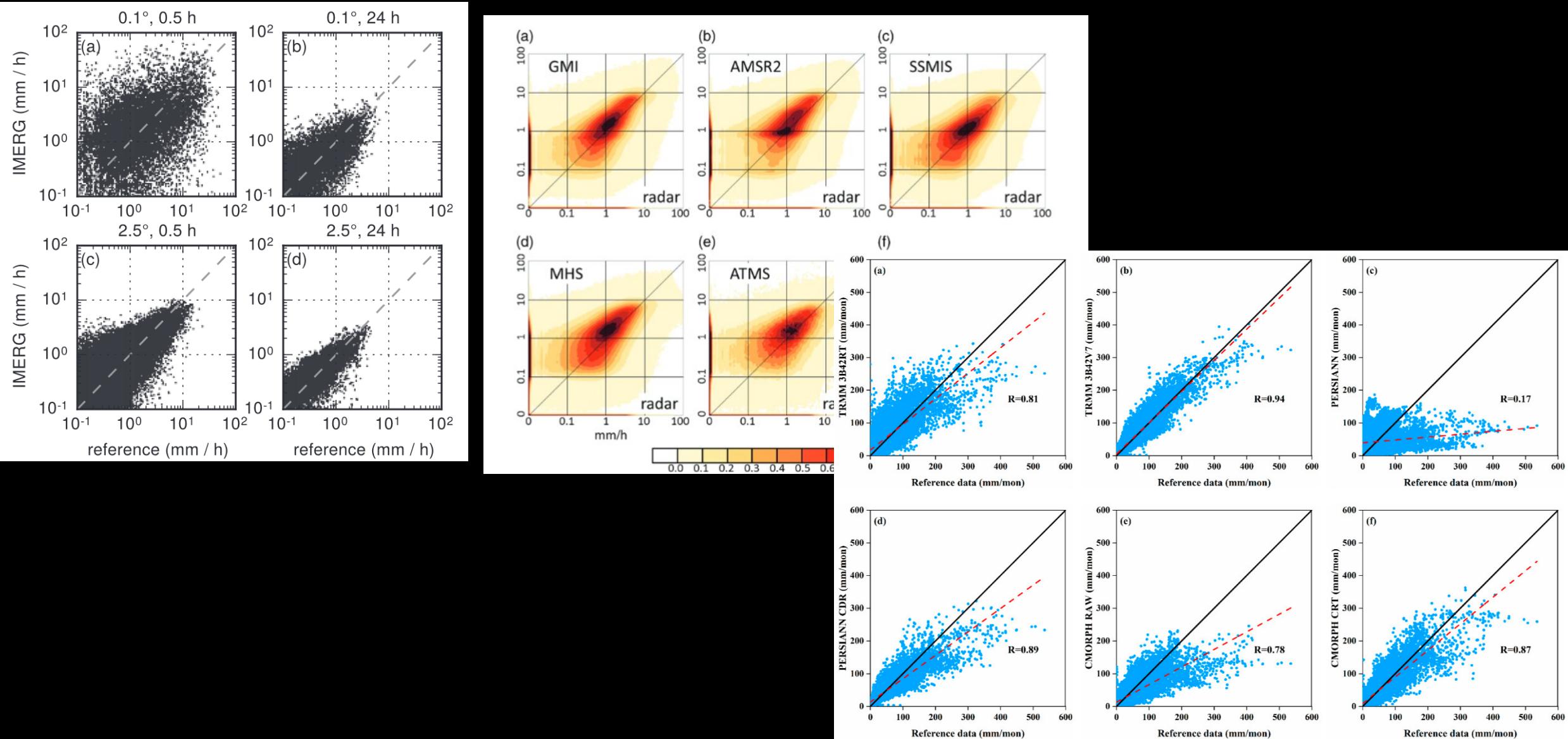
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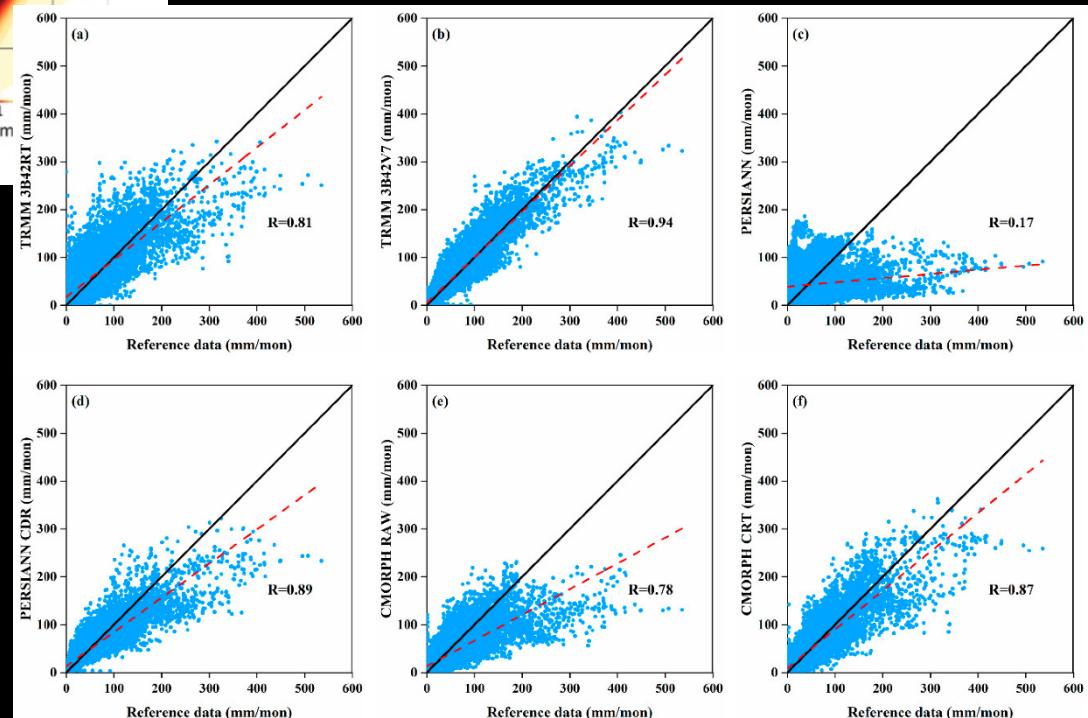
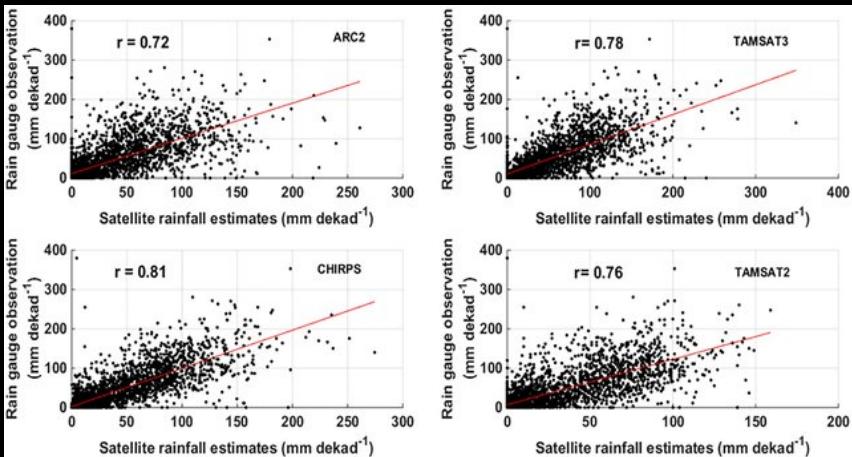
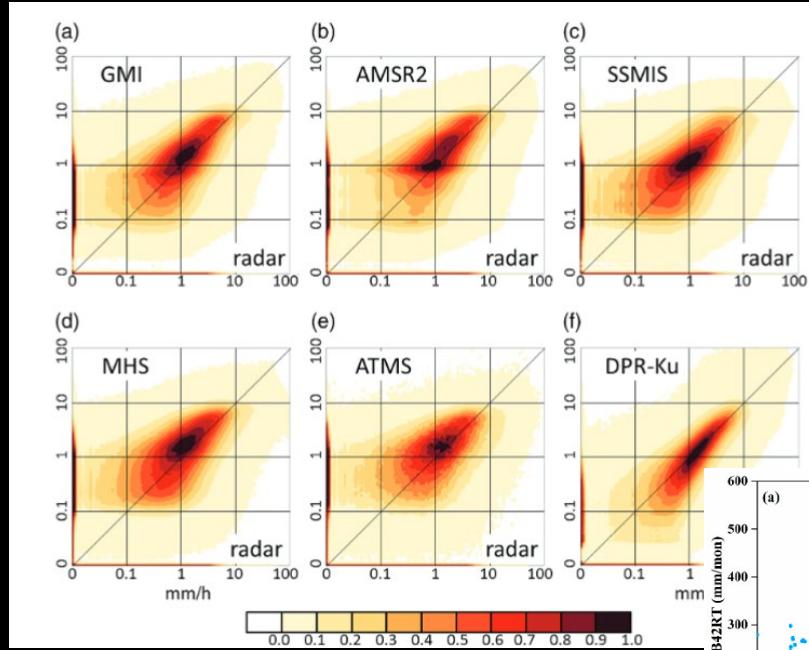
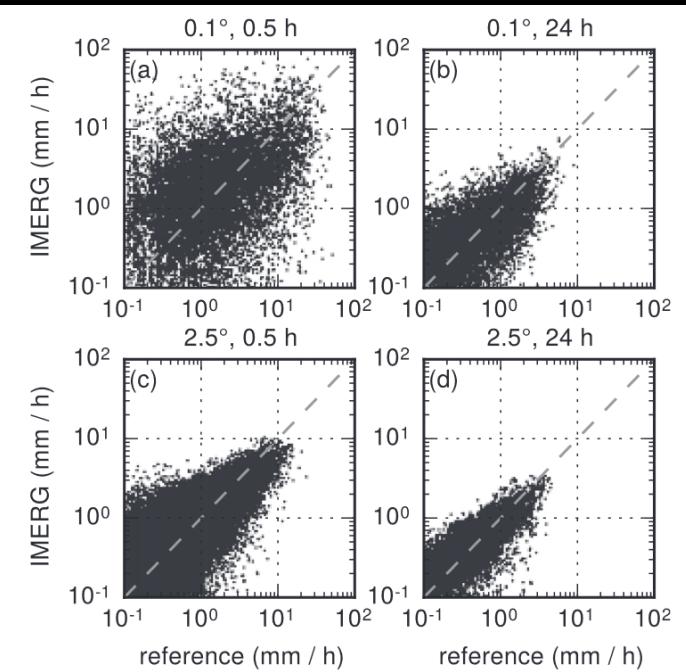
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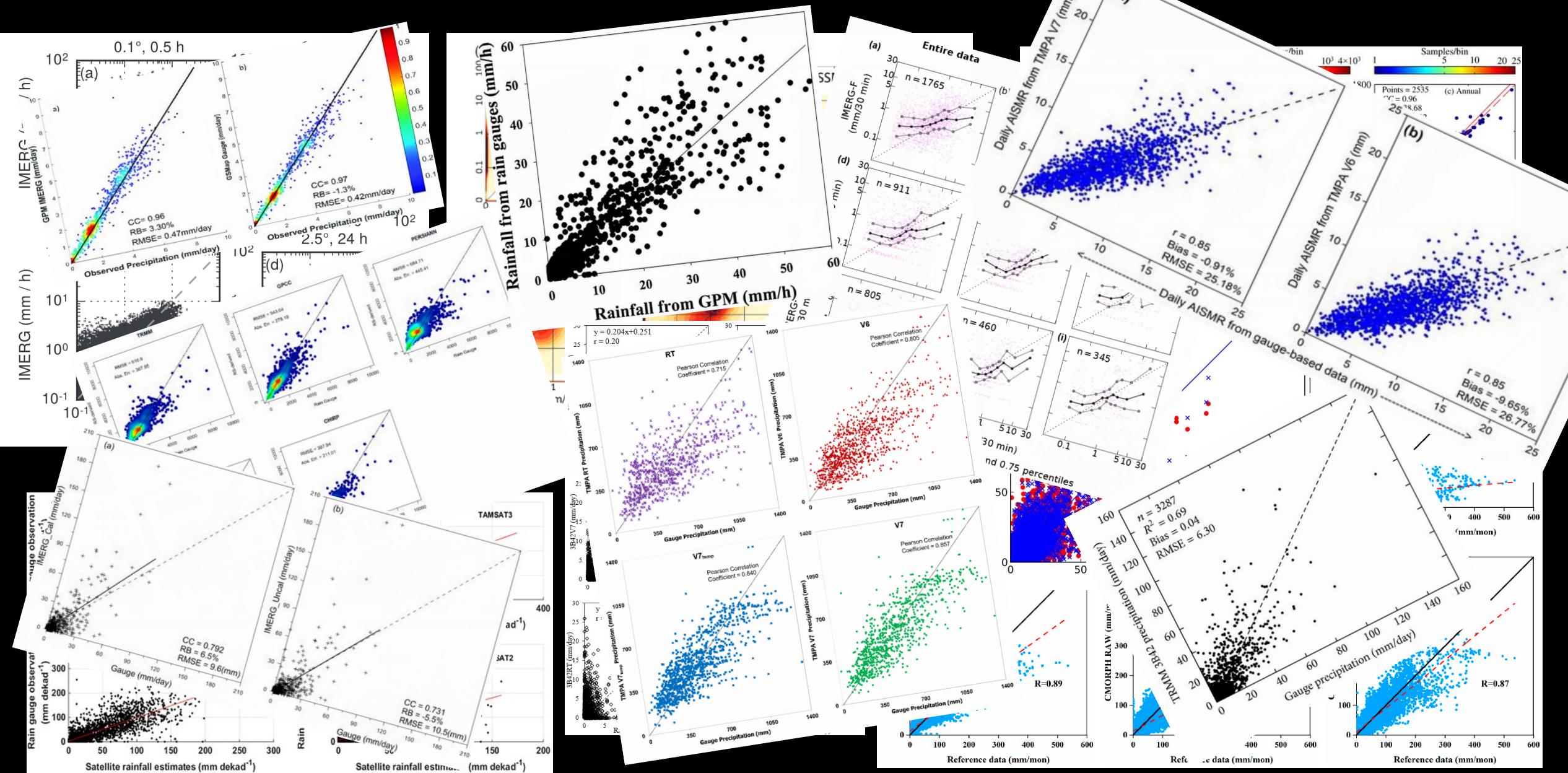
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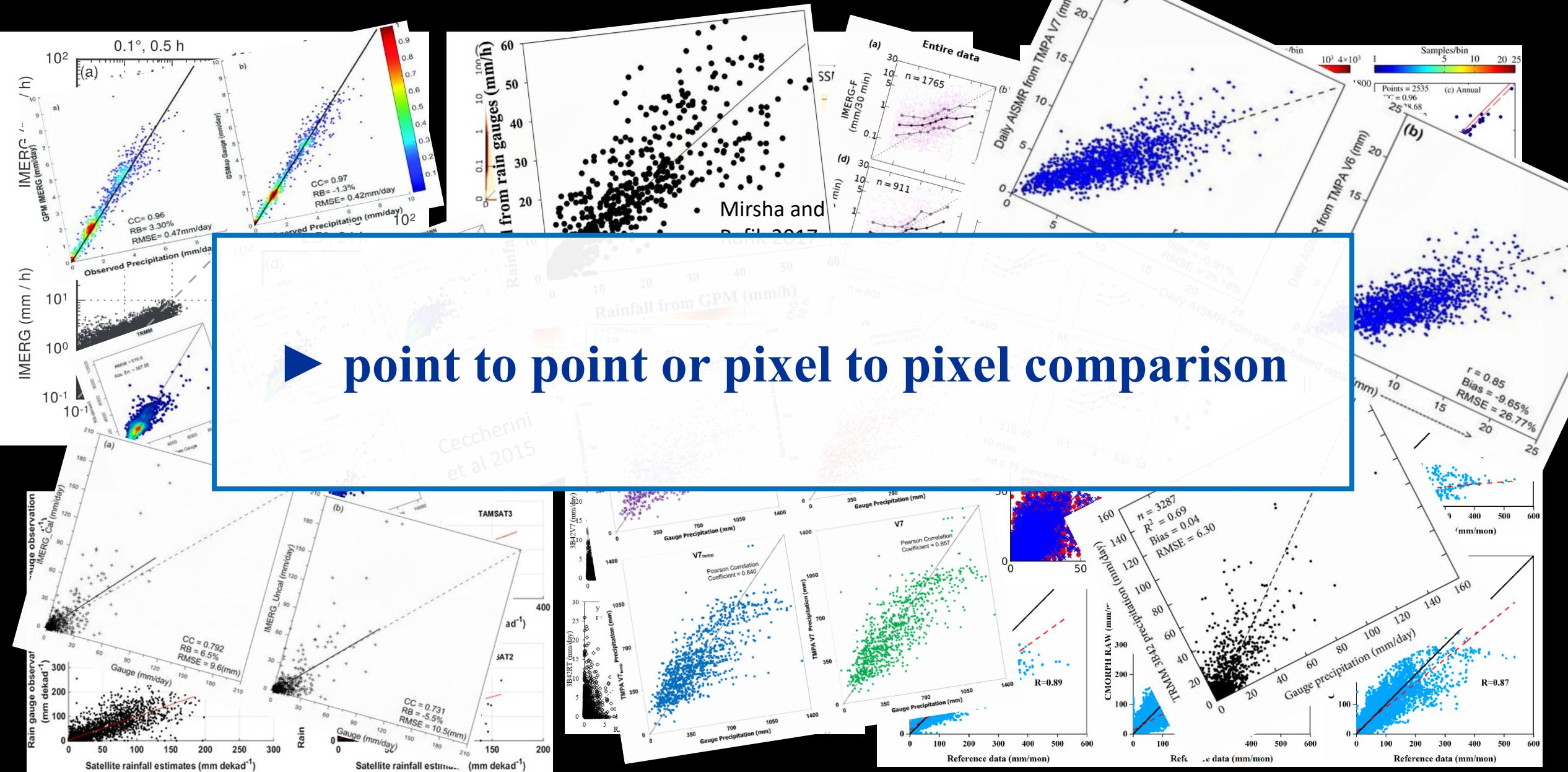
# THE CLASSICAL APPROACH IN COMPARING/VALIDATING RETRIEVALS



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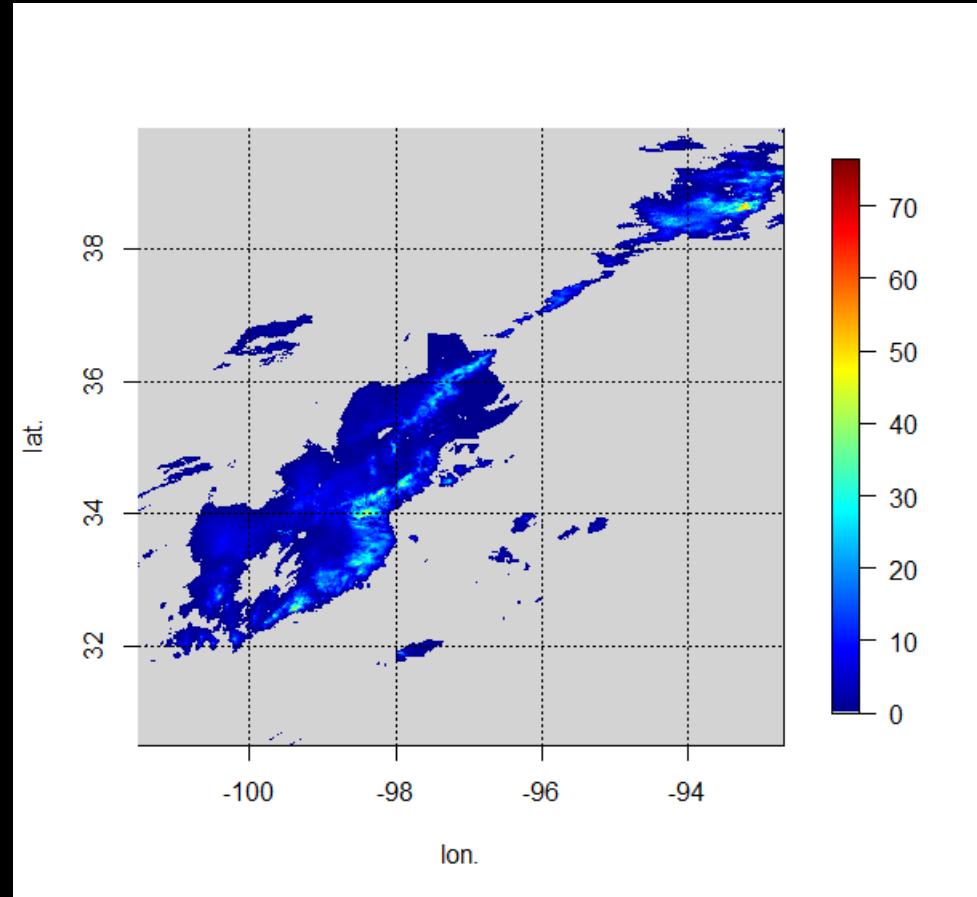
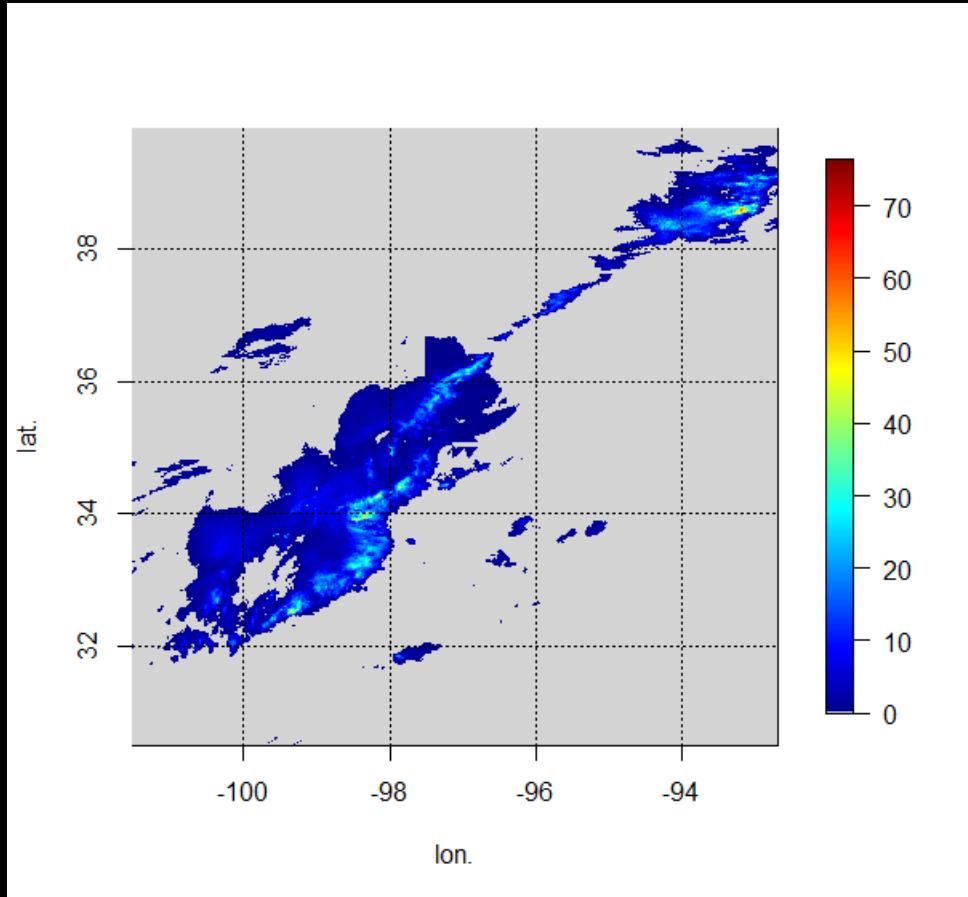


# THE CLASSICAL APPROACH IN COMPARING/VALIDATING RETRIEVALS



# THE CLASSICAL APPROACH IN COMPARING/VALIDATING RETRIEVALS

How different are these two fields?

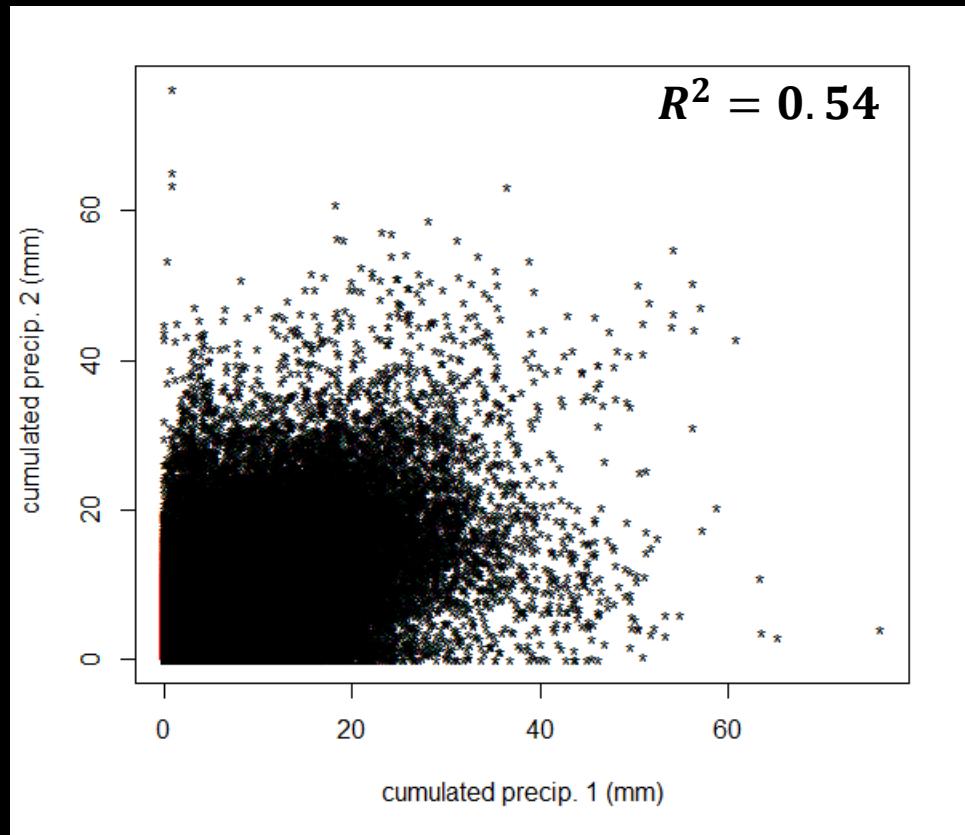


MRMS hourly at 1 km shifted by 7 km

# THE CLASSICAL APPROACH IN COMPARING/VALIDATING RETRIEVALS

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How different are these two fields?



=> Quite different at the pixel level!

# Effective Resolution (ER)

“The finest scale at which retrievals accurately reproduce the local spatial variability of a reference product”

**Global multiscale evaluation of satellite passive microwave retrieval of precipitation during the TRMM and GPM eras: effective resolution and regional diagnostics for future algorithm development**

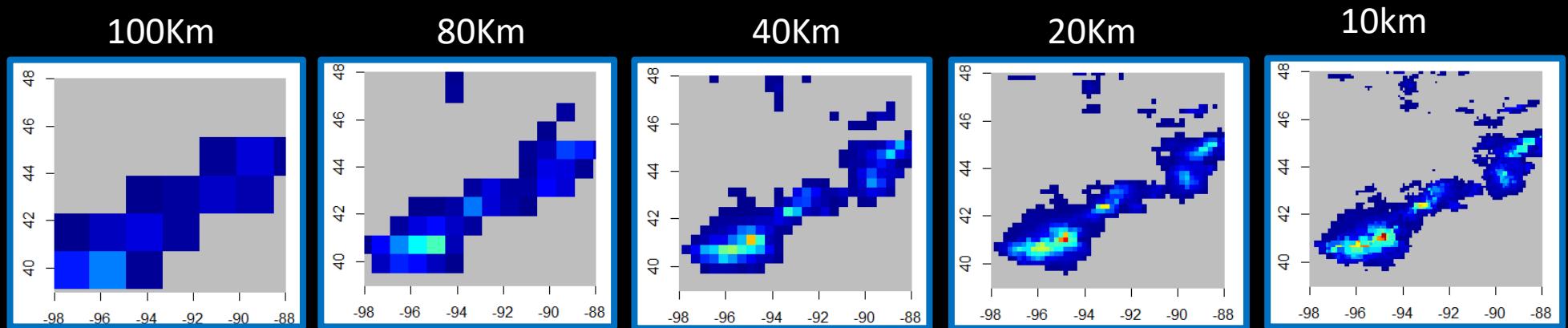
Clement Guilloteau<sup>1,\*</sup>, Efi Foufoula-Georgiou<sup>1</sup>, and Christian D. Kummerow<sup>2</sup>

<sup>1</sup> Department of Civil and Environmental Engineering, University of California, Irvine

<sup>2</sup> Department of Atmospheric Science, Colorado State University, Fort Collins

# Variance as a function of the scale

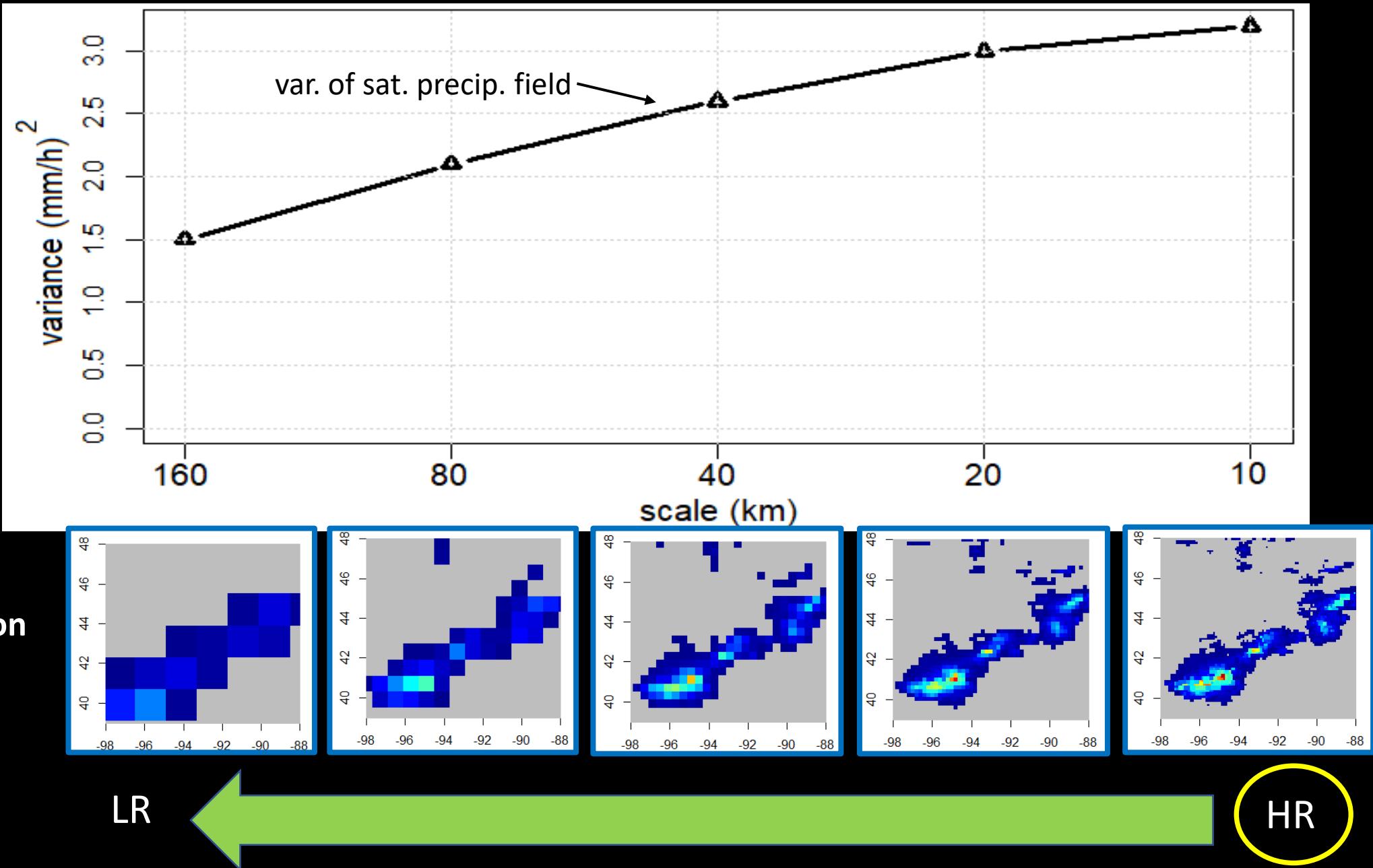
**satellite  
precipitation  
field**



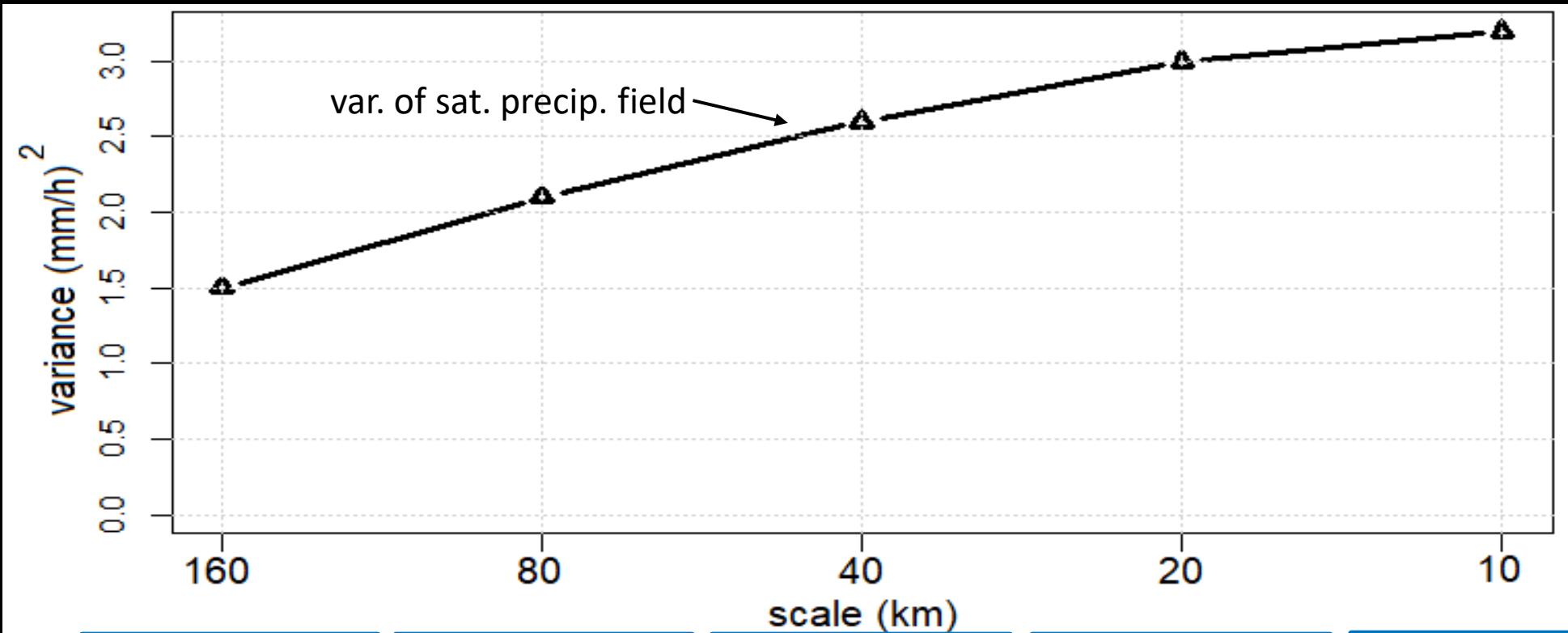
LR

HR

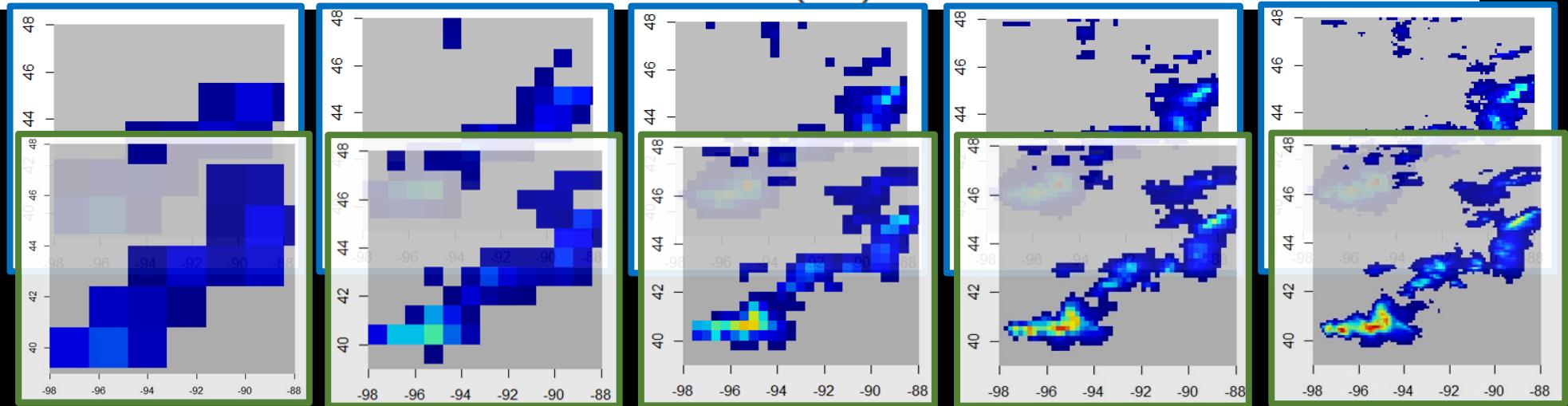
# Variance as a function of the scale



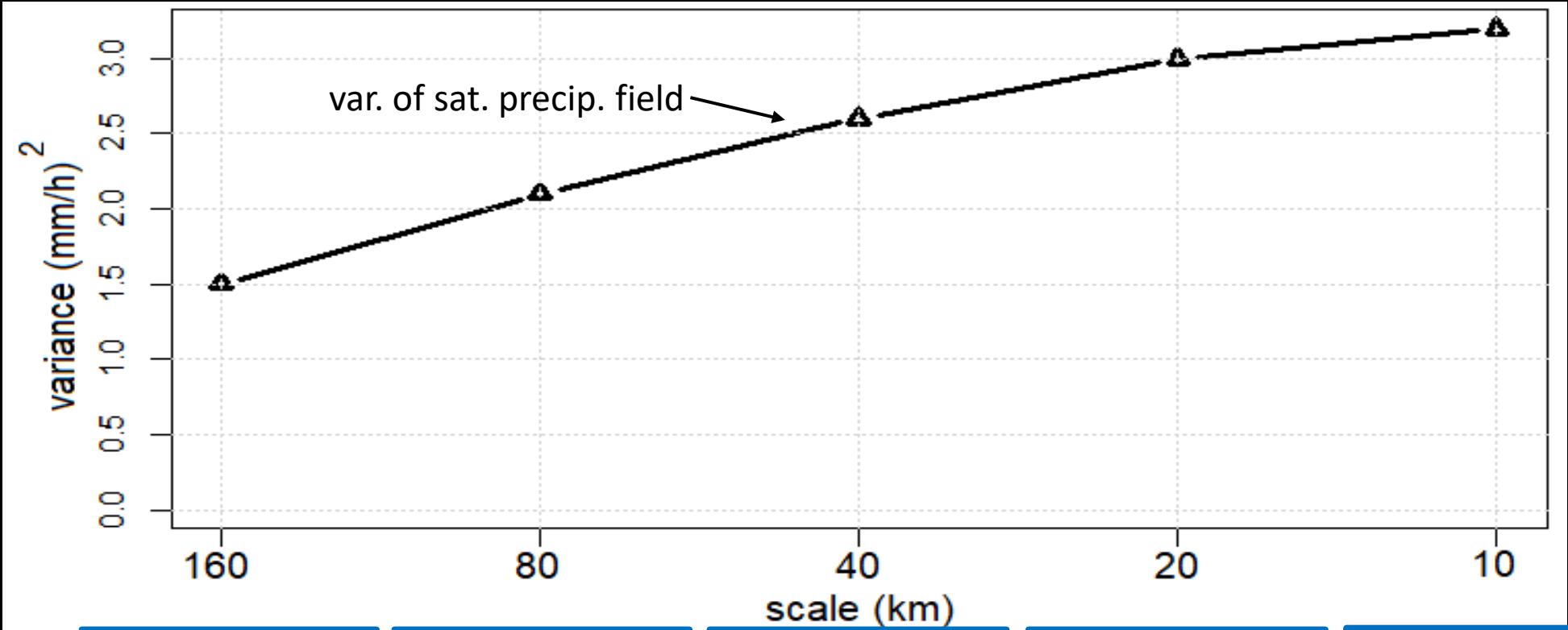
# Variance as a function of the scale



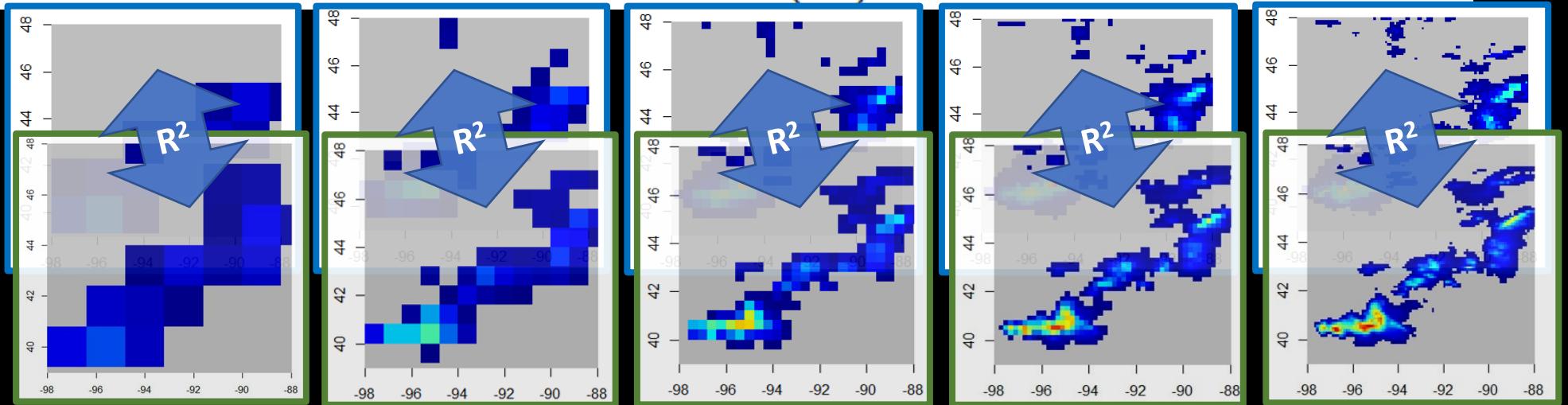
satellite  
precipitation  
field  
  
radar  
precipitation  
field



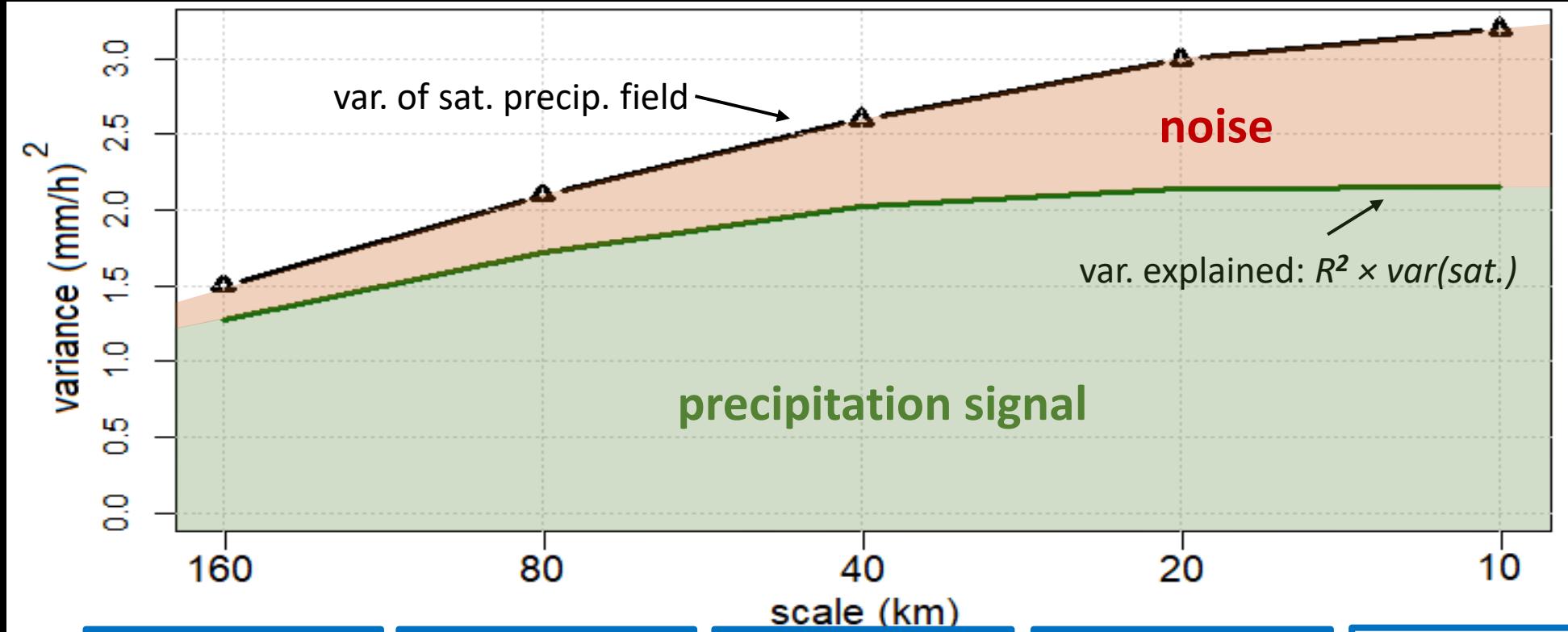
# Variance as a function of the scale



satellite  
precipitation  
field  
  
radar  
precipitation  
field

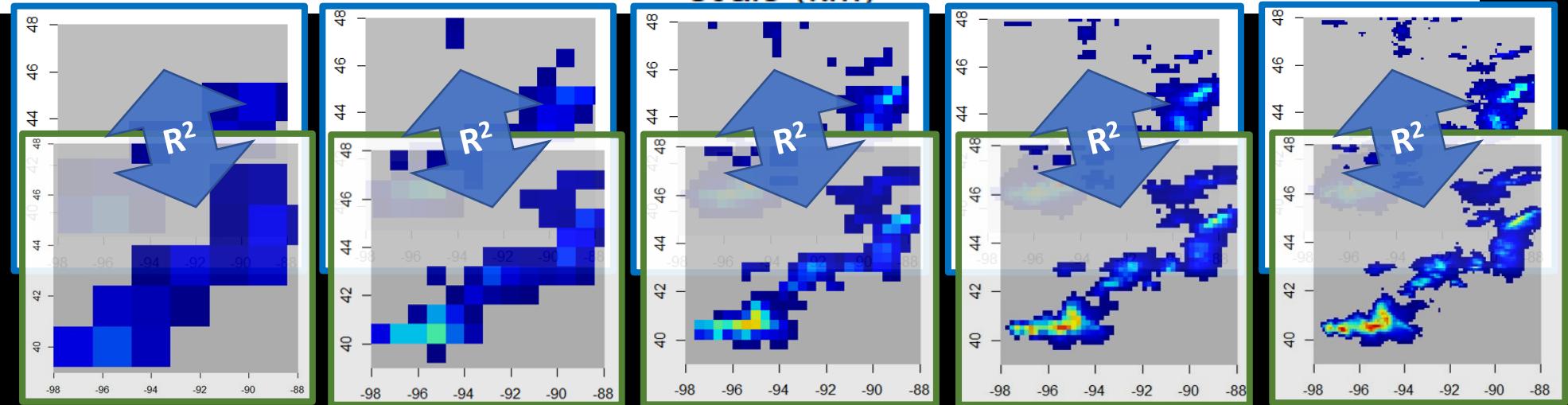


# Precipitation signal or noise?

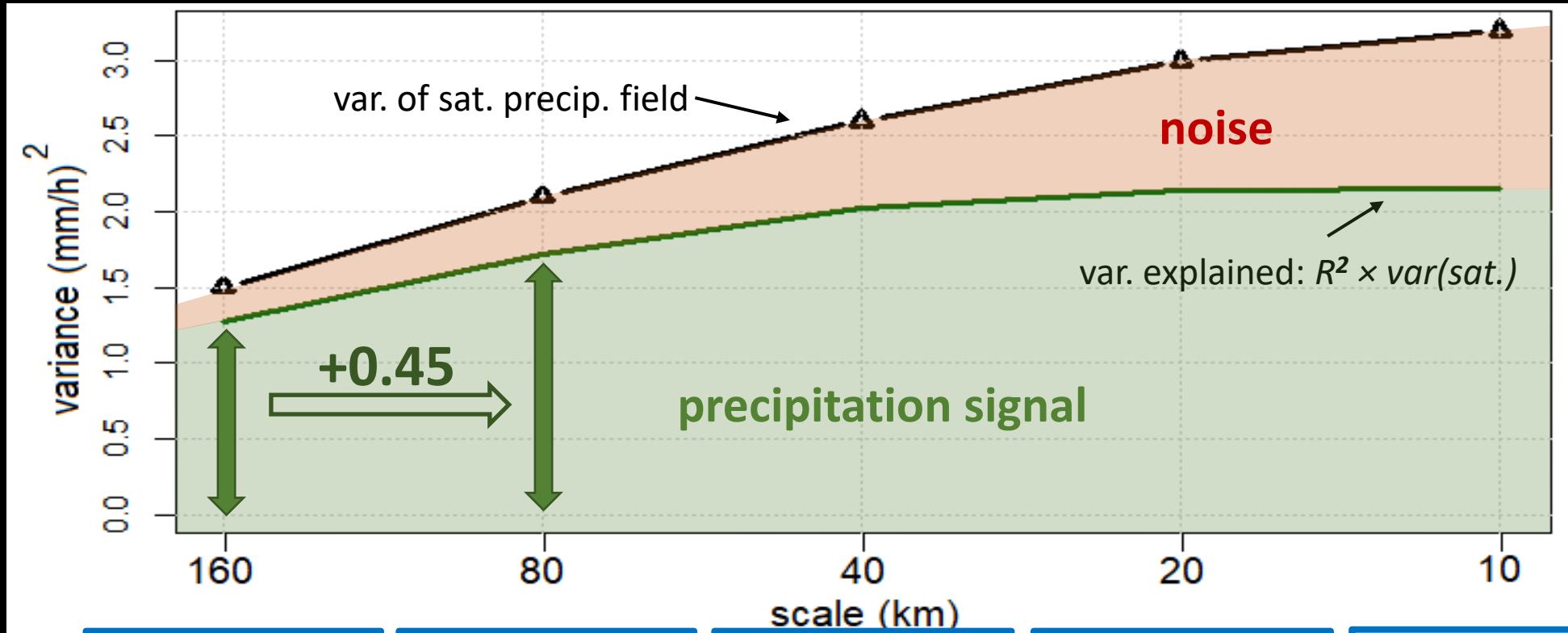


satellite  
precipitation  
field

radar  
precipitation  
field

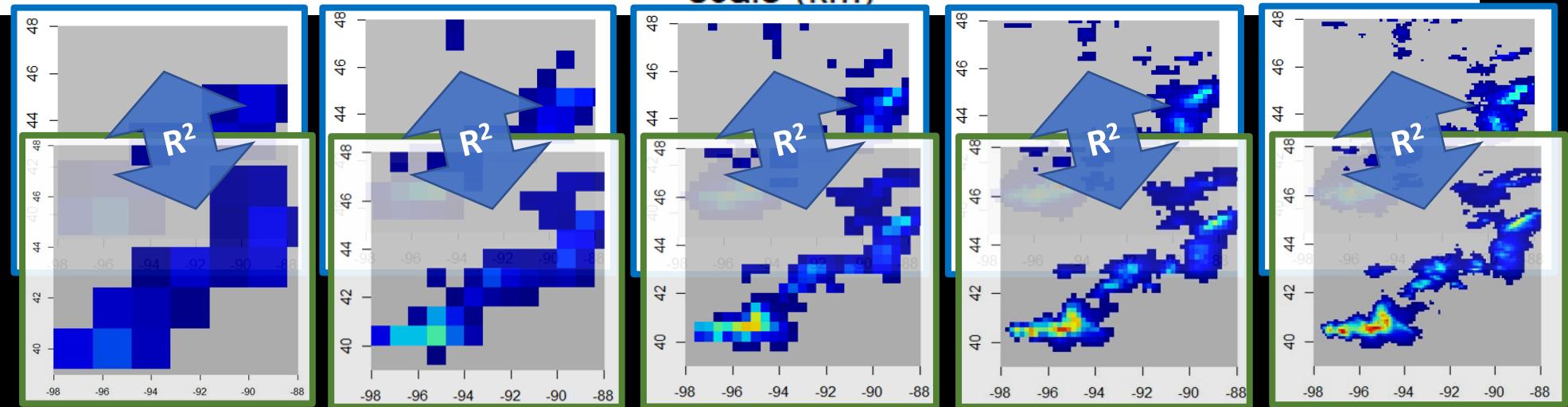


# Precipitation signal or noise?

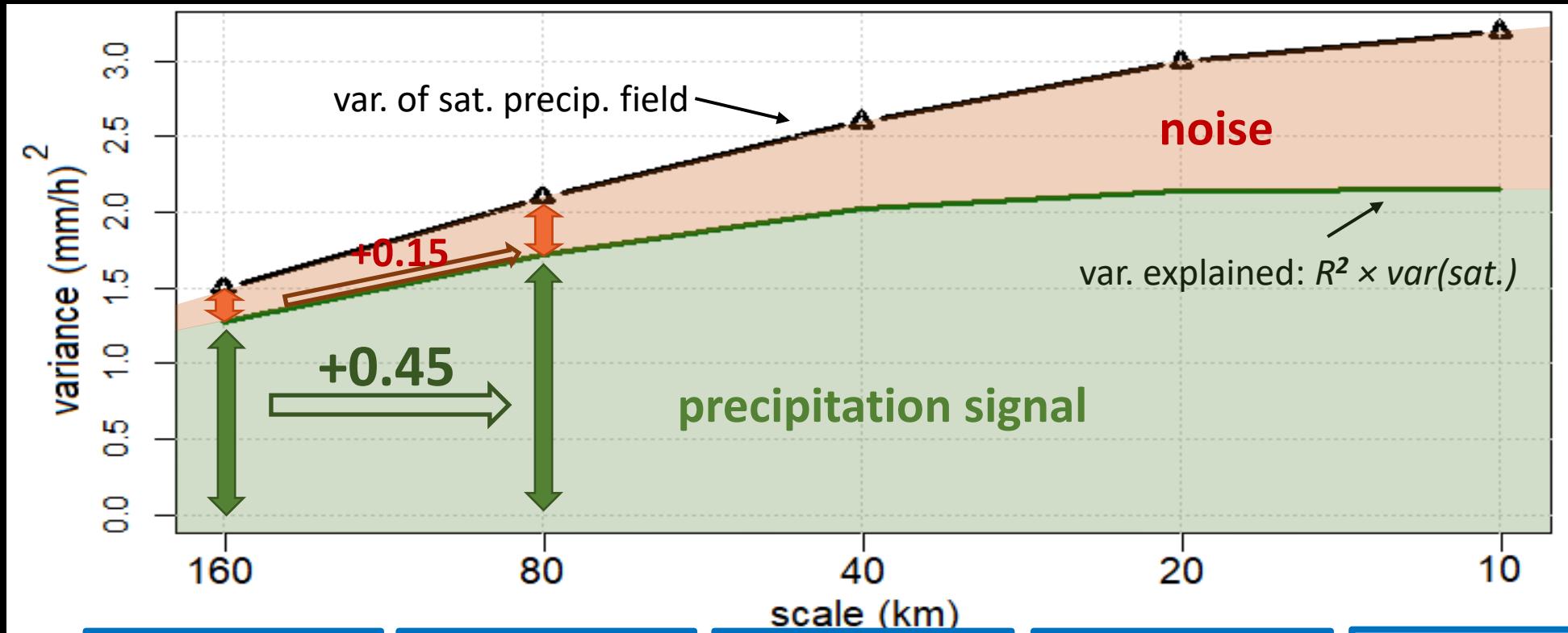


satellite  
precipitation  
field

radar  
precipitation  
field

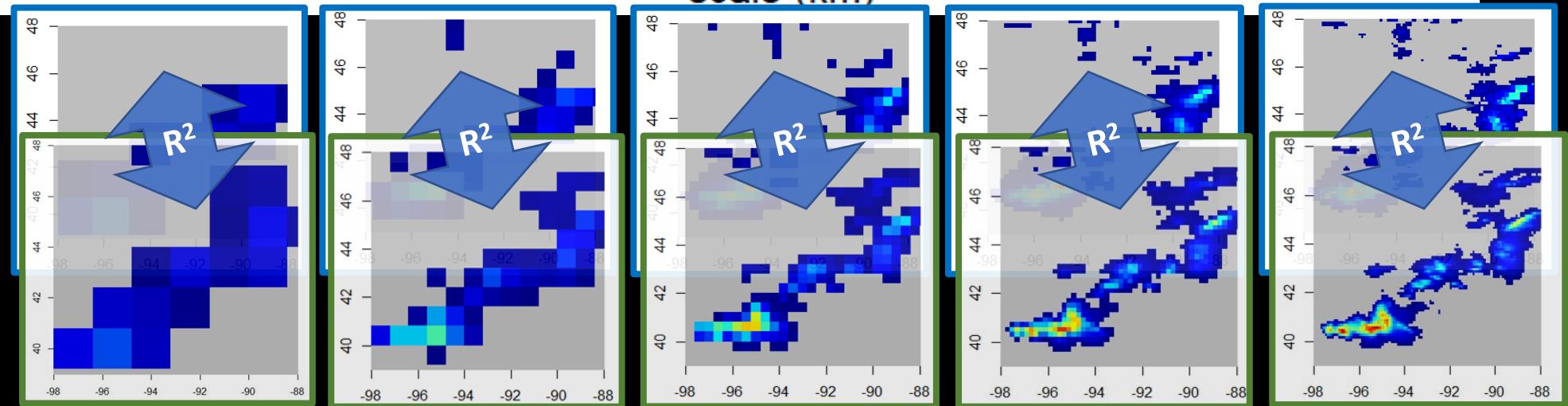


# Precipitation signal or noise?

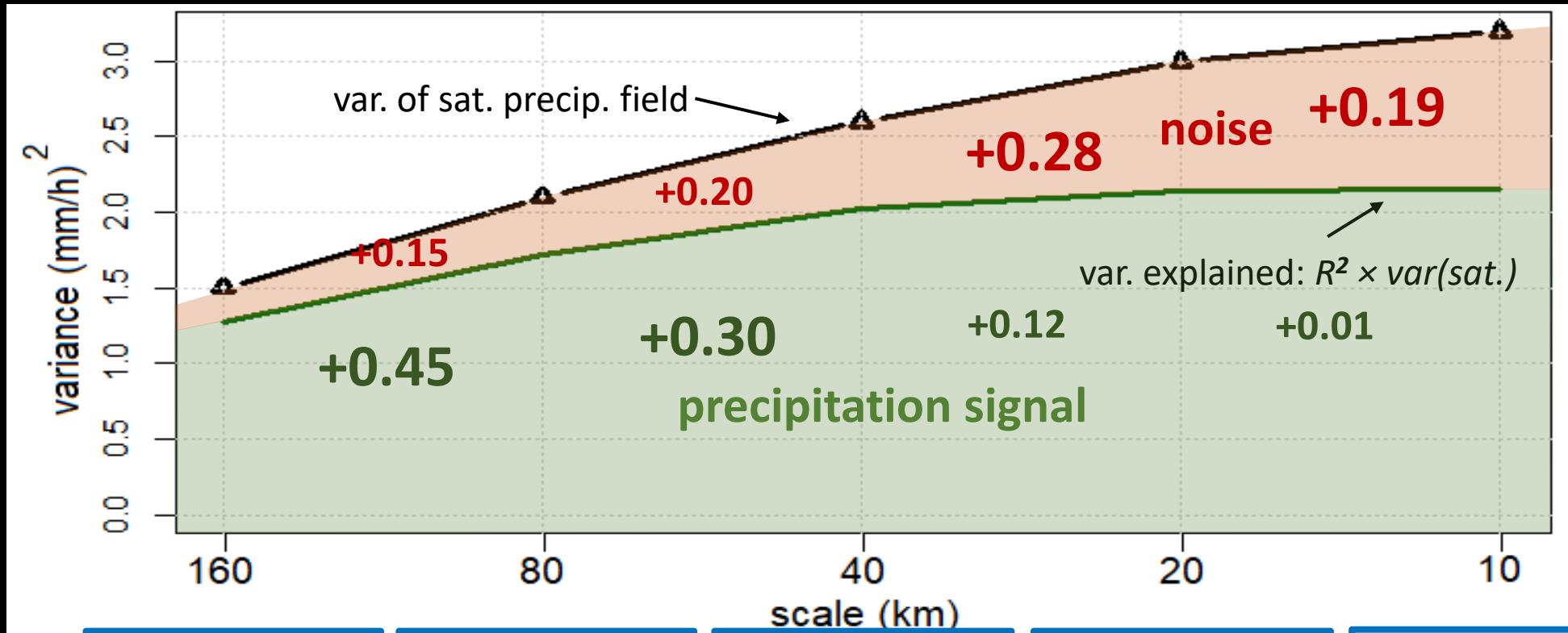


satellite  
precipitation  
field

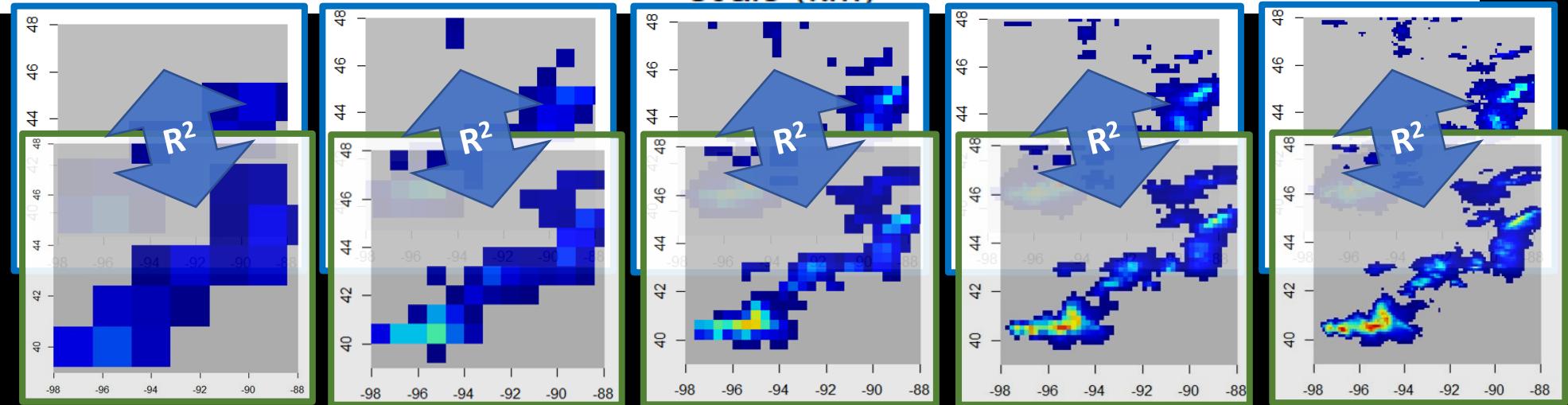
radar  
precipitation  
field



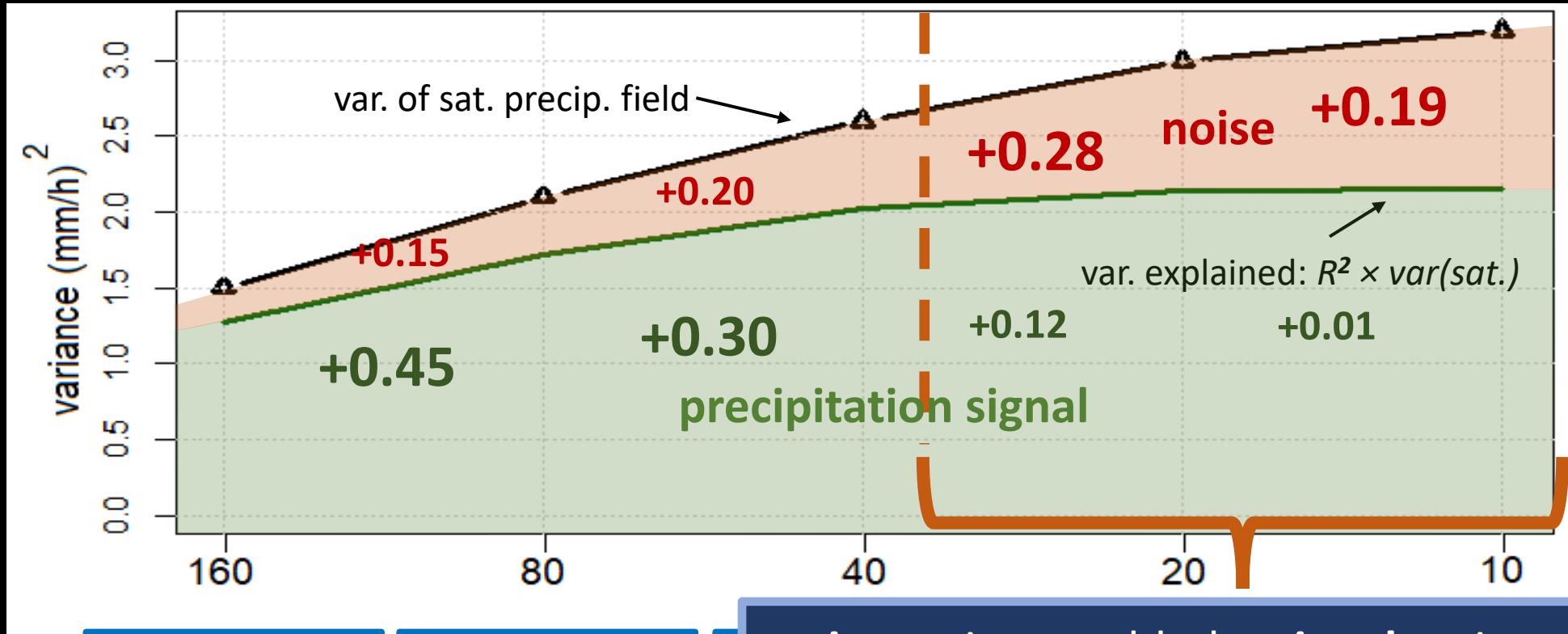
# Precipitation signal or noise?



satellite  
precipitation  
field  
  
radar  
precipitation  
field

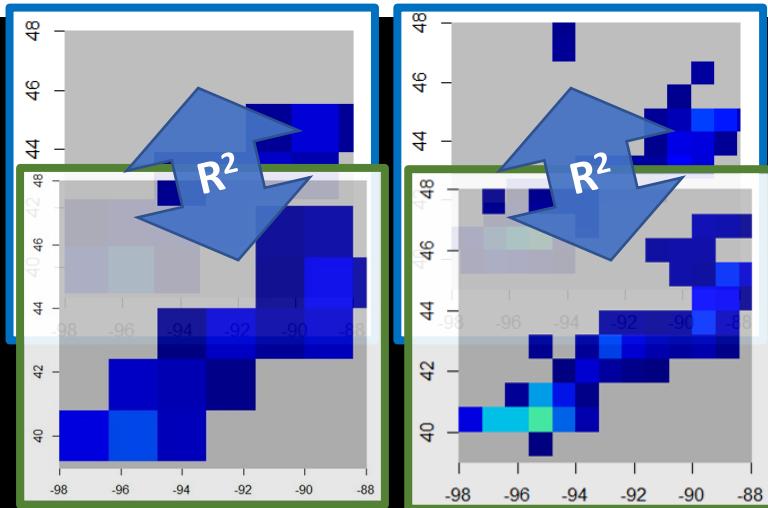


# Precipitation signal or noise?



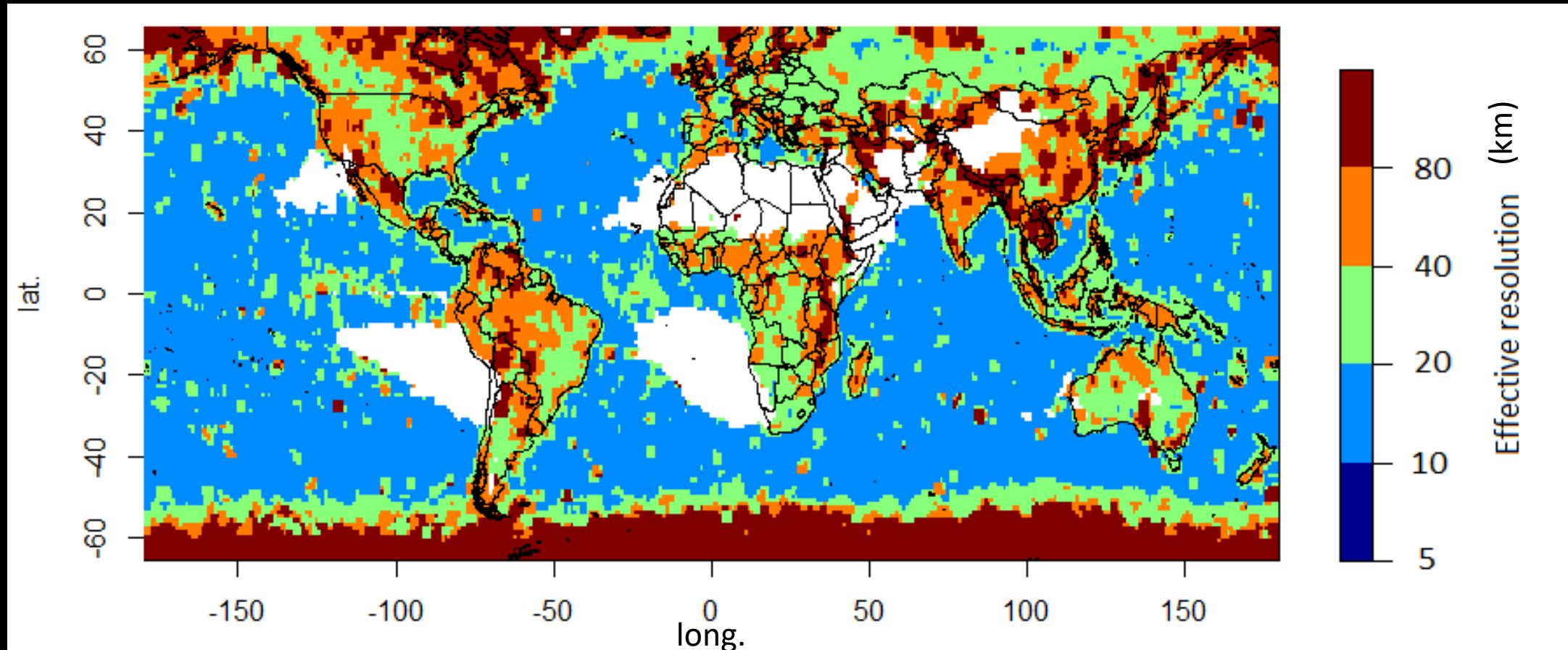
satellite  
precipitation  
field

radar  
precipitation  
field



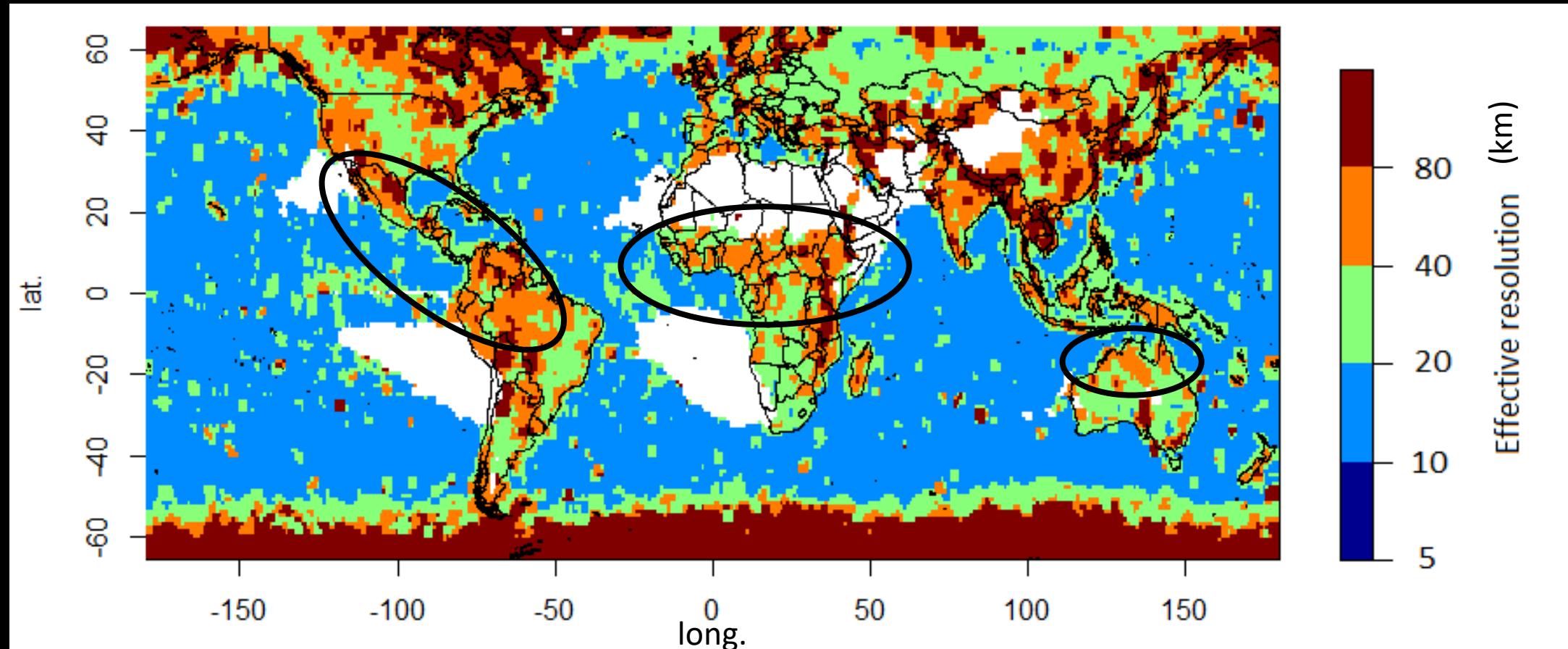
noise variance added > signal variance added  
=>These scales are unresolved  
**Effective Resolution = 40 km**

# Effective Resolution of GPROF GMI vs. KuPR



- 16,500 GPM orbits: March 2014 to February 2017
- Local values computed from all observations in  $3^\circ \times 3^\circ$  boxes.

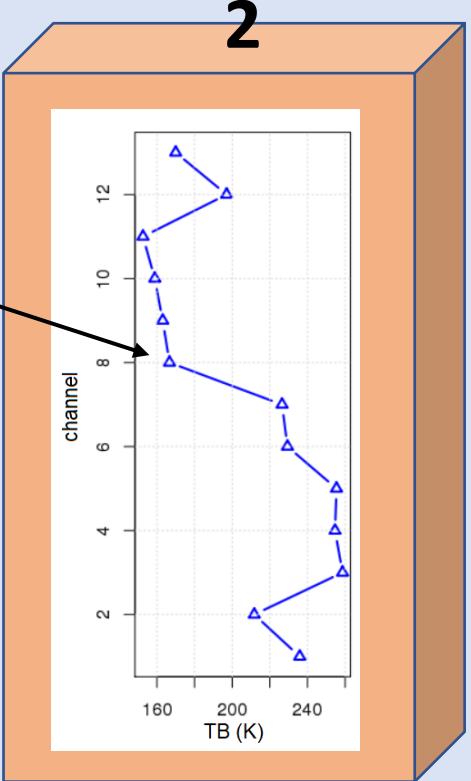
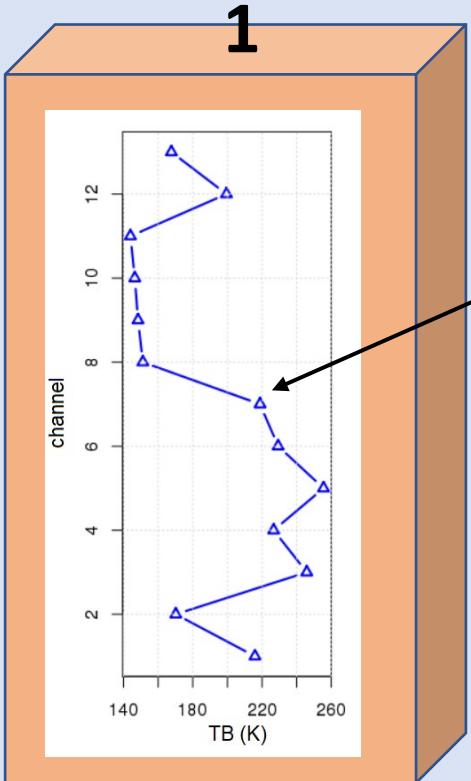
# Effective Resolution of GPROF GMI vs. KuPR



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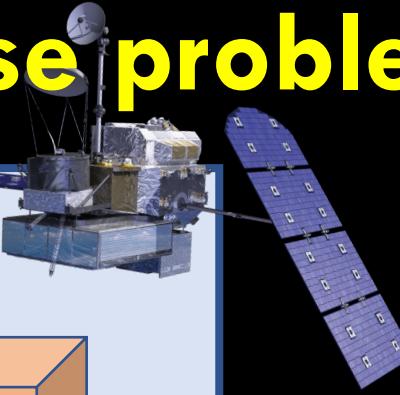
# Highly Underdetermined Inverse problem

RETRIEVAL DATABASE



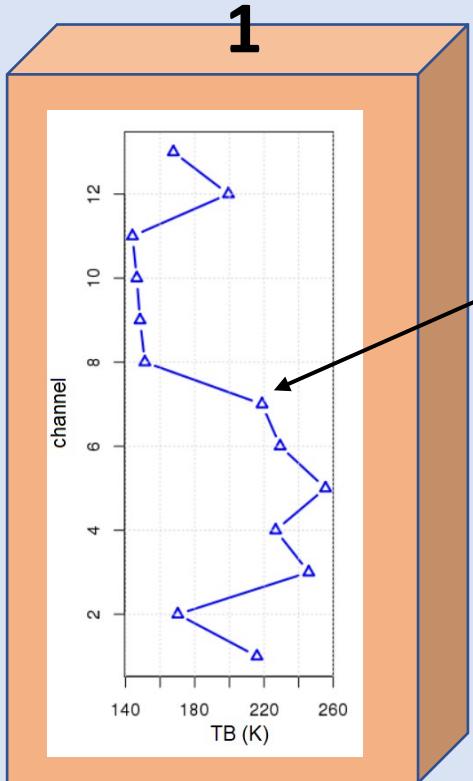
nearly identical  
spectral  
signatures

GMI + DPR

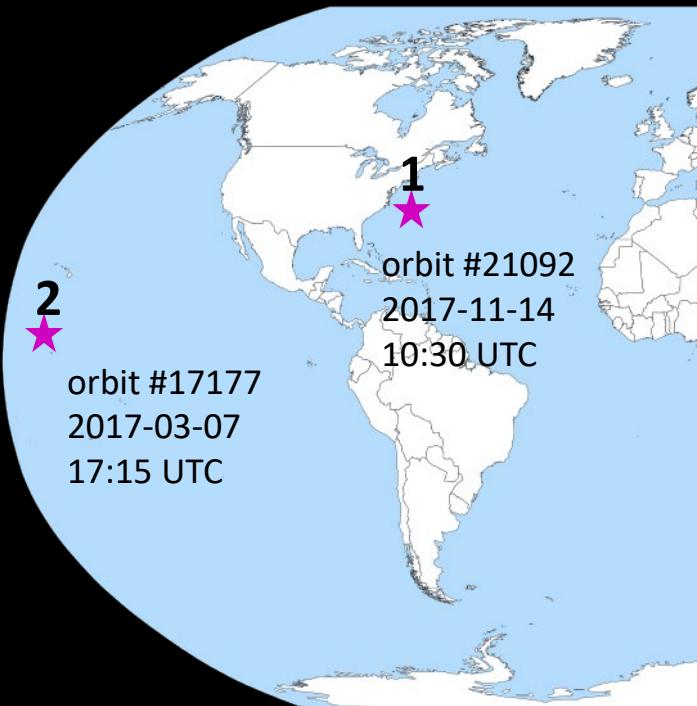
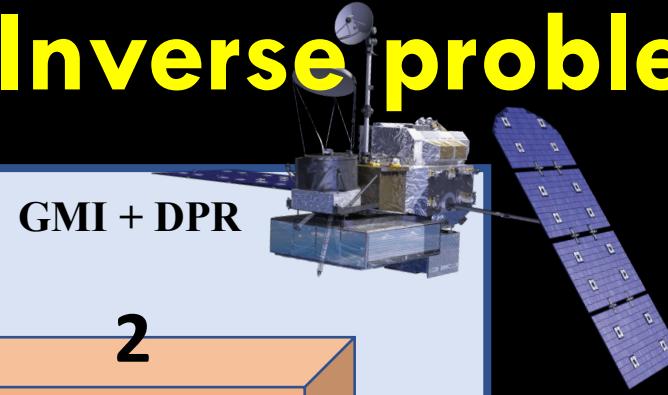
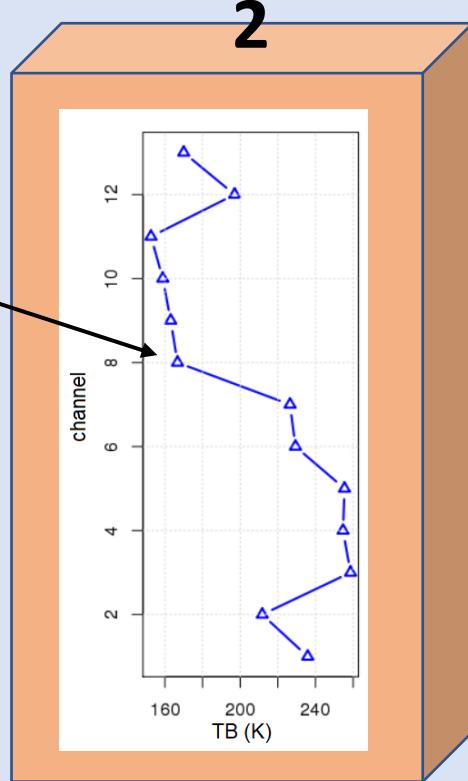


# Highly Underdetermined Inverse problem

## RETRIEVAL DATABASE

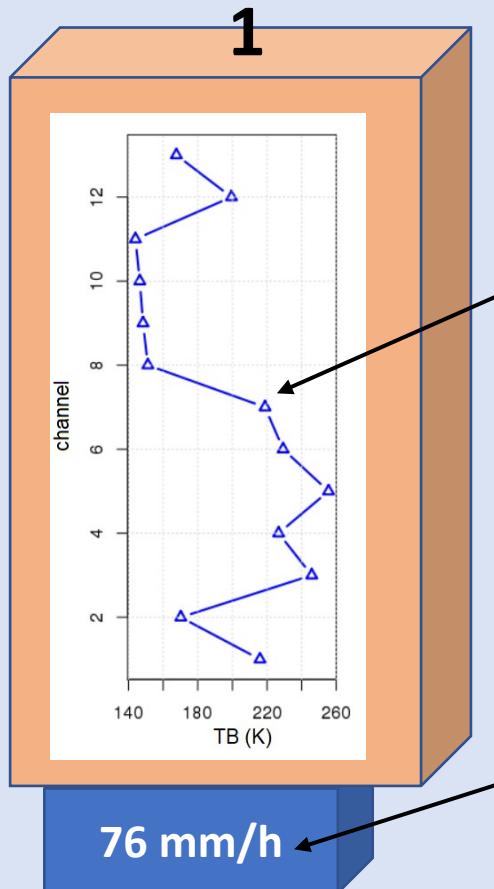


nearly identical  
spectral  
signatures



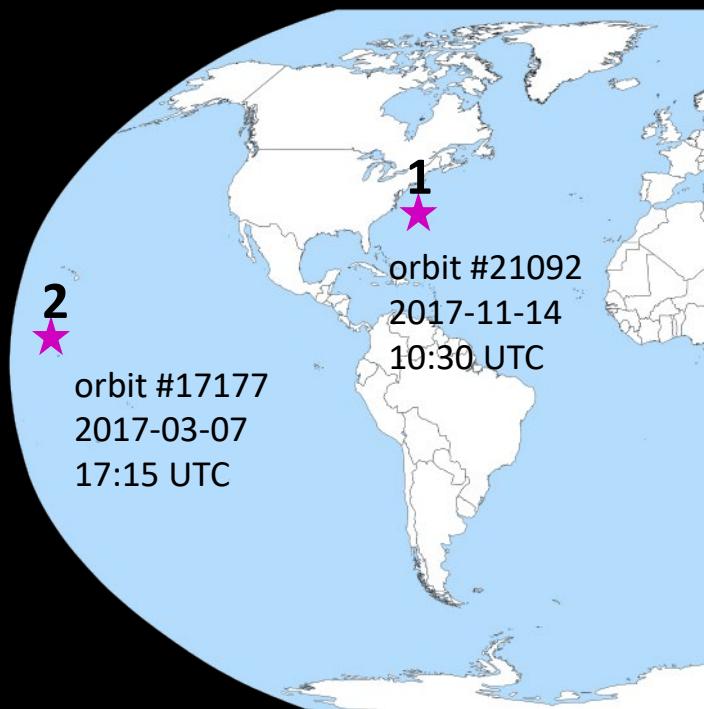
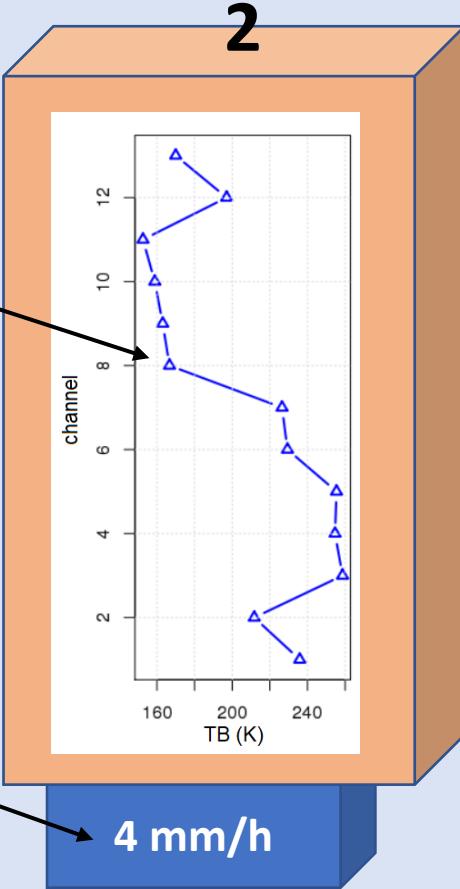
# Highly Underdetermined Inverse problem

## RETRIEVAL DATABASE



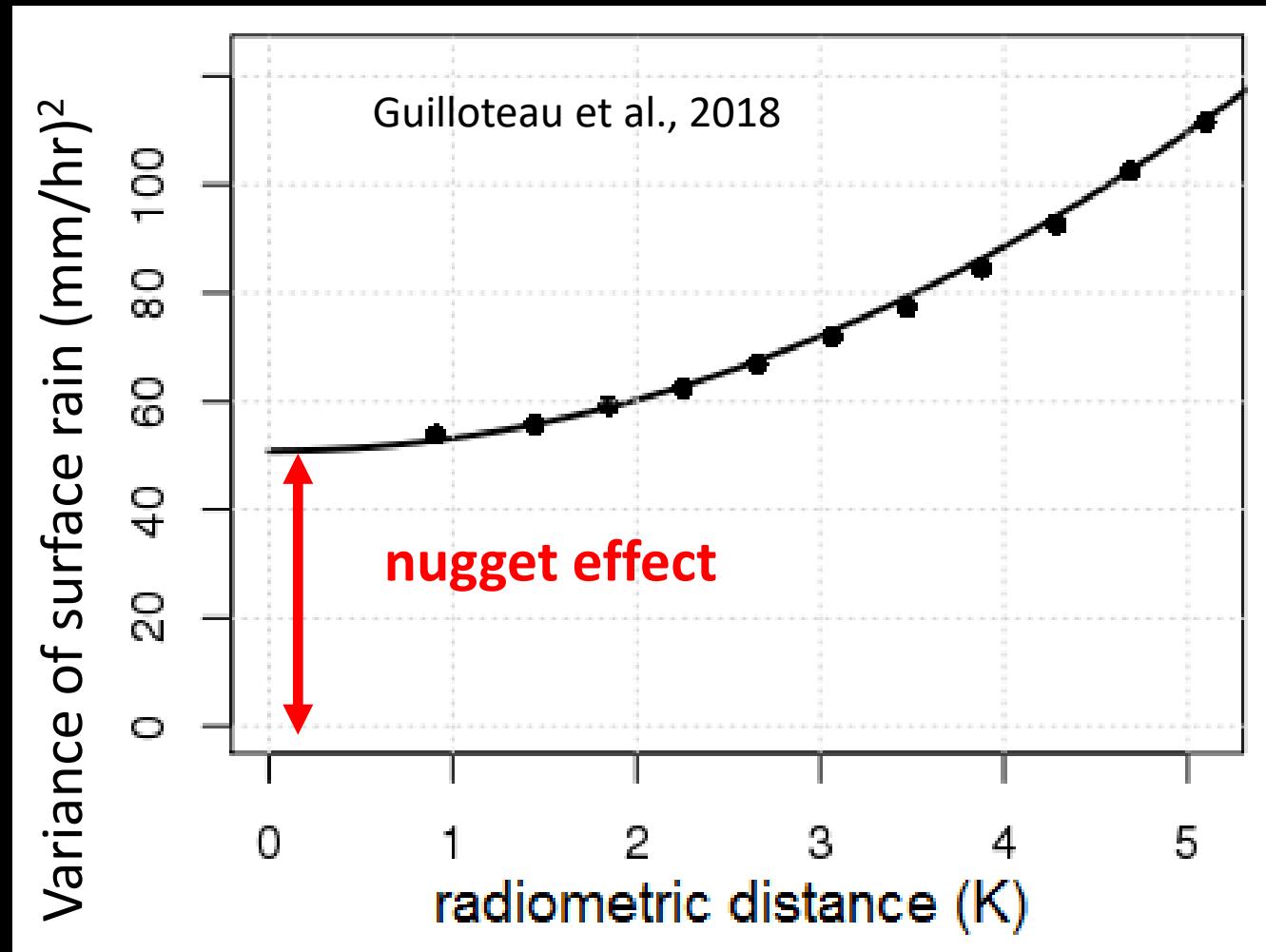
nearly identical  
spectral  
signatures

very different  
surface rain  
rates



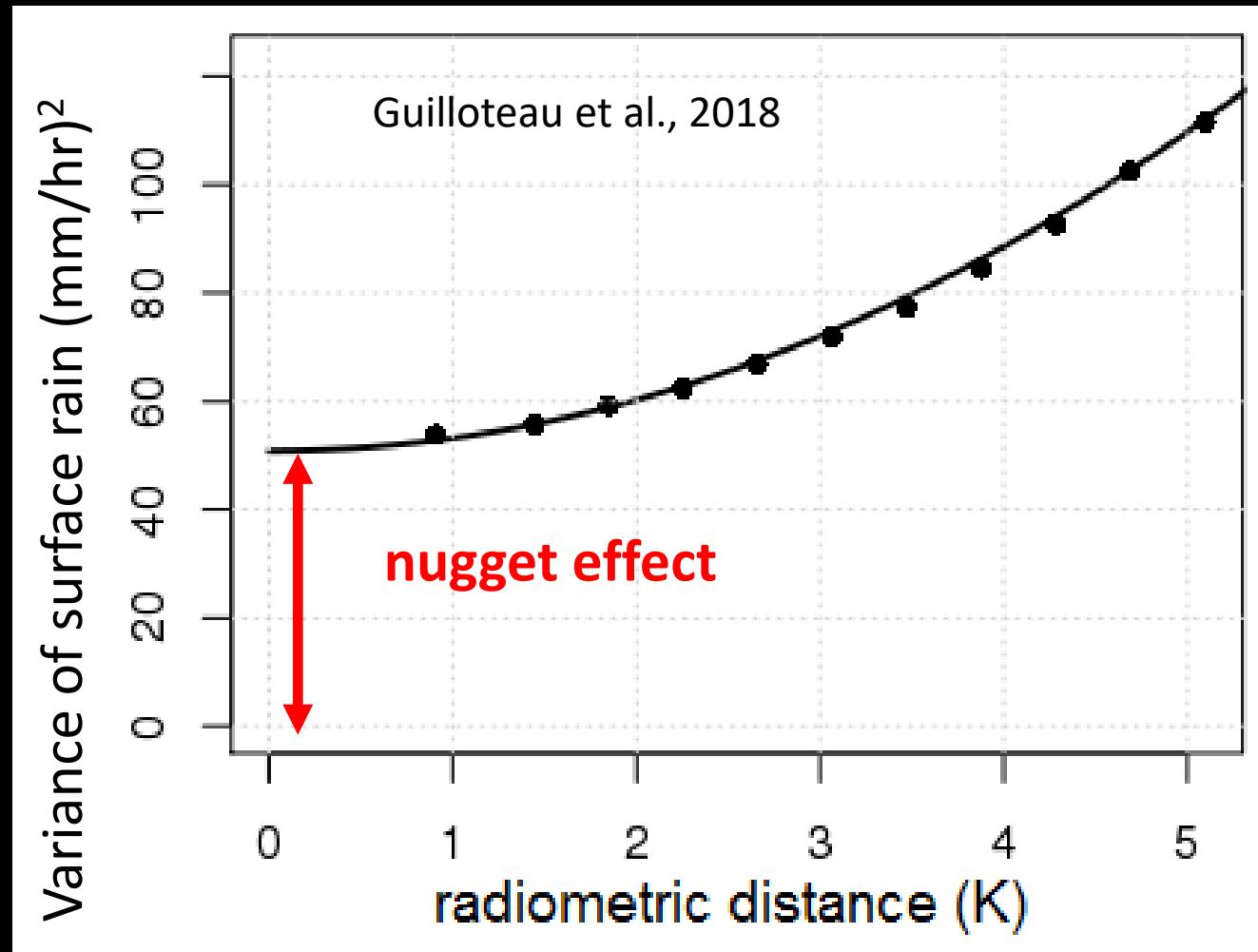
# Highly Underdetermined Inverse problem

4,000 neighbors in TB space



# Highly Underdetermined Inverse problem

4,000 neighbors in TB space

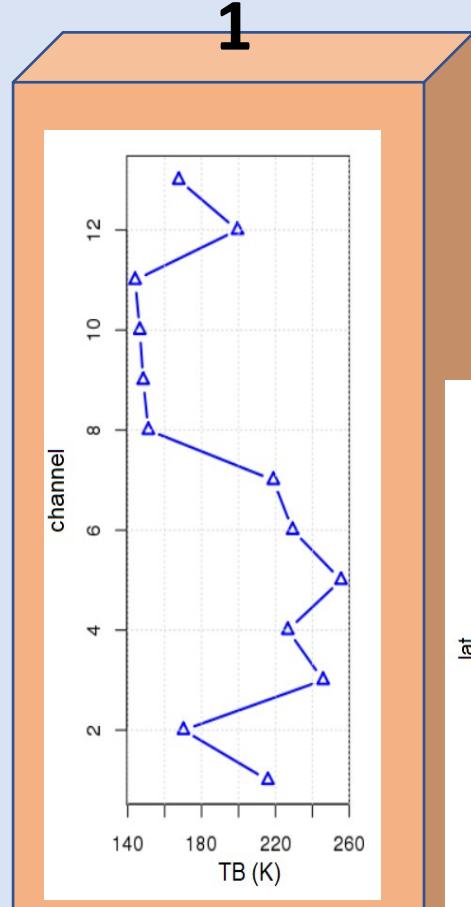


- 1) Increasing the size of the data base will not help
- 2) Improved inversion algorithms (KNN Bayesian, L1-L2, etc.) limited improvement in retrieval accuracy/extremes

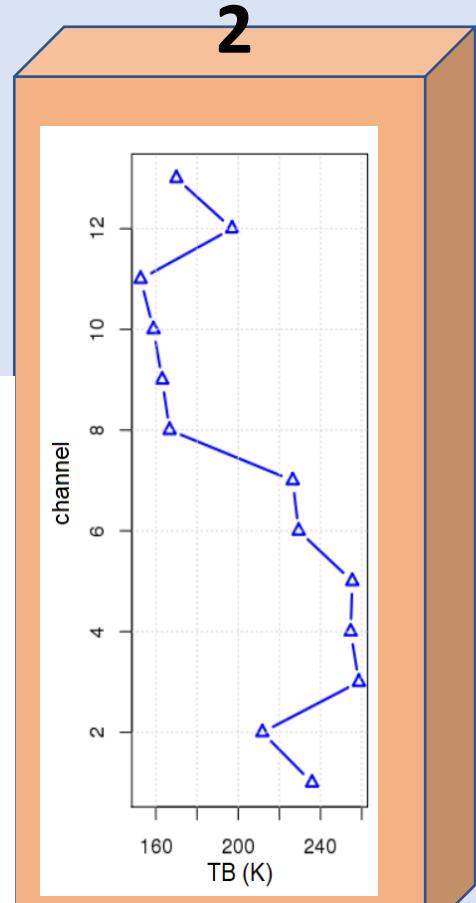
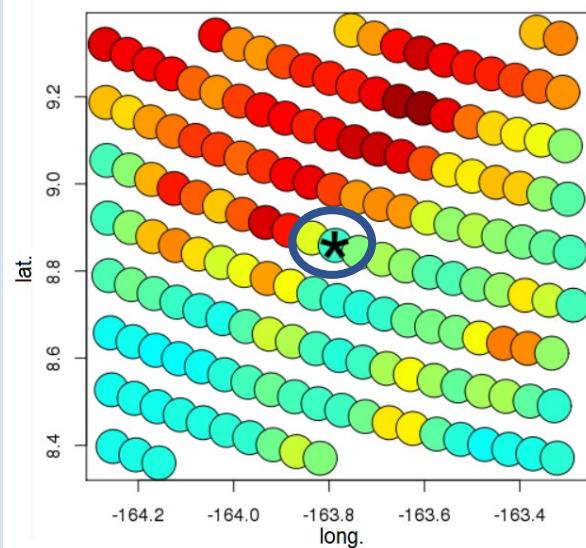
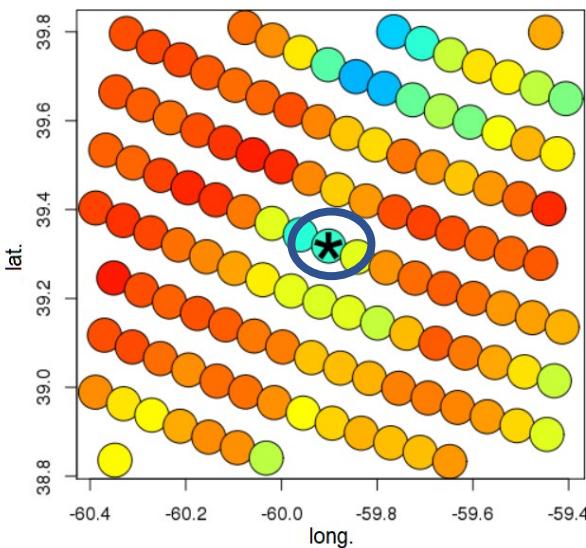
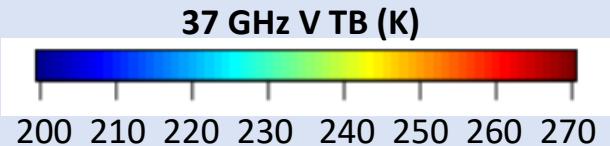
e.g., Ebtehaj et al., 2015, 2016 (L1-L2)

# We propose to look beyond the pixel ...

## RETRIEVAL DATABASE

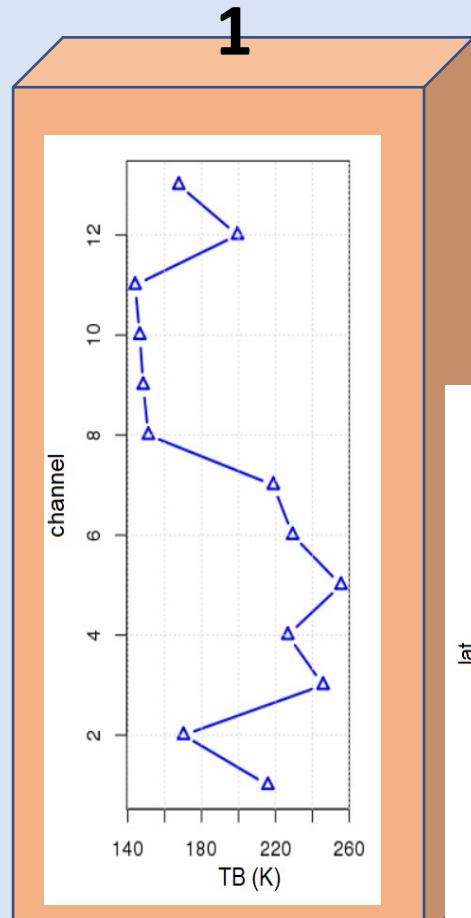


Look at PATTERNS of TB

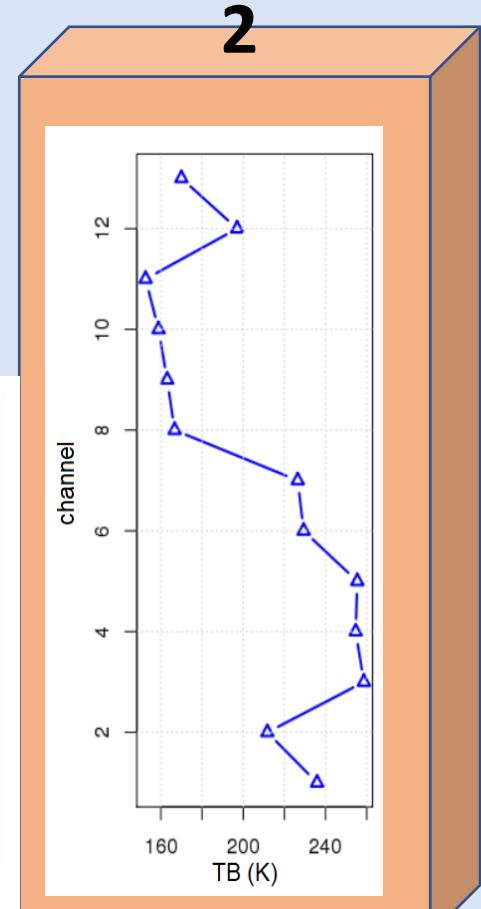
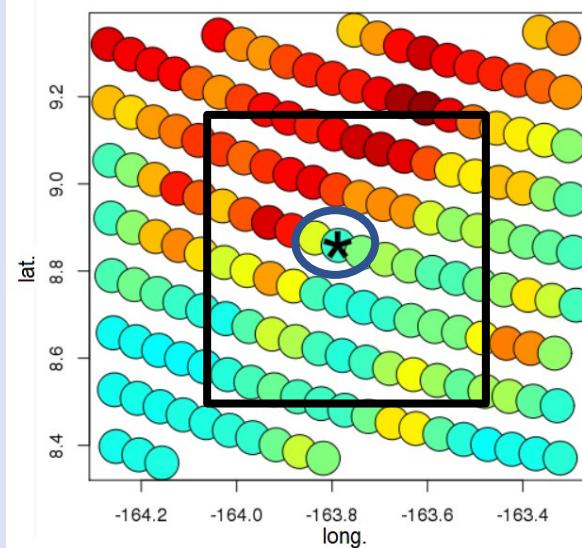
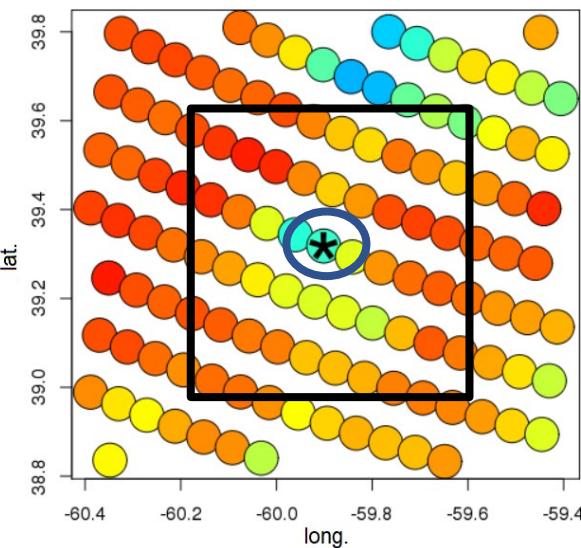
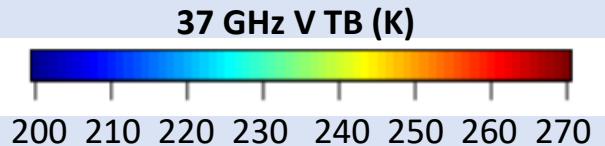


# We propose to look beyond the pixel ...

## RETRIEVAL DATABASE



Local depression of the 37 GHz TB  
= deep convection



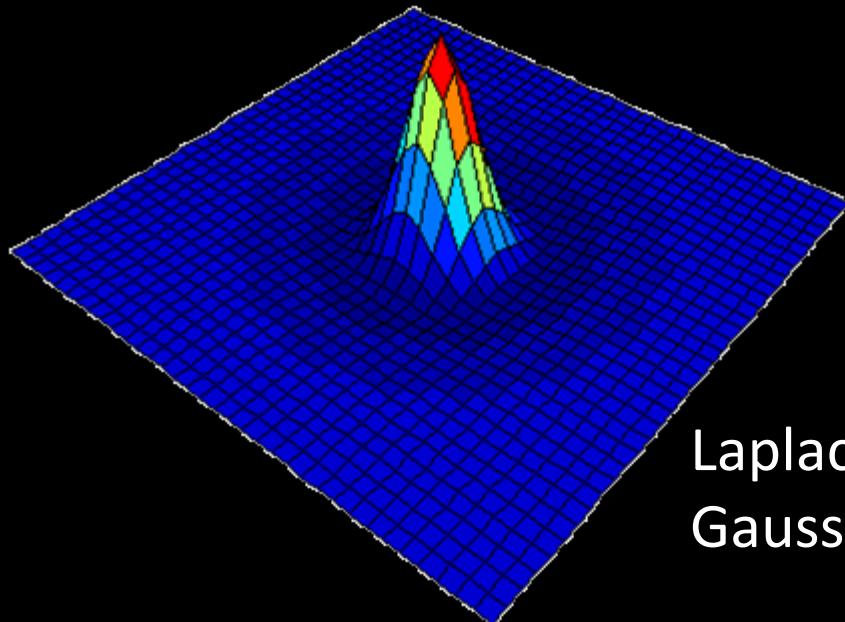
# The challenge becomes:

How to extract:

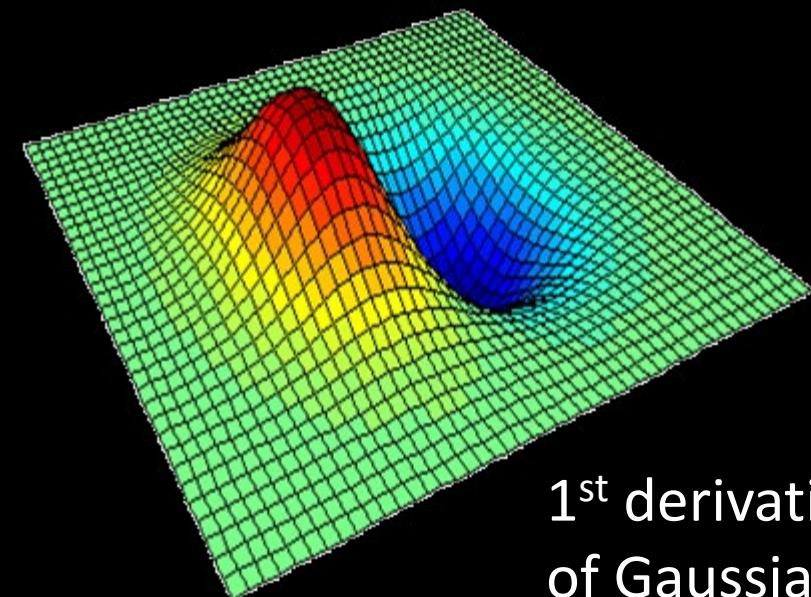
- the most informative non-local parameters from the TB patterns
- to increase identifiability and reduce retrieval uncertainty?

# Convolution filters to extract spatial information from fields of TB

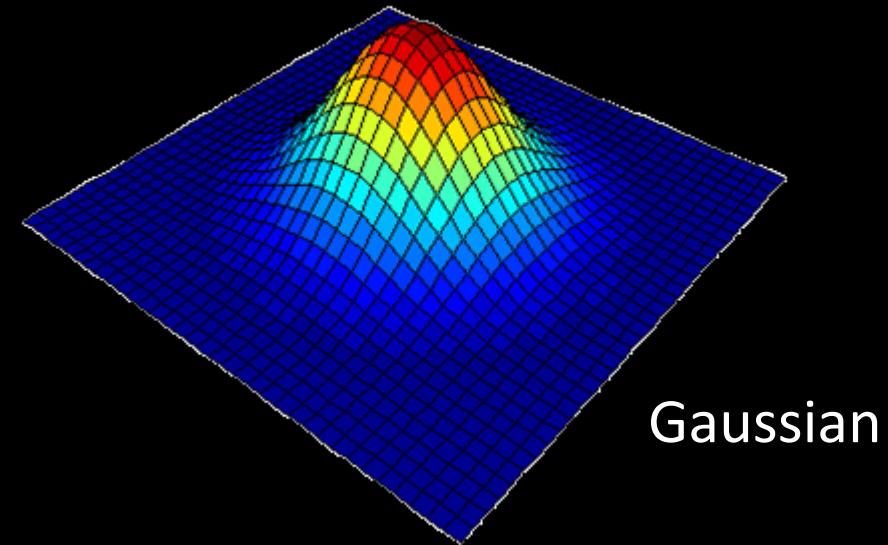
- Pattern extraction
- Spatial averaging / smoothing
- Spatial differentiation / edge detections / gradients extraction
- Multiscale decompositions (wavelets)



Laplacian of  
Gaussian



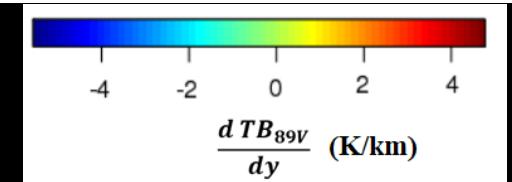
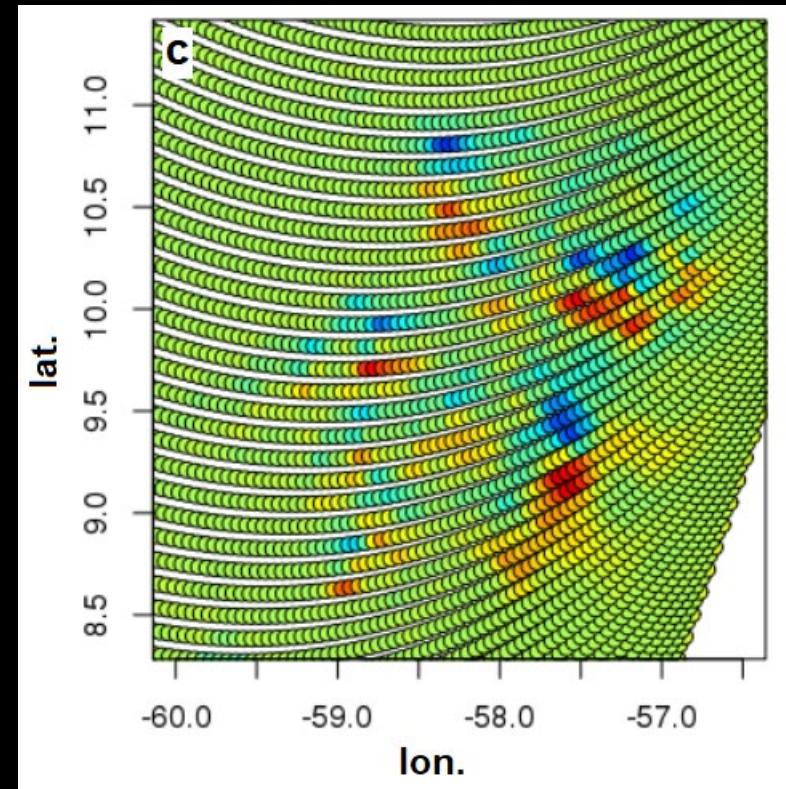
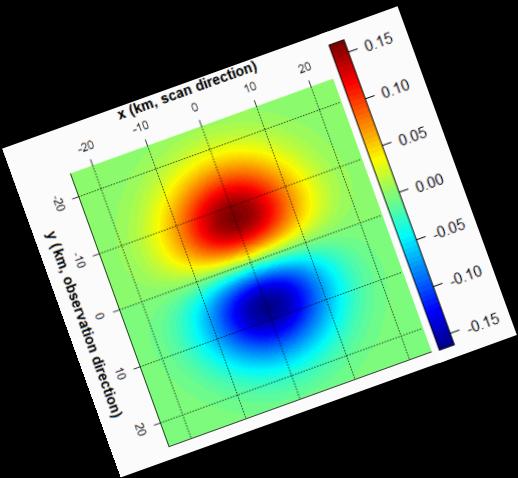
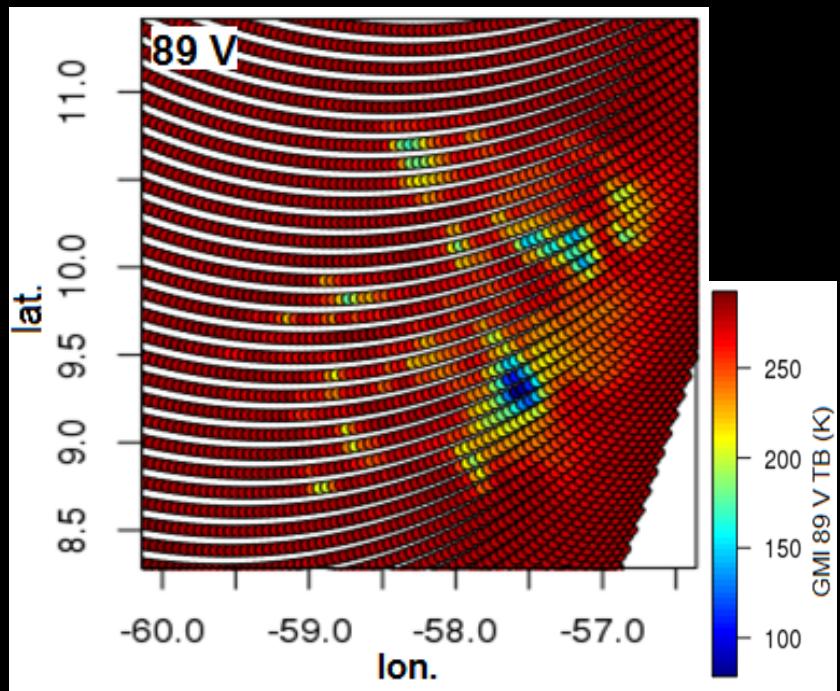
1<sup>st</sup> derivative  
of Gaussian



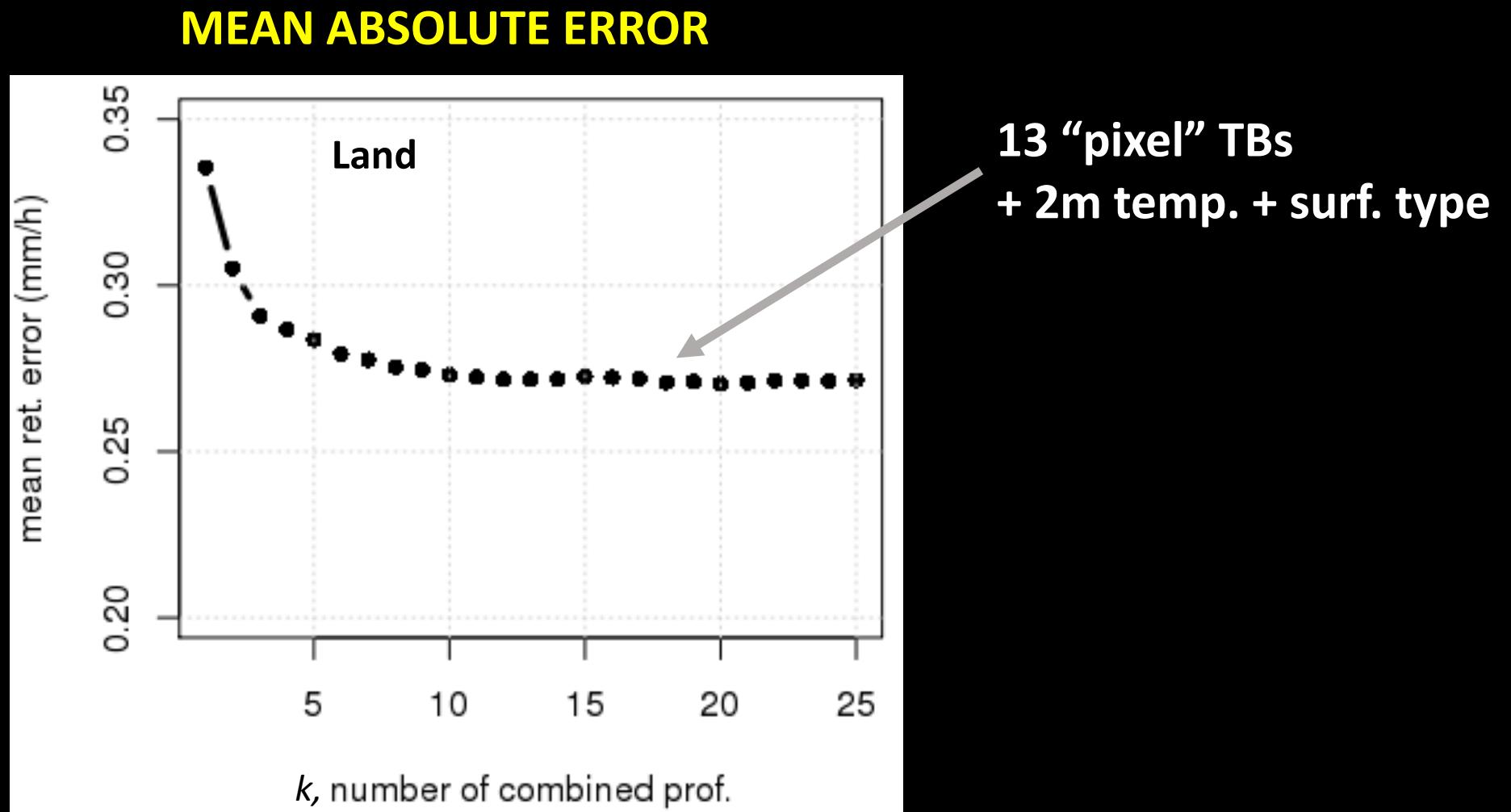
Gaussian

# Convolution filters to extract spatial information from fields of TB

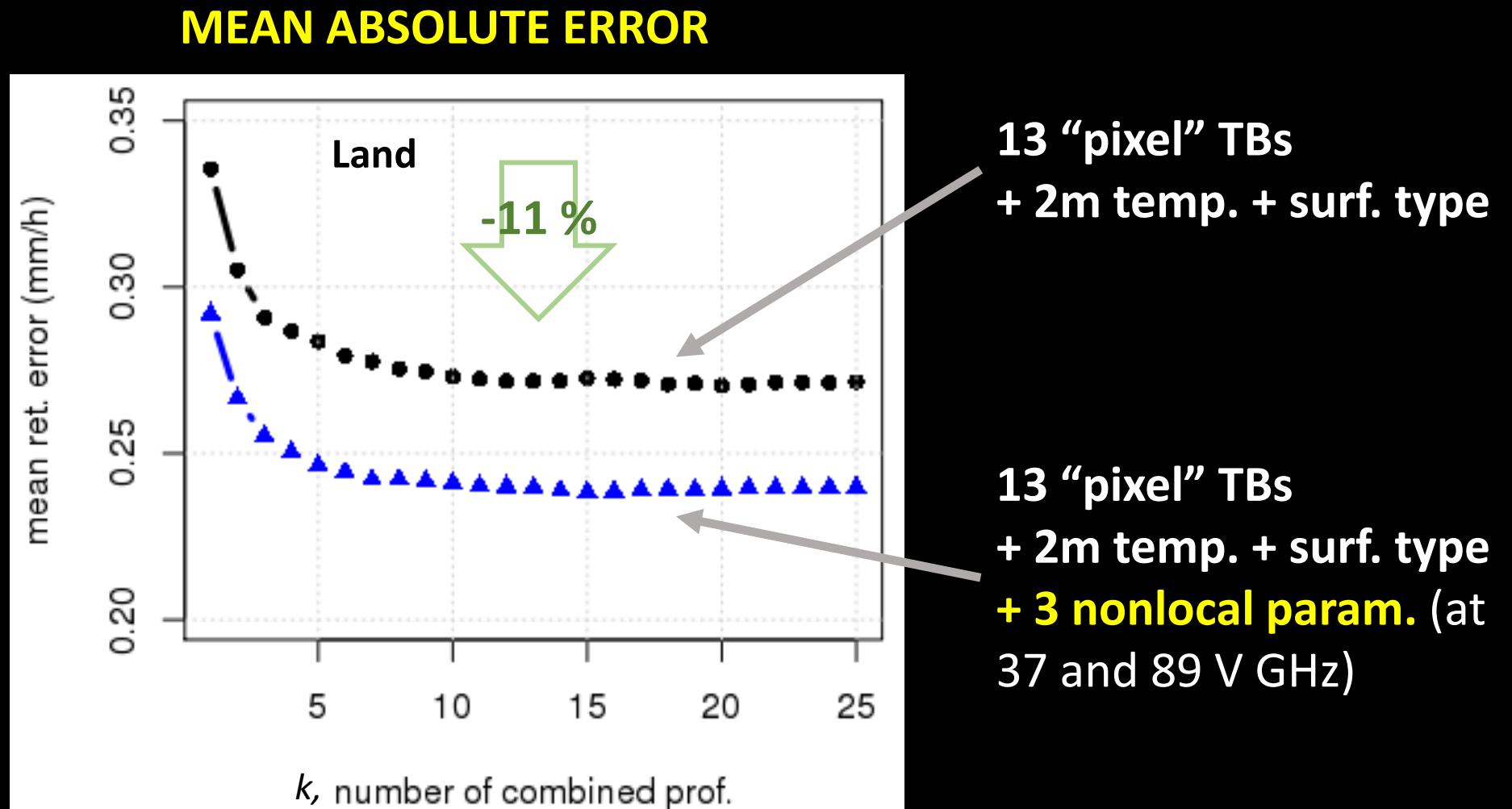
“nonlocal” parameter



# KNN retrieval from GMI with a 700 000 profile database

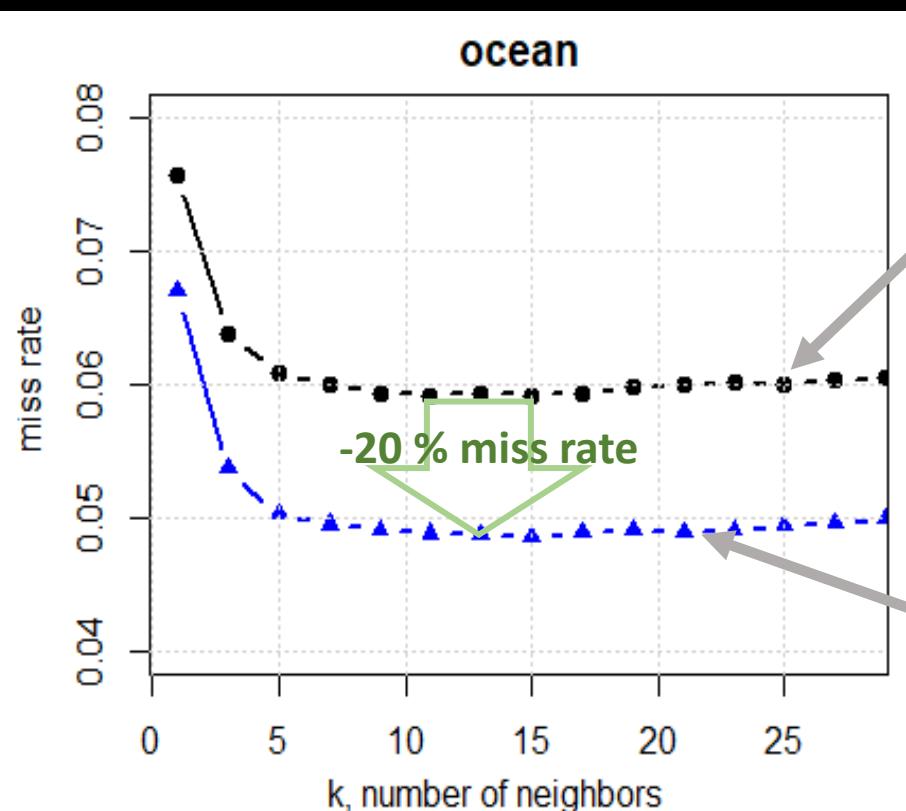
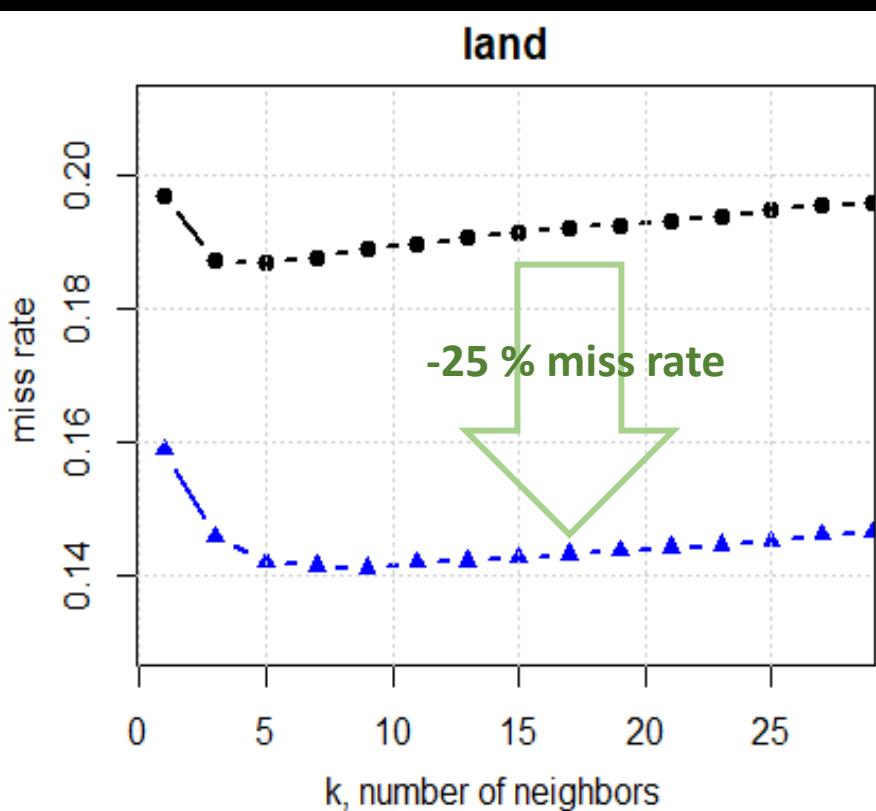


# KNN retrieval from GMI with a 700 000 profile database



# KNN retrieval from GMI with a 700 000 profile database

## RAINFALL MISS RATE

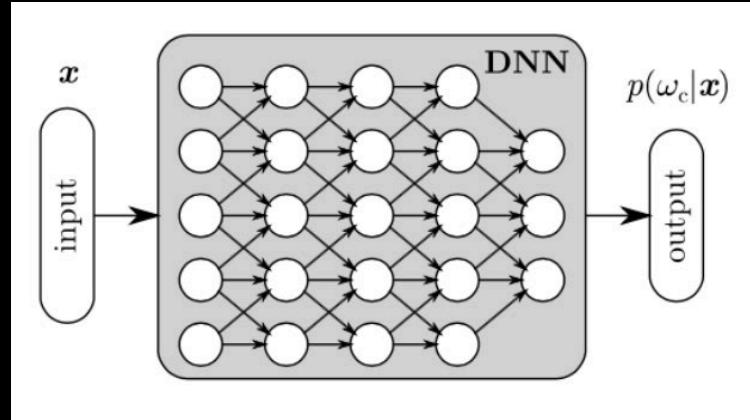


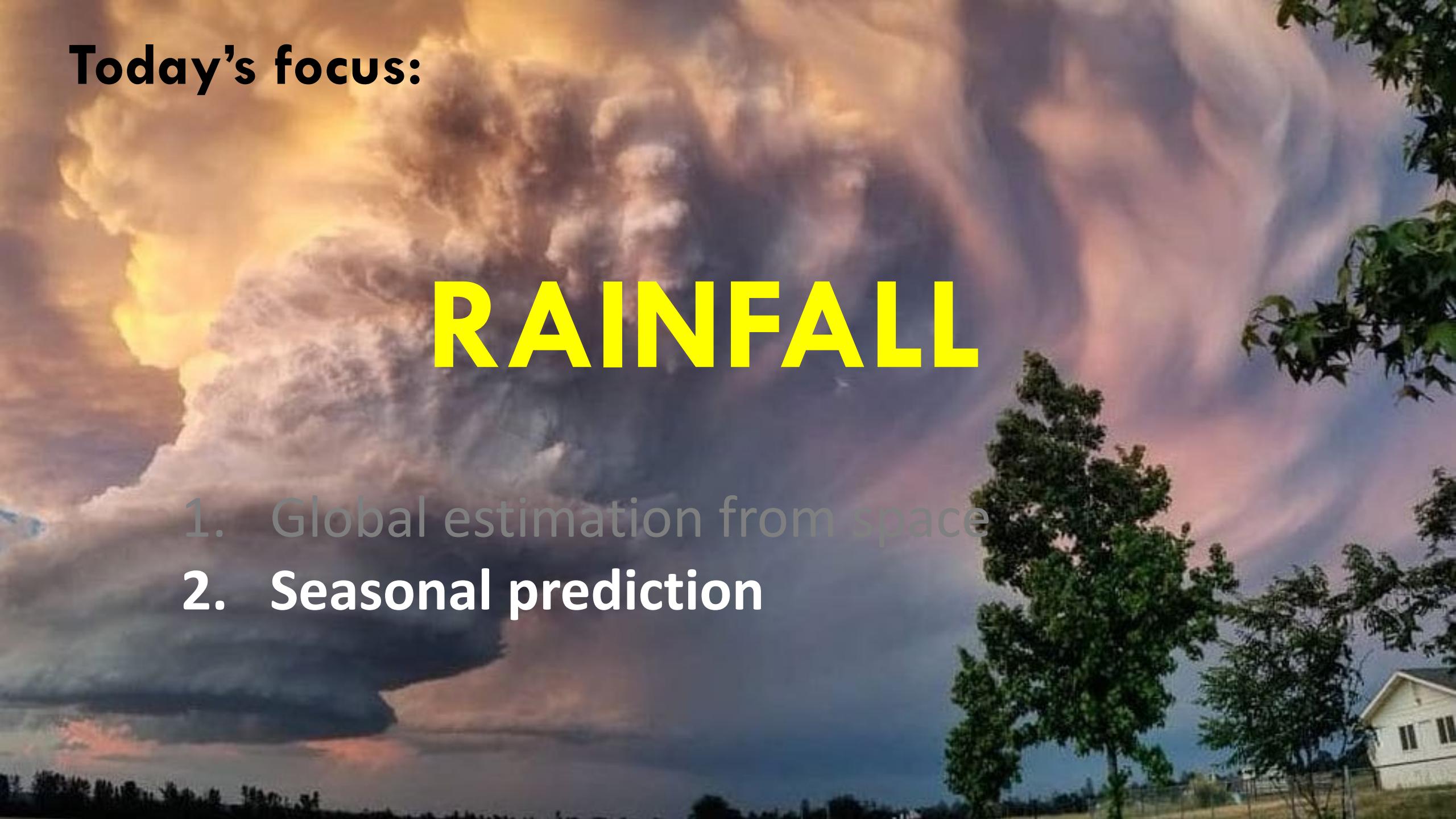
13 “pixel” TBs  
+ 2m temp. + surf. type

13 “pixel” TBs  
+ 2m temp. + surf. type  
+ 3 nonlocal param. (at  
37 and 89 V GHz)

# What's next?

- Is Machine Learning (ML) the solution?
- Eventually maybe, but not without physically-based dimensionality reduction first
- Train Convolutional Neural Networks (CNNs) and by backpropagation methods learn what patterns were retained in the training (attribution methods)
- Could work on specific storm systems, e.g., snowstorms and learn patterns that “detect snow”, etc.
- Error diagnostics for multi-sensor merging (IMERG)





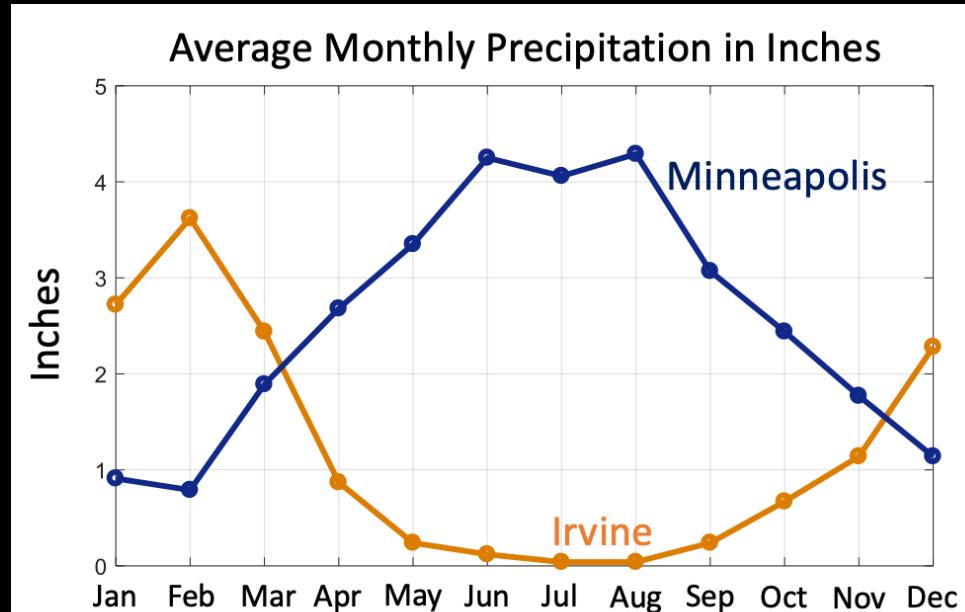
**Today's focus:**

# **RAINFALL**

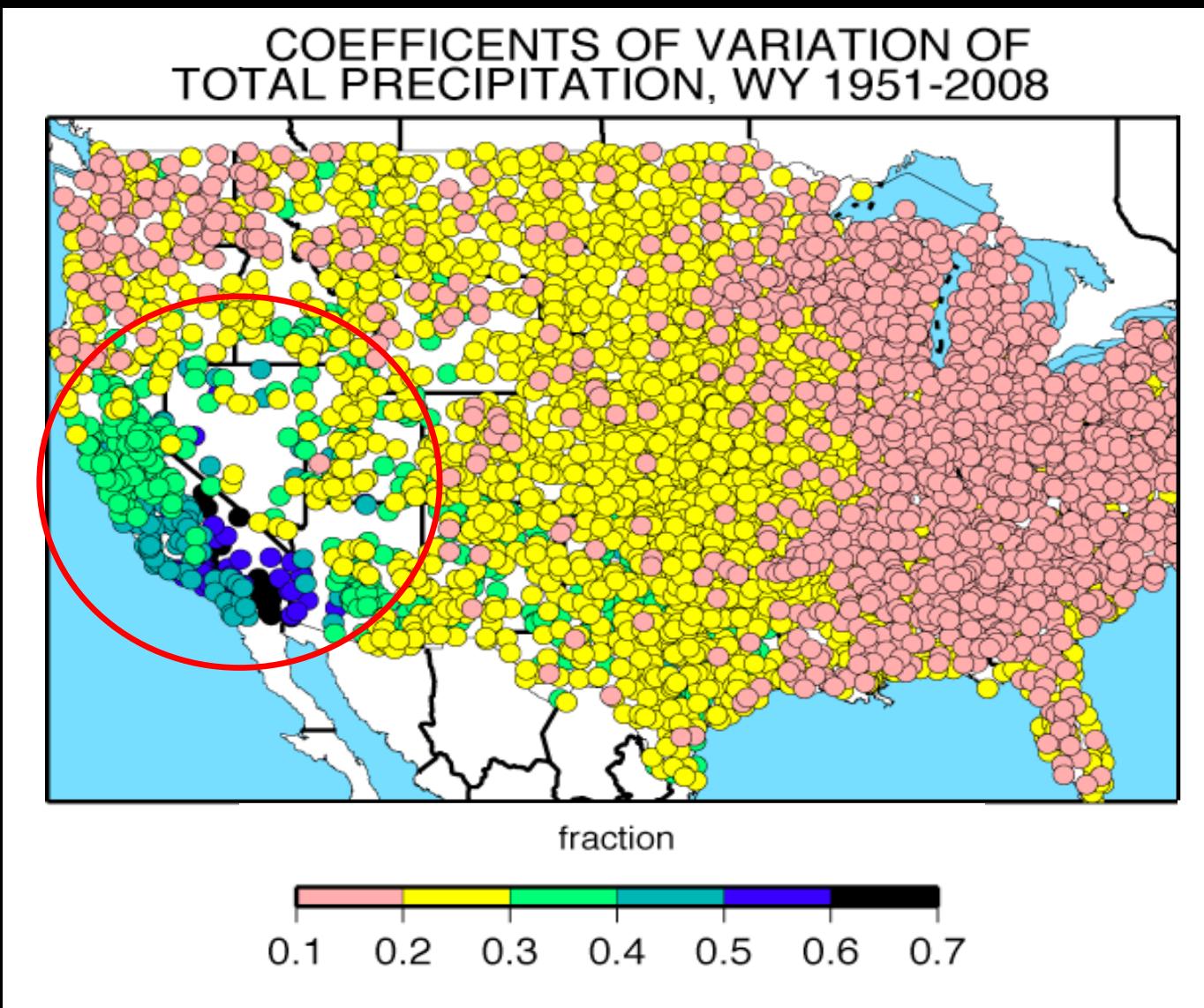
1. Global estimation from space
2. Seasonal prediction



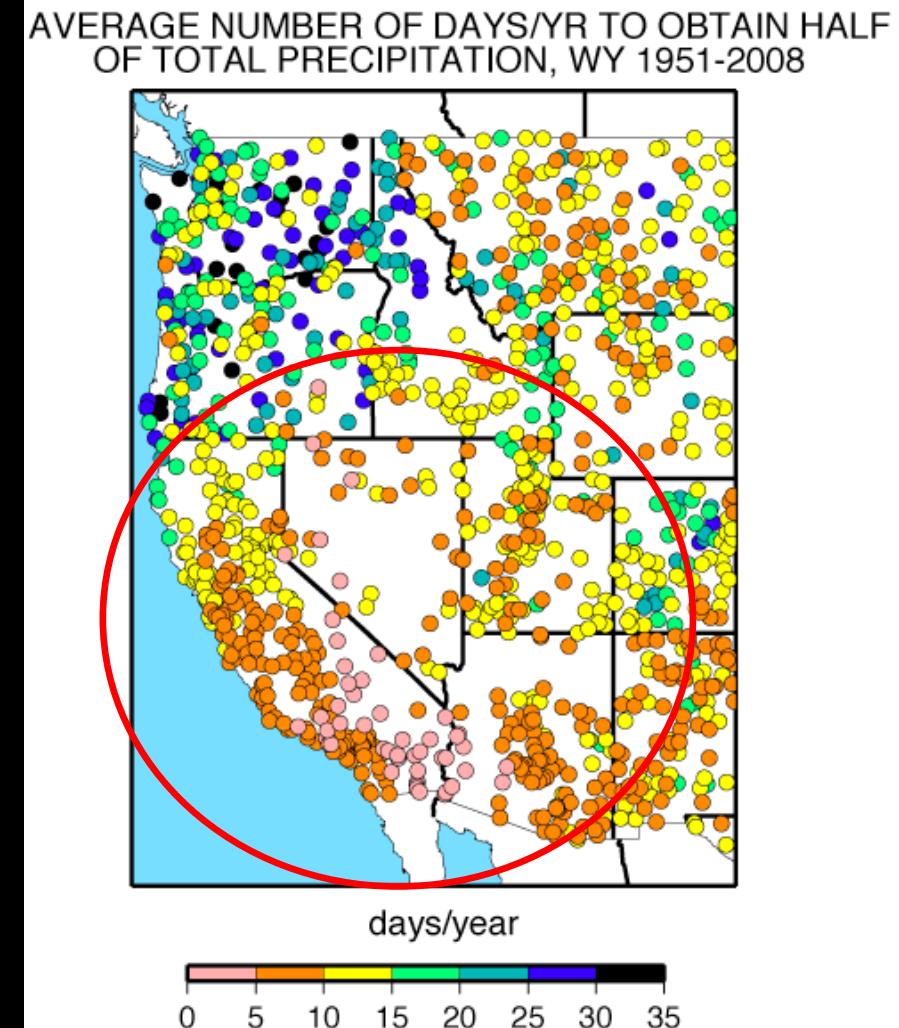
	<u>Minneapolis</u>	<u>Irvine</u>
Rain	32 inches	12 inches
Snow	53 inches	0 inches
Prec days	112 days	36 days
Avg T Jan	7 degrees F	46 degrees F



# Large interannual variability



Half of annual rain in 5-10 days

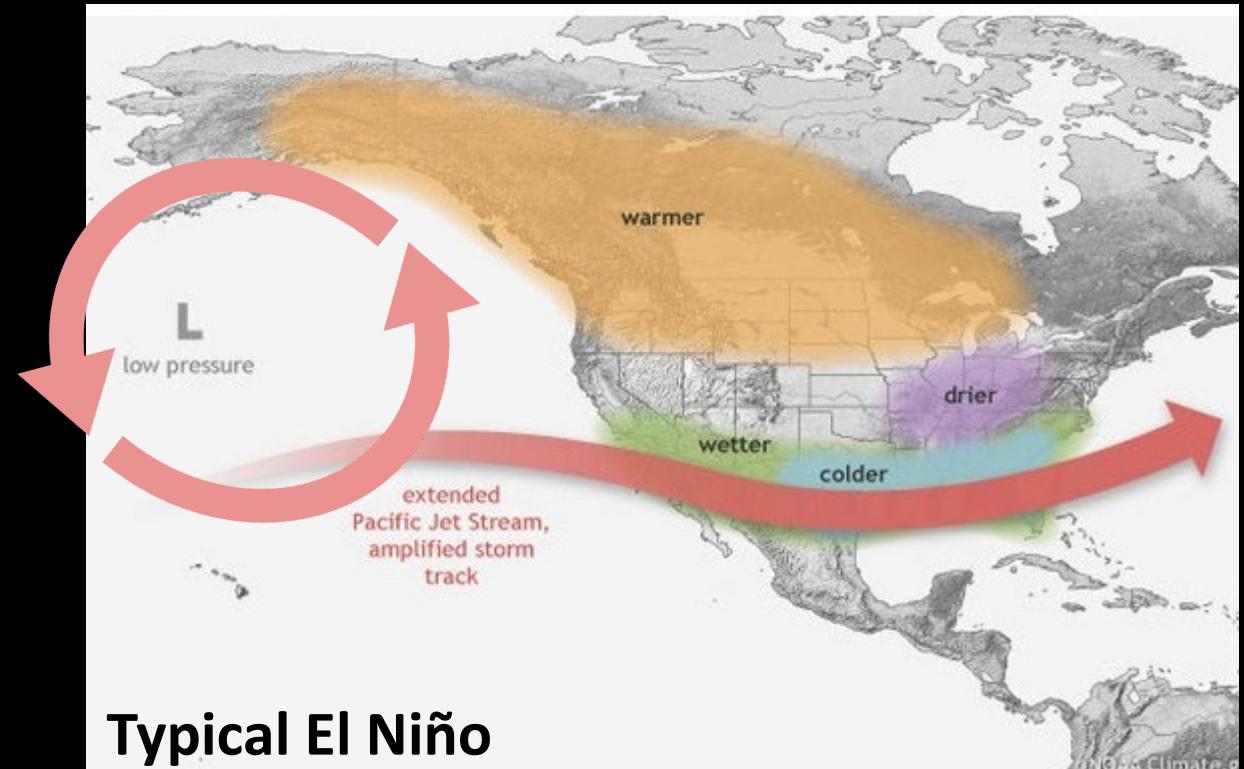
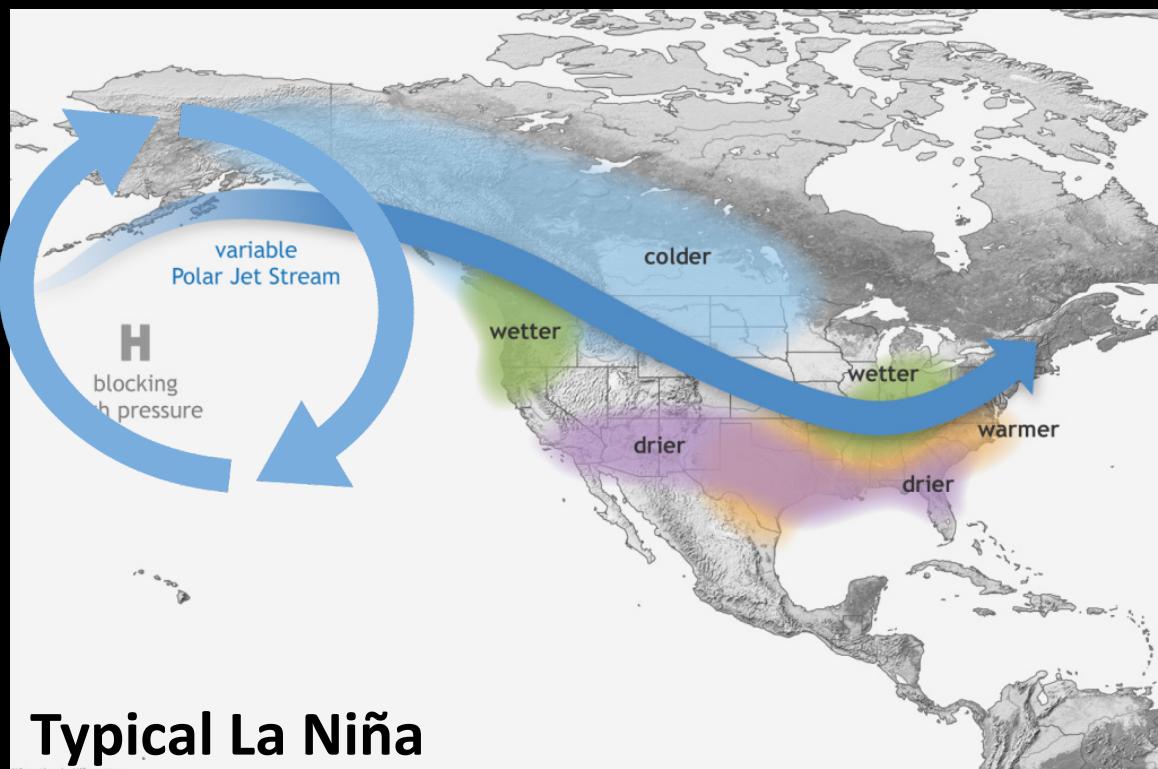


# Precipitation in SWUS: It all comes down to Pressure...

Persistent H/L-pressure ridges/troughs over the Gulf of Alaska

affect the jet stream diverting it to the N or S relative to its average latitudinal location

These pressure patterns are typically related to ENSO



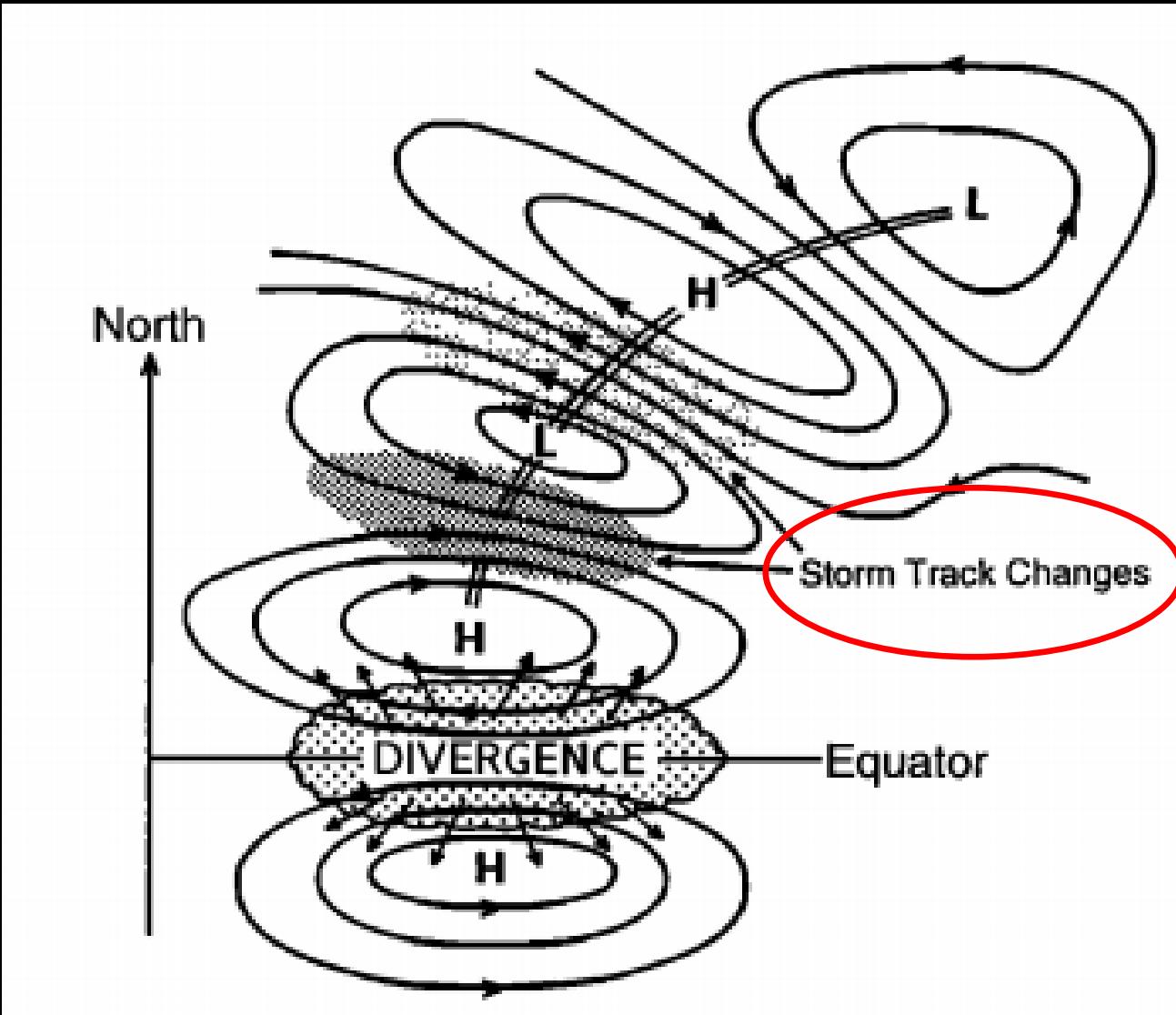
Figures are from Lindsey, 2016.

# Precipitation in SWUS: It all comes down to Pressure...

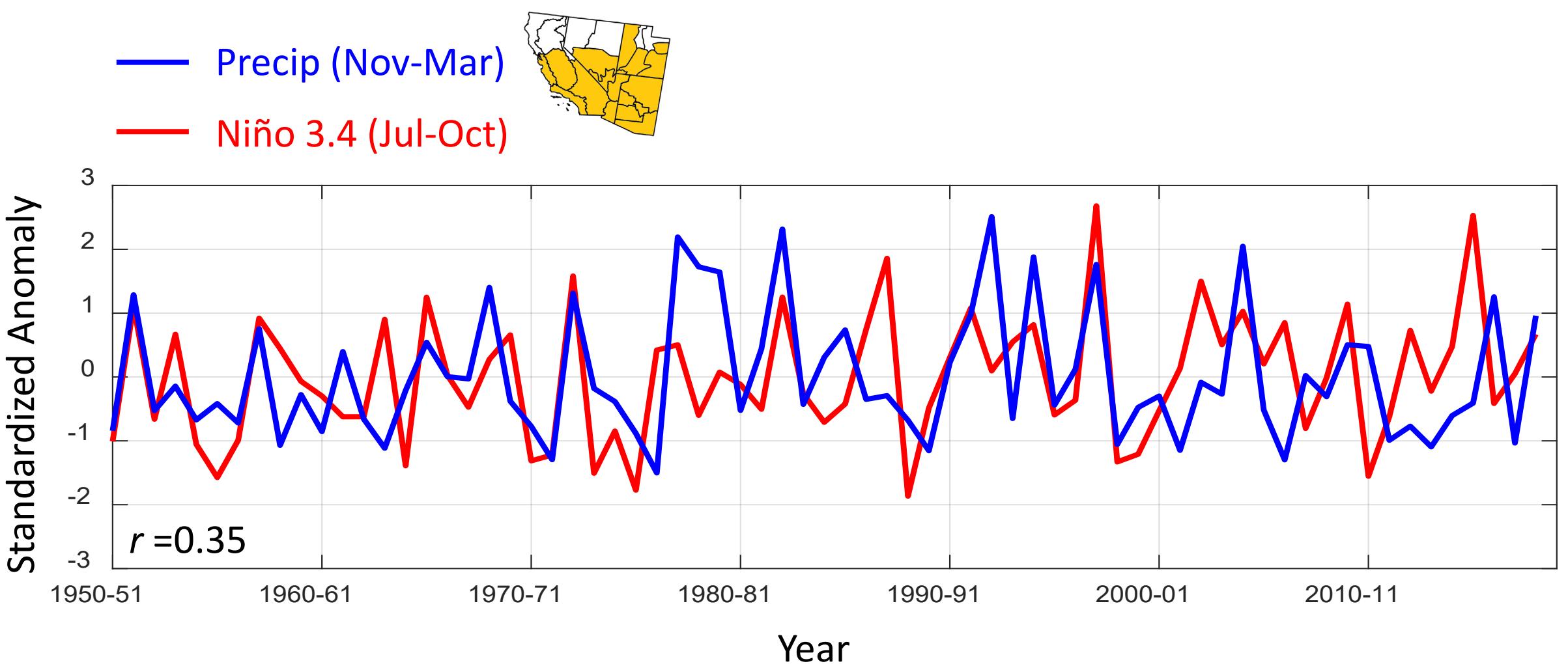
Above normal SSTs in the tropical Pacific **increase convergence** in the surface which enhances air convection and leads to **anomalous divergence in the top of the troposphere**.

A **quasi-stationary Rossby wave** of alternating anticyclonic and cyclonic patterns forms, which is associated with a southward **shift of the storm tracks in the subtropical regions**.

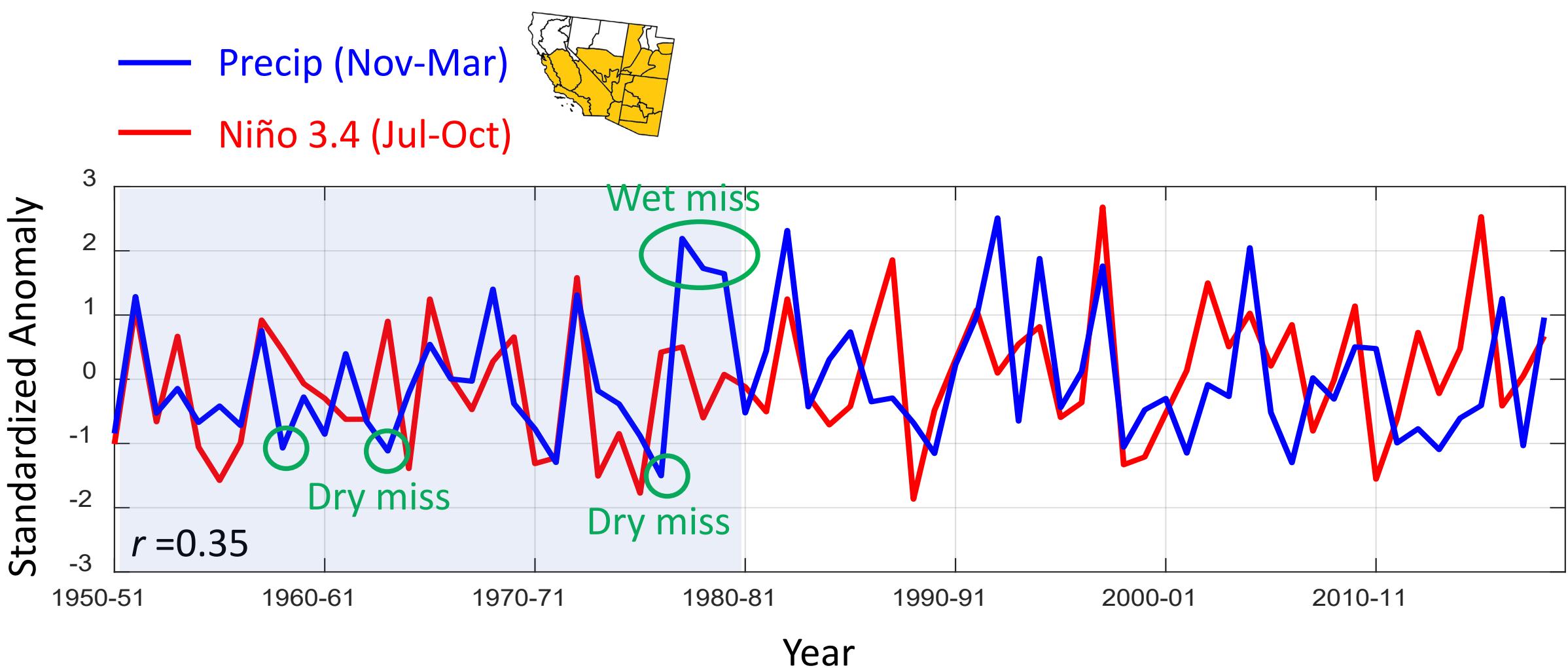
(Trenberth et al., 1998)



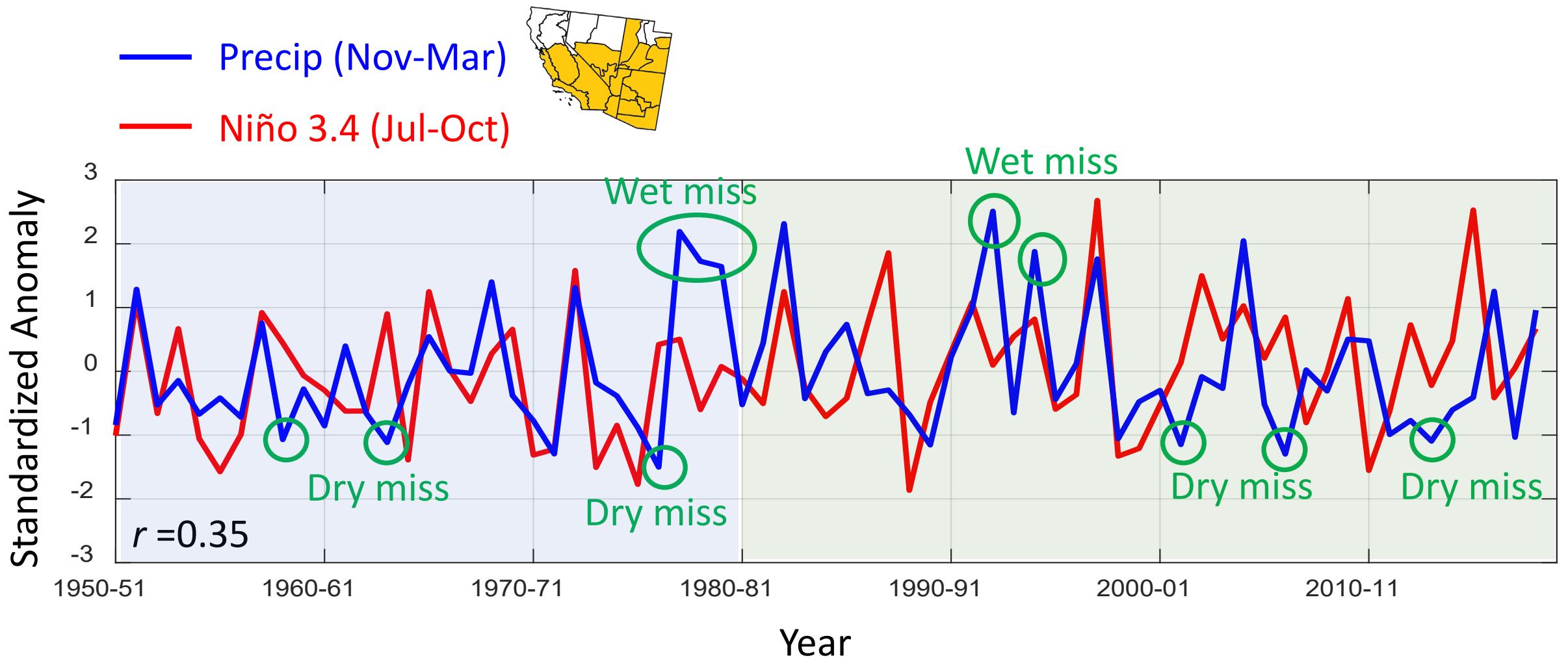
# Low predictive ability by ENSO



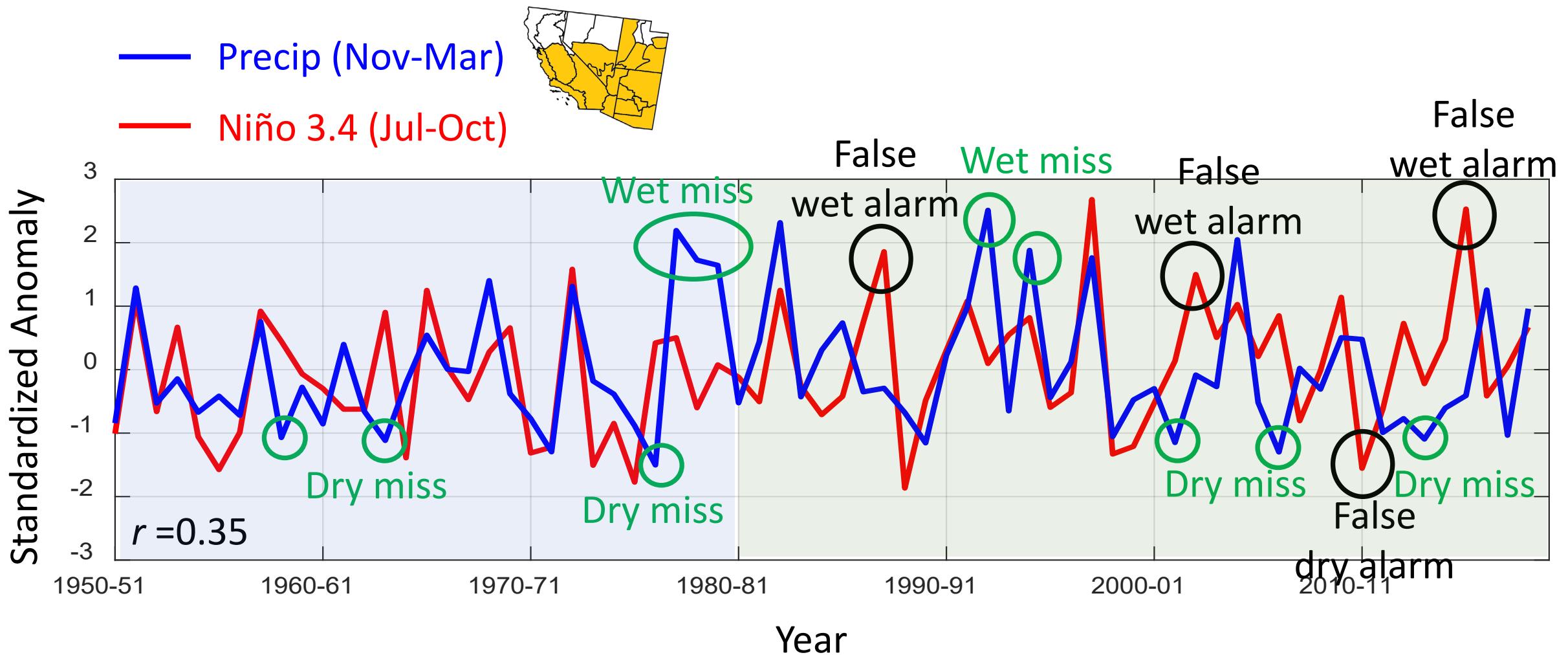
# Low predictive ability by ENSO



# Low predictive ability by ENSO



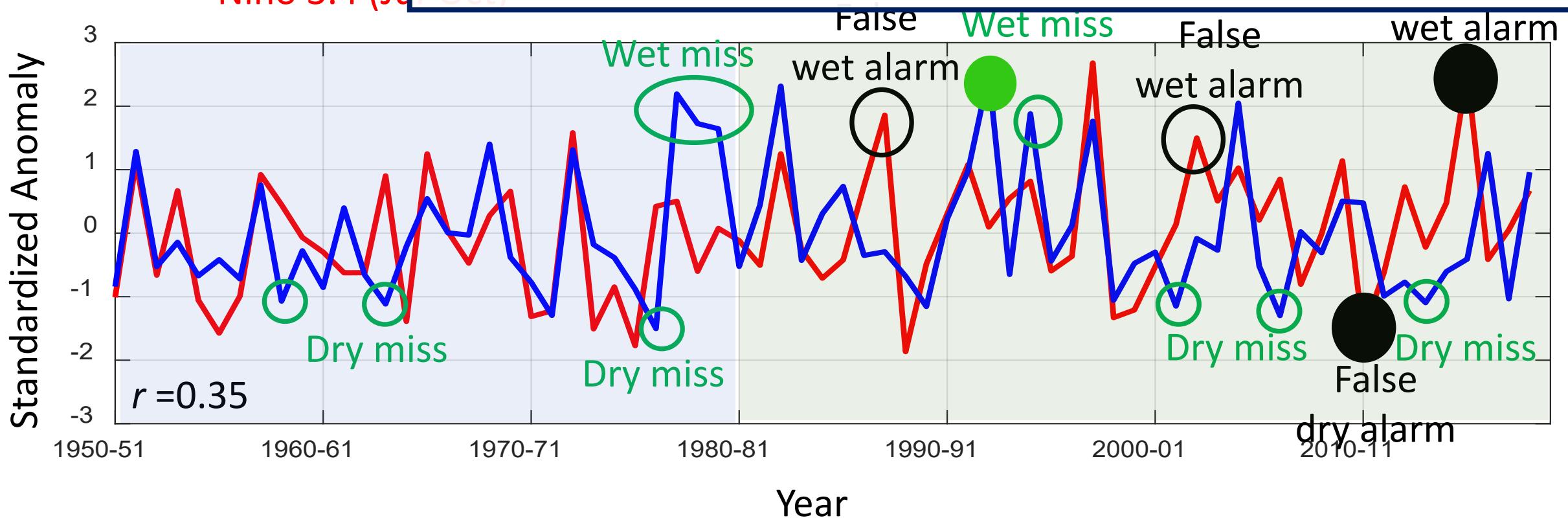
# Low predictive ability by ENSO



# Low predictive ability by ENSO

- Mega El Niño 2015-16 => dry year
- Strong La Niña 2010-11 => wet year
- ENSO neutral in 1992-93 => one of the wettest years in record

— Precip (Nov-May)  
— Niño 3.4 (Jul-Oct)



# The increasing importance of Western Pacific



## Geophysical Research Letters

### RESEARCH LETTER

10.1002/2014GL059748

**Key Points:**

- The drought-inducing ridge is recurrent
- The ridge is linked to an ENSO precursor
- The link of the ridge with ENSO precursor has grown

Probable causes of the abnormal ridge accompanying the 2013–2014 California drought: ENSO precursor and anthropogenic warming footprint

S.-Y. Wang<sup>1,2</sup>, Lawrence Higgs<sup>2</sup>, Robert R Gillies<sup>1,2</sup>, and Jin-Ho Yoon<sup>3</sup>

<sup>1</sup>Utah Climate Center, Utah State University, Logan, Utah, USA, <sup>2</sup>Department of Plants, Soils and Climate, Utah State University, Logan, Utah, USA, <sup>3</sup>Pacific Northwest National Laboratory, Richland, Washington, USA

## Journal of Geophysical Research: Atmospheres

### RESEARCH ARTICLE

10.1002/2017JD026575

**Key Points:**

- North Pacific atmospheric high pressure similar to that responsible for the 2013–2016 California drought

Remote Linkages to Anomalous Winter Atmospheric Ridging Over the Northeastern Pacific

Daniel L. Swain<sup>1,2</sup> , Deepa Singh<sup>1,3</sup> , Daniel E. Horton<sup>4</sup> , Justin S. Mankin<sup>3,5</sup> , Tristan C. Ballard<sup>1</sup>, and Noah S. Diffenbaugh<sup>1,6</sup>



## Drought and the California Delta—A Matter of Extremes

Michael Dettinger<sup>1,\*</sup> and Daniel R. Cayan<sup>1</sup>

"And it never failed that during the dry years the people forgot about the rich years and during the wet years they

## Key Role of the North Pacific Oscillation–West Pacific Pattern in the Extreme 2013/14 North American Winter

STEPHEN BAXTER

Climate Prediction Center, NOAA/NWS/NCEP, College Park, Maryland

SUMANT NIGAM

## Causes of Extreme Ridges That Induce California Droughts

HAIYAN TENG AND GRANT BRANSTATOR

National Center for Atmospheric Research,<sup>a</sup> Boulder, Colorado

INTERNATIONAL JOURNAL OF CLIMATOLOGY

*Int. J. Climatol.* **19**: 1399–1410 (1999)

## DECADAL VARIATIONS IN THE STRENGTH OF ENSO TELECONNECTIONS WITH PRECIPITATION IN THE WESTERN UNITED STATES

GREGORY J. McCABE<sup>a,\*</sup> and MICHAEL D. DETTINGER<sup>b,†</sup>

<sup>a</sup> US Geological Survey, Denver Federal Center, MS 412, Denver, CO 80225, USA

<sup>b</sup> US Geological Survey, Scripps Institution of Oceanography, La Jolla, CA 92093-0227, USA



atmosphere

Article

## Impacts of Pacific SSTs on Atmospheric Circulations Leading to California Winter Precipitation Variability: A Diagnostic Modeling

Boksoon Myoung<sup>1,\*</sup>, Sang-Wook Yeh<sup>2</sup>, Ji

<sup>1</sup> APEC Climate Center, Busan 48058, Korea

<sup>2</sup> Department of Marine Sciences and Conver



## Is There a Role for Human-Induced Climate Change in the Precipitation Decline that Drove the California Drought?

RICHARD SEAGER, NAOMI HENDERSON, MARK A. CANE, HAIBO LIU, AND JENNIFER NAKAMURA

## Geophysical Research Letters

### RESEARCH LETTER

10.1029/2019GL084021

**Key Points:**

- Tropical Pacific zonal sea surface temperature gradients modulate tropical atmospheric patterns traditionally associated with El Niño
- An anomalously strong tropical Pacific zonal sea surface

## On the Delayed Coupling Between Ocean and Atmosphere in Recent Weak El Niño Episodes

N. C. Johnson<sup>1,2</sup> , M. L. L'Heureux<sup>3</sup> , C.-H. Chang<sup>4</sup>, and Z.-Z. Hu<sup>3</sup>

<sup>1</sup>Atmospheric and Oceanic Sciences Program, Princeton University, Princeton, NJ, USA, <sup>2</sup>NOAA Geophysical Fluid Dynamics Laboratory, Princeton, NJ, USA, <sup>3</sup>NOAA/NCEP Climate Prediction Center, College Park, MD, USA, <sup>4</sup>Center for Climate/Environment Change Prediction Research, Ewha Womans University, Seoul, South Korea

# The increasing importance of Western Pacific

*“Our analysis **cautions against** succumbing to the post-1980–90s temptation of ascribing various extratropical anomalies in the Pacific–North American sector to ENSO—a favorite go-to mechanism...” –*

Baxter and Nigam, J. Climate (2015)

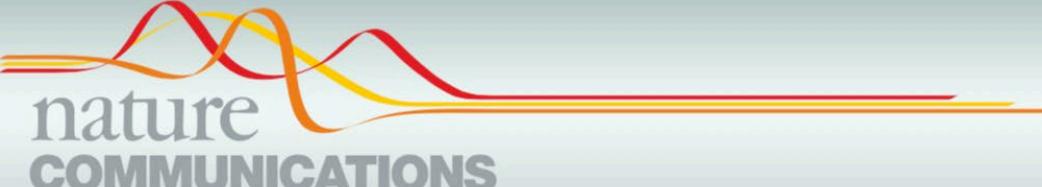
*“...there are tropical **heating anomalies** that do not depend on ENSO that may excite extratropical responses that include extreme west coast ridges.” -- Teng and Branstator, J. Climate, (2017)*

*“There exists a cross-Pacific pathway of Rossby wave energy, propagating from the western subtropical Pacific toward the Gulf of Alaska...” –*

Wang et al., GRL, (2014) on the extreme 2013/2014 North American drought

Similar notes by Barsugli and Sardeshmukh (2002), Hoerling and Kumar (2002), Seager et al., (2014), Seager et al., (2017), Swain et al., (2017), Myoung et al., (2018) and many more...

# Our study



2018

ARTICLE

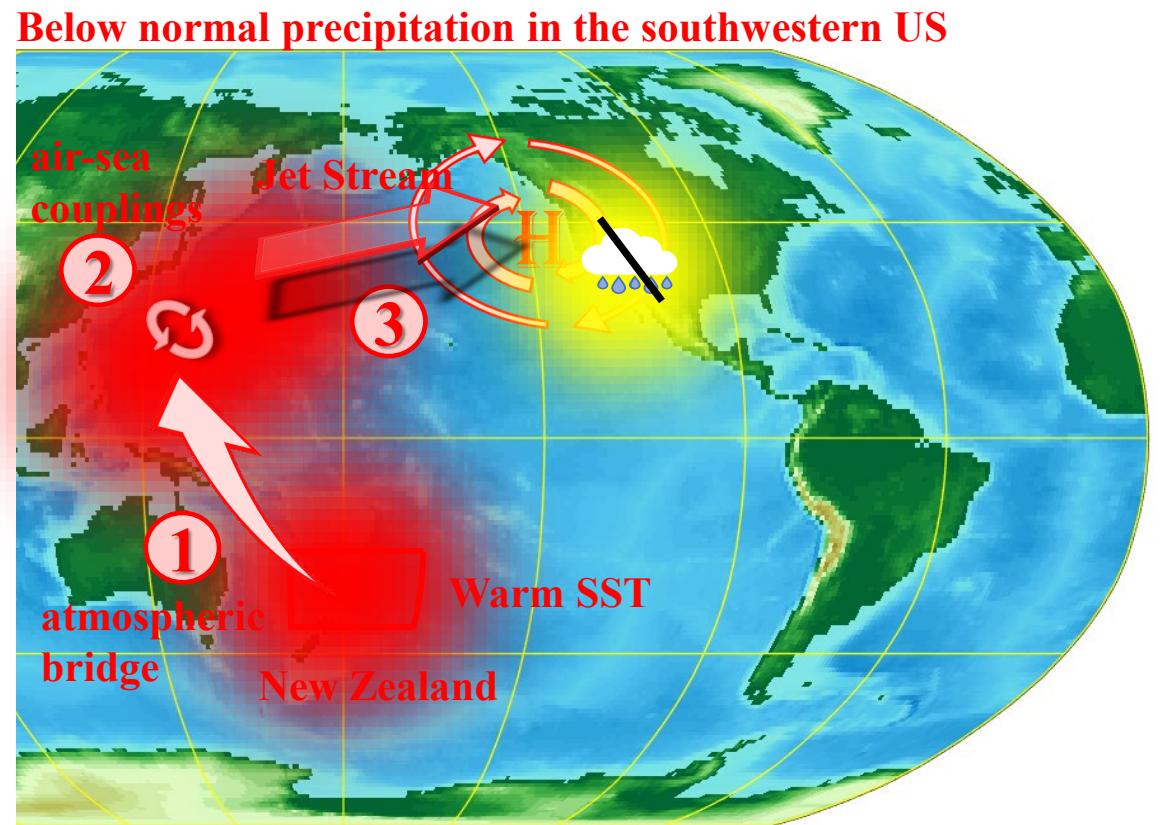
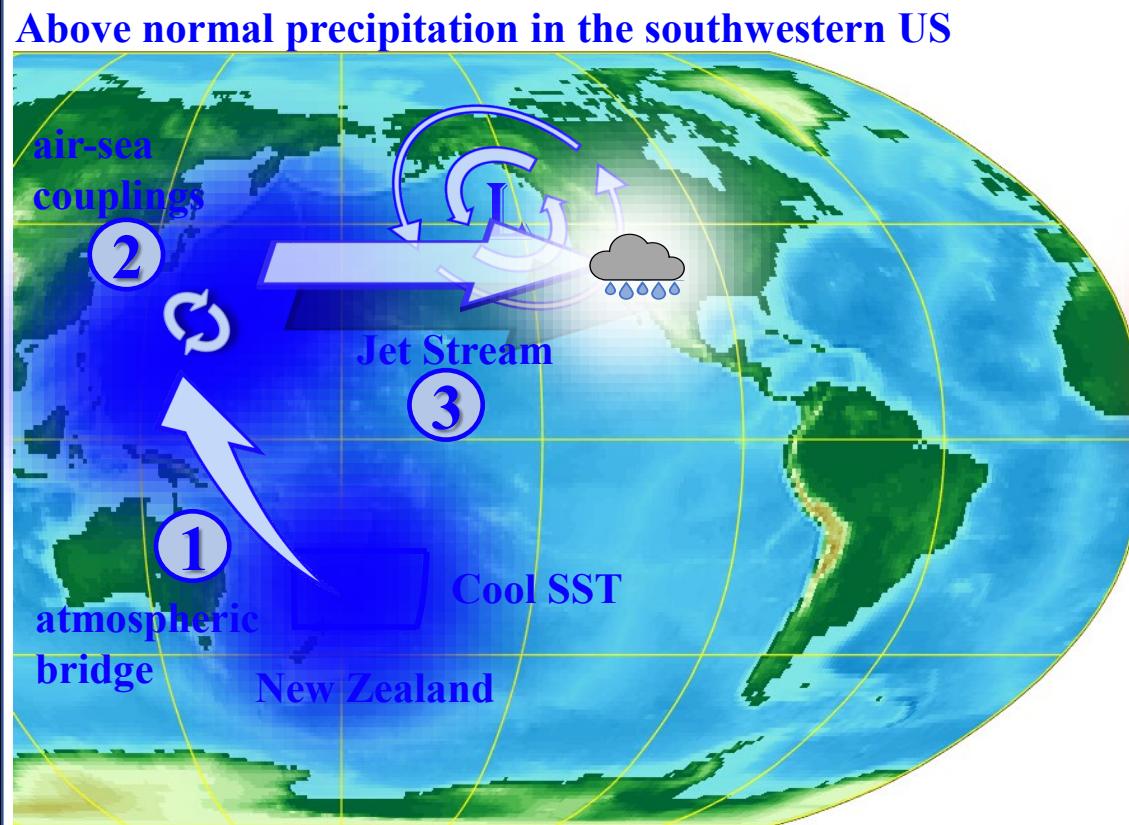
DOI: 10.1038/s41467-018-04722-7 OPEN

## A new interhemispheric teleconnection increases predictability of winter precipitation in southwestern US

Antonios Mamalakis  <sup>1</sup>, Jin-Yi Yu  <sup>2</sup>, James T. Randerson  <sup>2</sup>, Amir AghaKouchak  <sup>1,2</sup>  
& Efi Foufoula-Georgiou  <sup>1,2</sup>

# Western Pacific pathway

Mamalakis et al., 2018, *Nat. Communications*



2. Local air-sea couplings (Wang et al 2000)

1. Atmospheric bridge

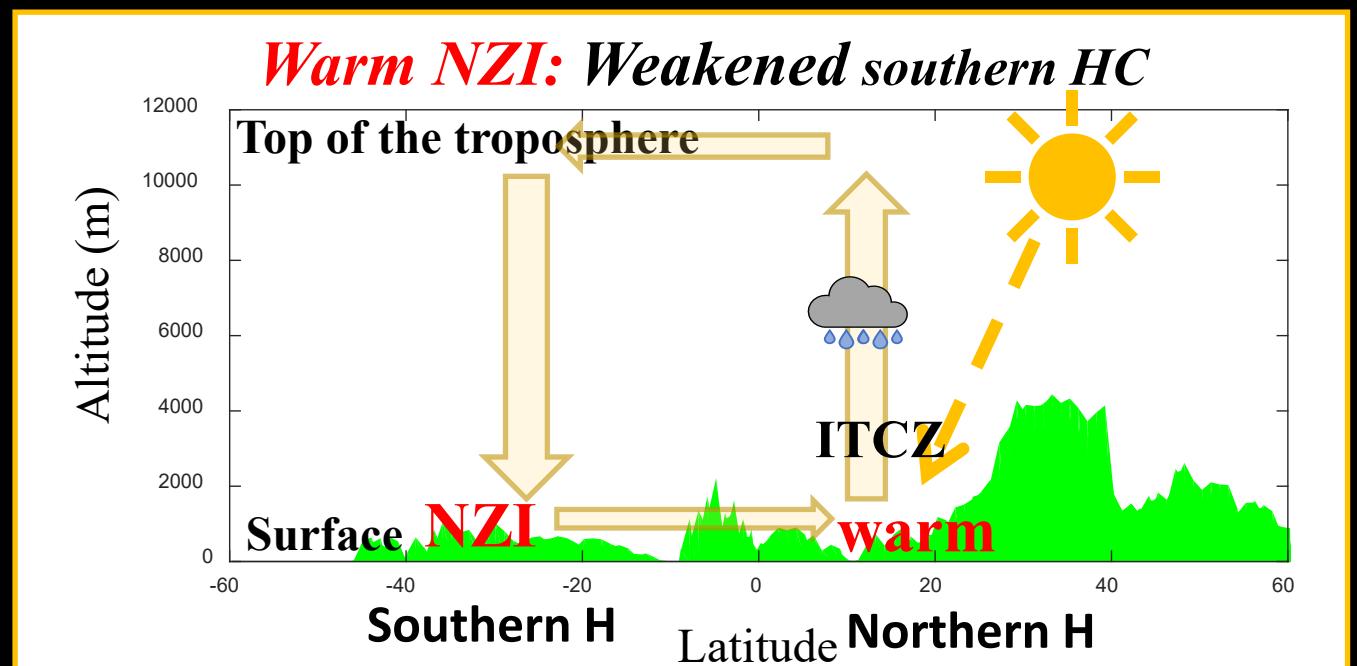
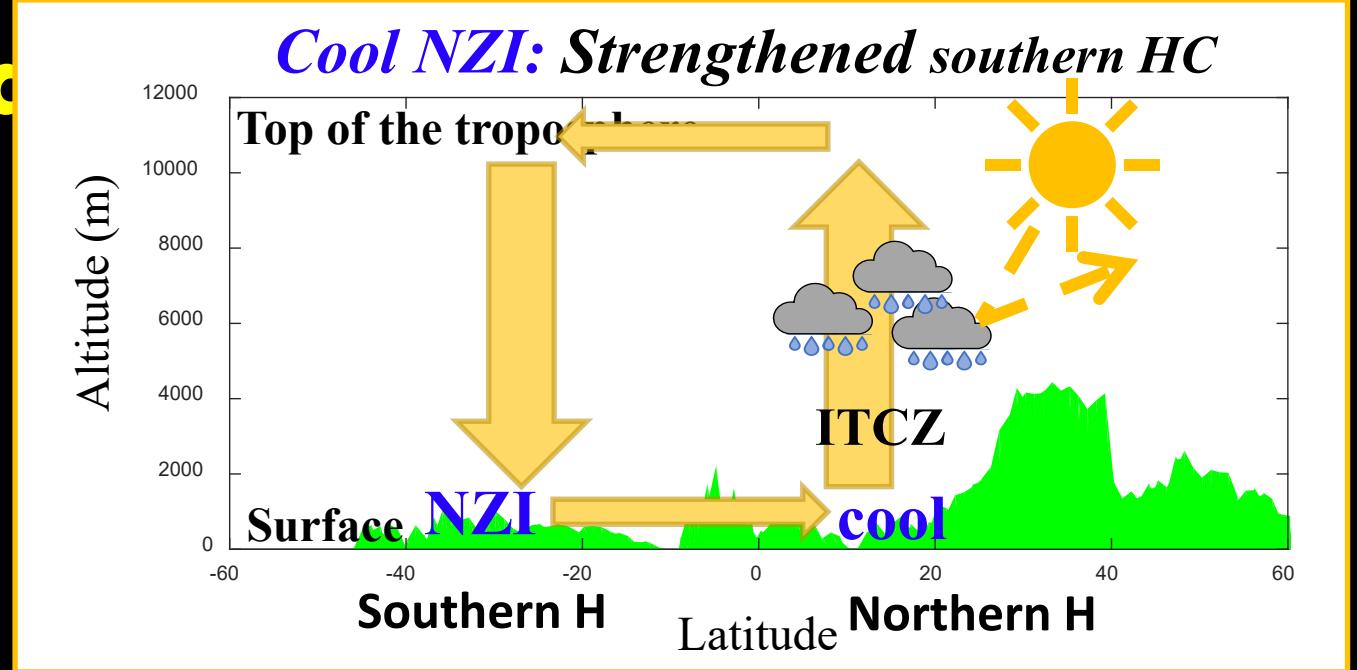
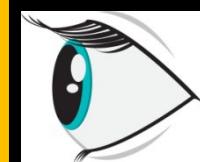
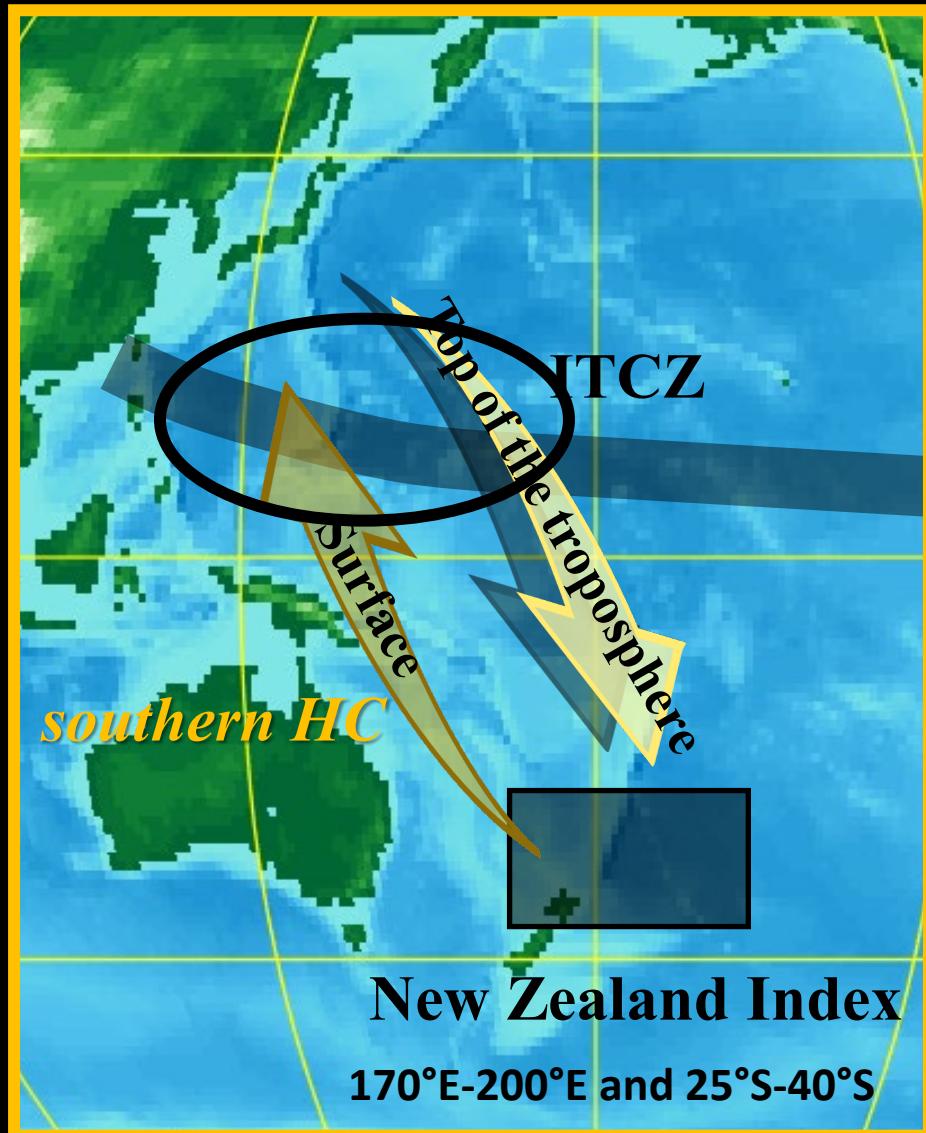
3. Deflection of the jet stream (Wang et al 2011)

May	June	July	August	September	October	November	December	January	February	March	April
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Rainy season

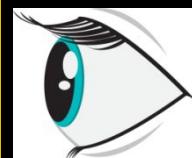
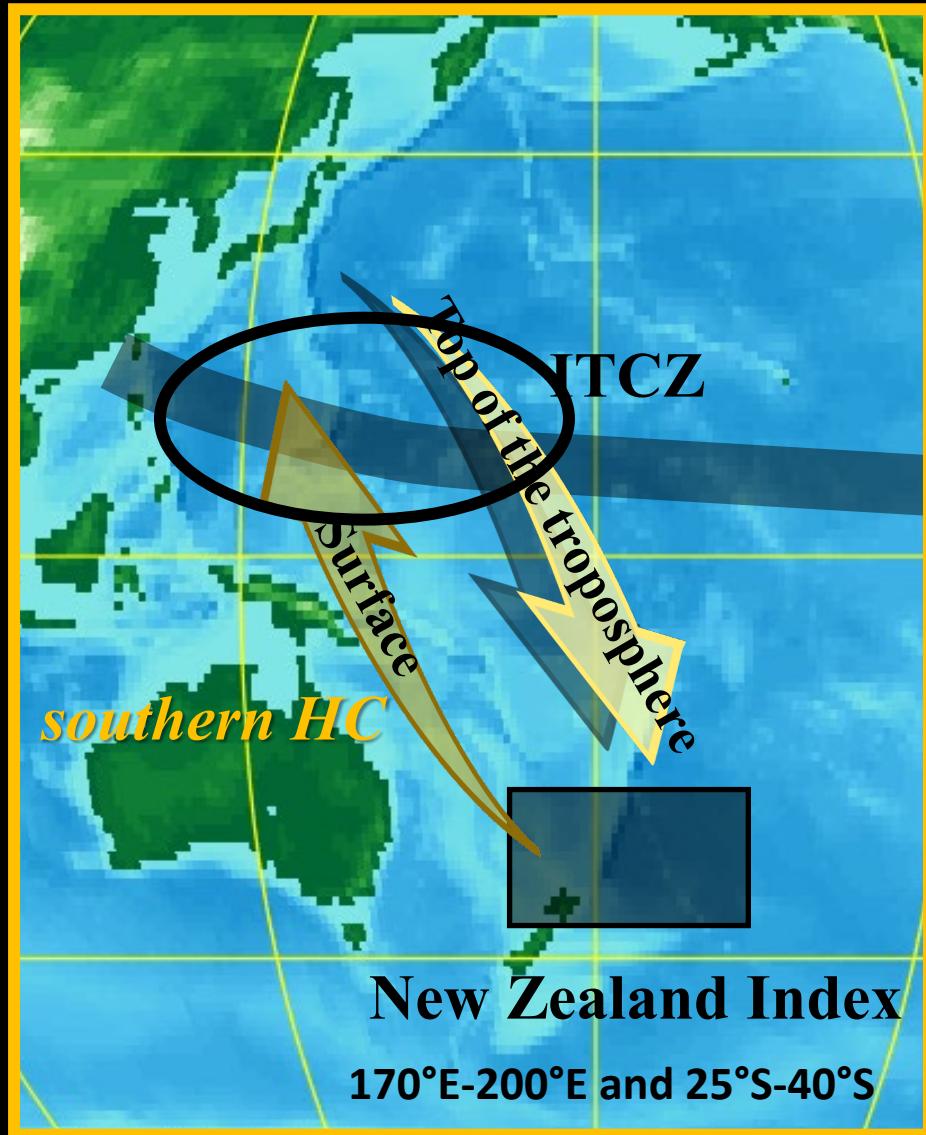
# Western Pacific pathway

Late boreal summer



# Western Pacific pathways

Late boreal summer



*Cool NZI: Strengthened southern HC*

Top of the troposphere

Altitude (m)

12000  
10000  
8000  
6000  
4000  
2000  
0



*(Warm-Cool) NZI years*

*Expect weakened convection in NW Pacific  
(positive anomalies in zonal mean Omega  
velocity)*

*Warm NZI: Weakened southern HC*

*Expect increasing incoming solar radiation  
in NW Pacific*

Altitude (m)

10000  
8000  
6000  
4000  
2000  
0

Surface NZI

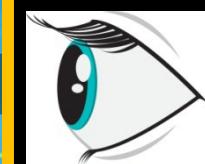
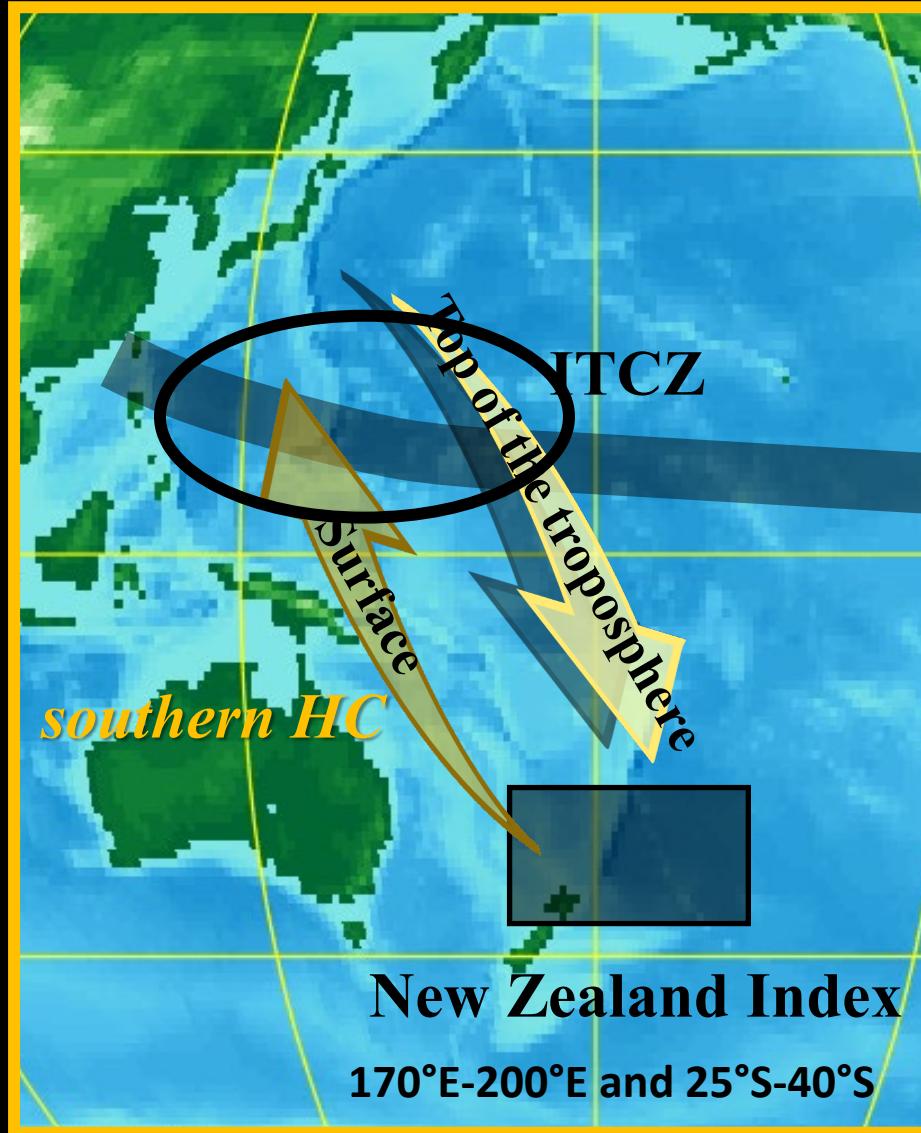
Southern H

Latitude Northern H

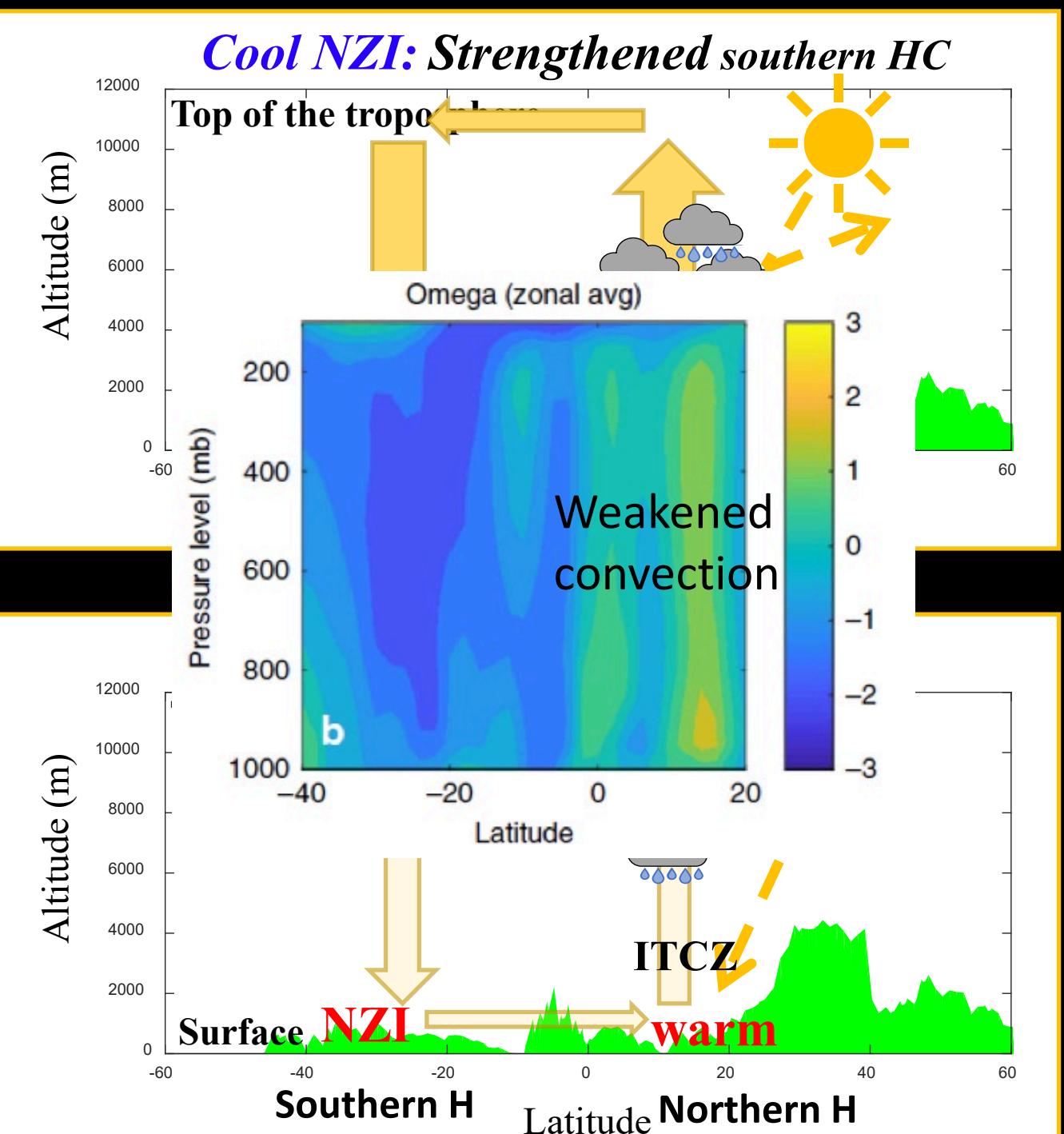
ITCZ  
warm

# Western Pacific pathway

Late boreal summer

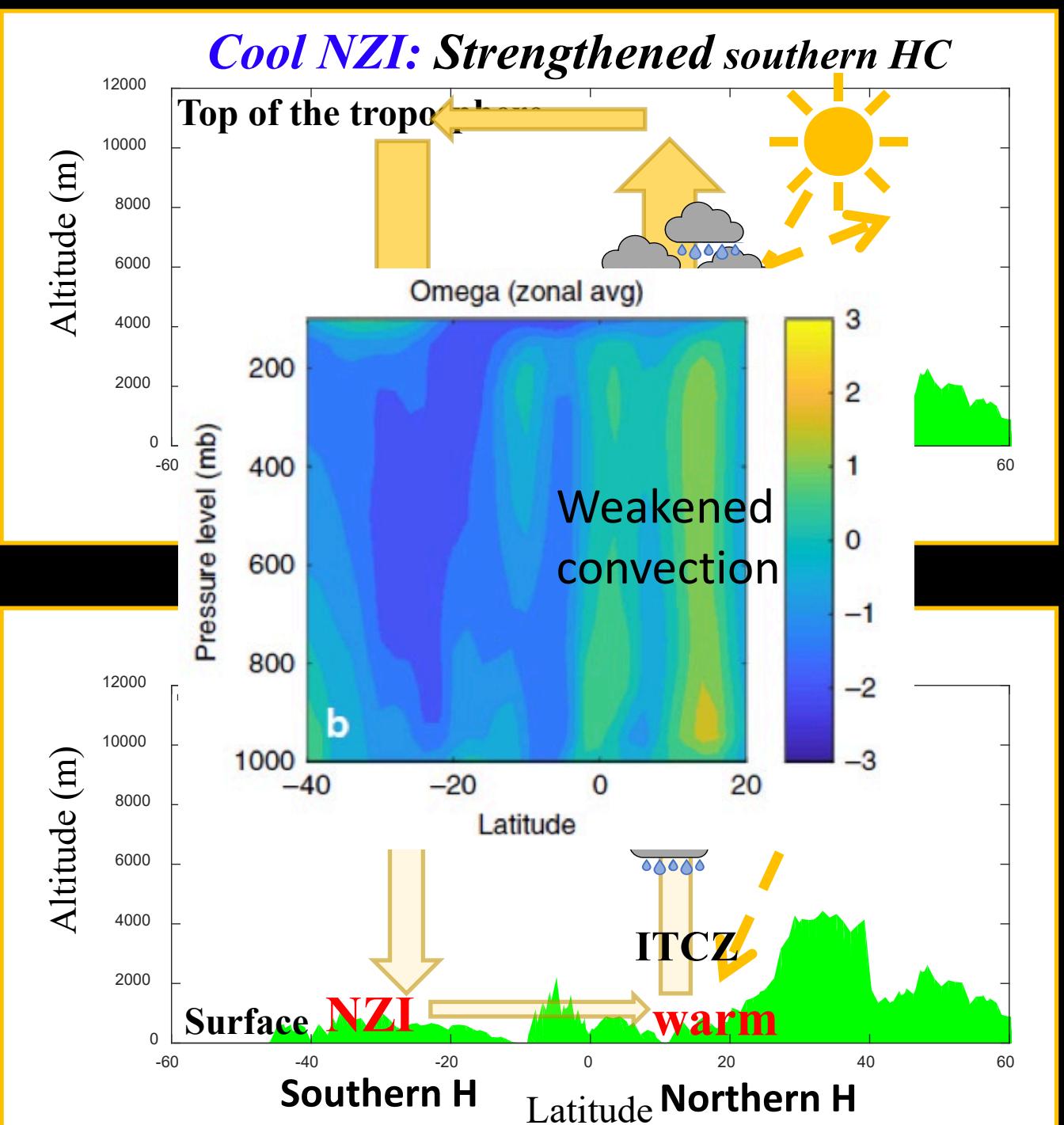
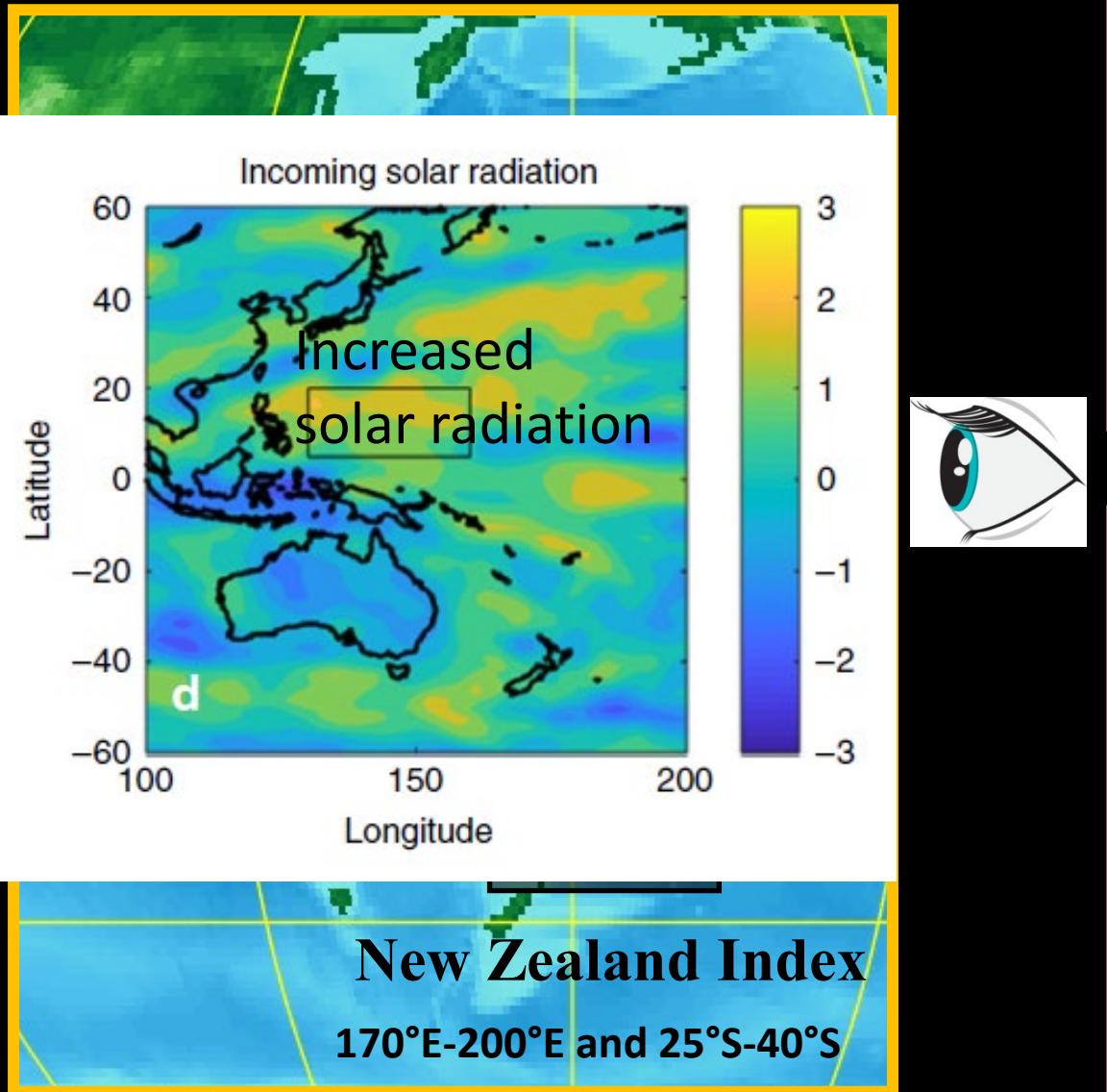


*Cool NZI: Strengthened southern HC*



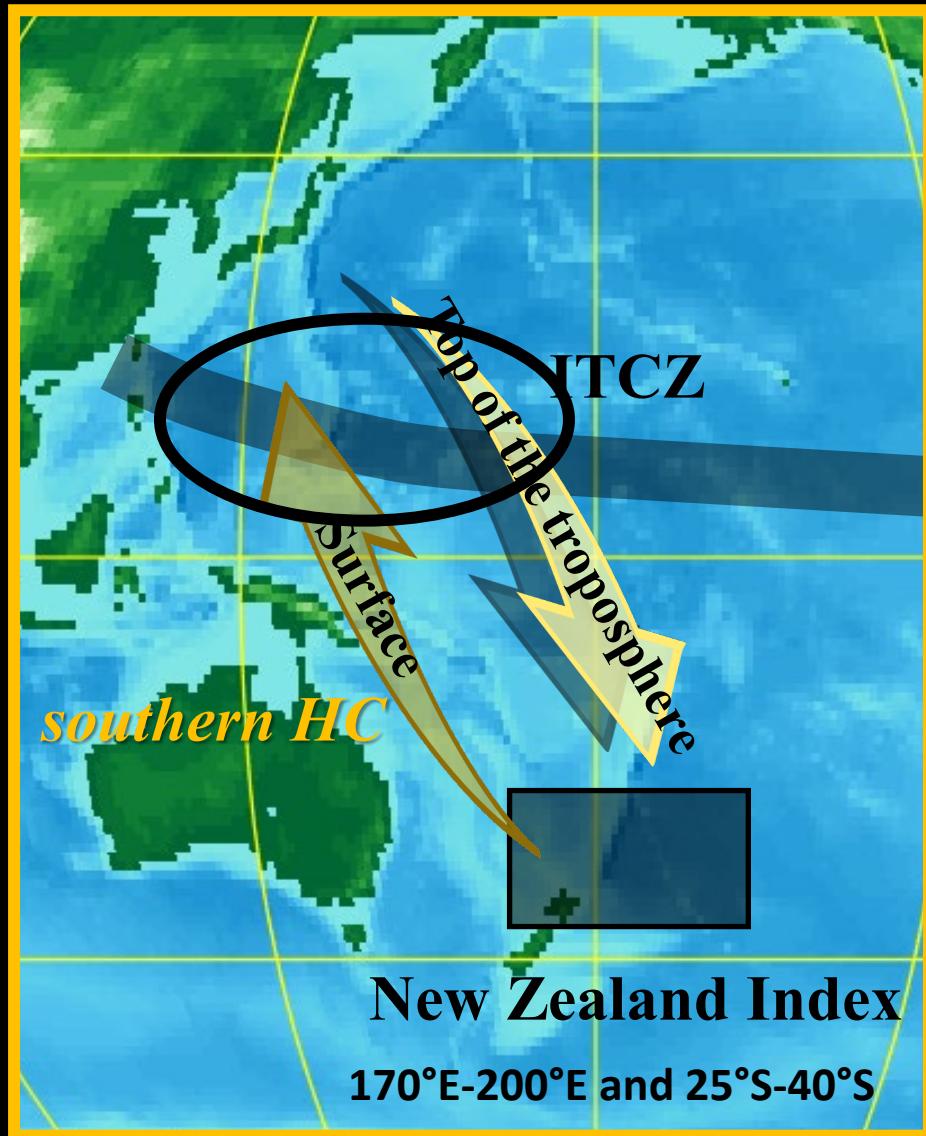
# Western Pacific pathway

Late boreal summer



# Is the WP Pathway “independent” of ENSO?

Late boreal summer

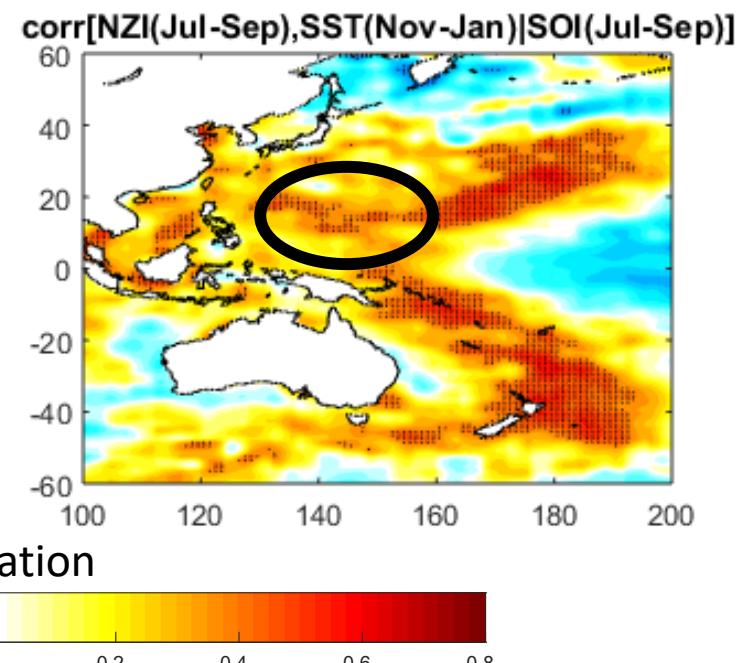
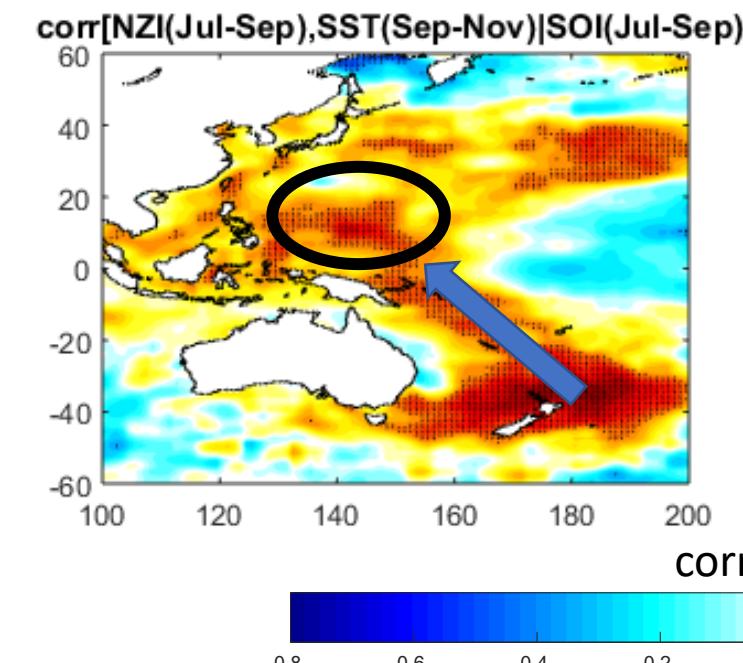


Cascading of NZI SST anomalies in north Pacific is significant even after accounting for ENSO

Corr [NZI(Jul-Sep), SST(2, 4 months later) | **ENSO(Jul-Sep)**]

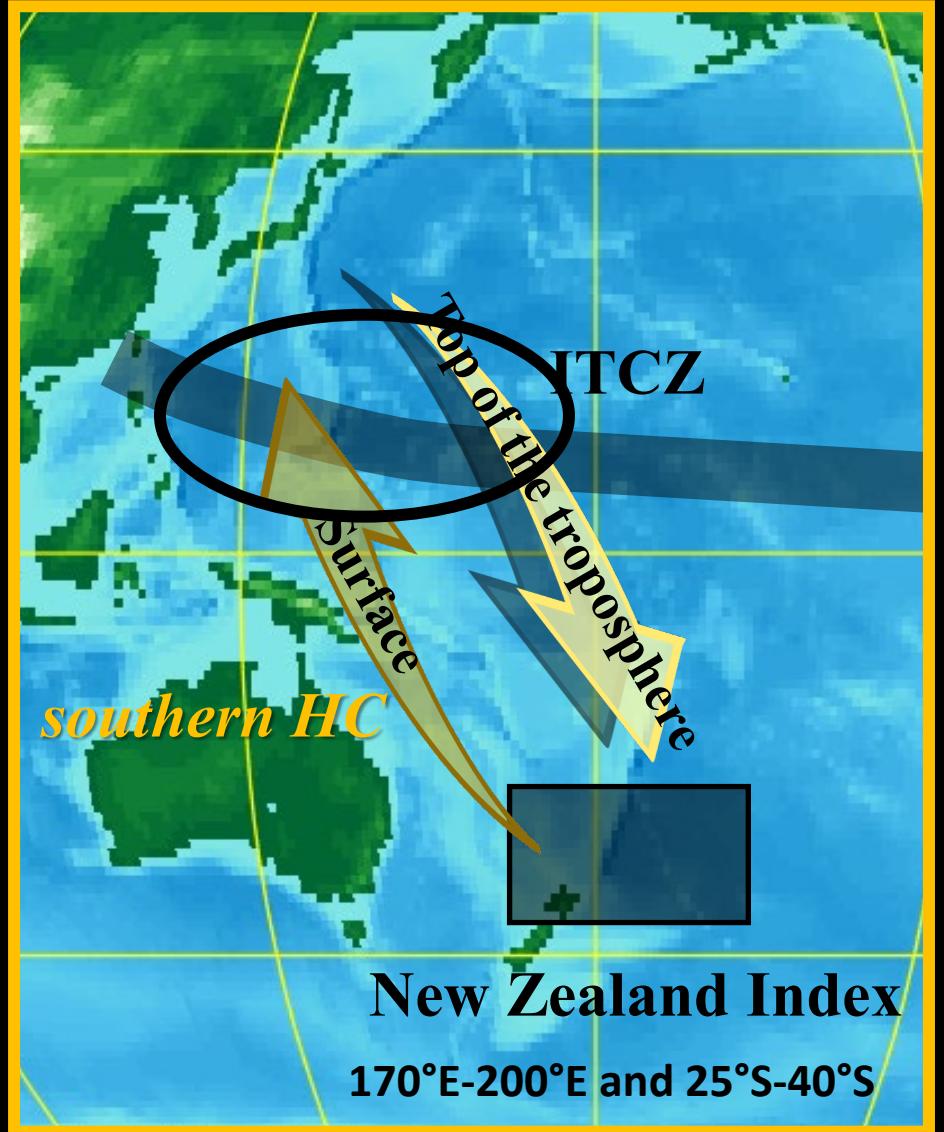
2 months later  
NZI anomalies cascade to NH

4 months later  
NH anomalies sustained

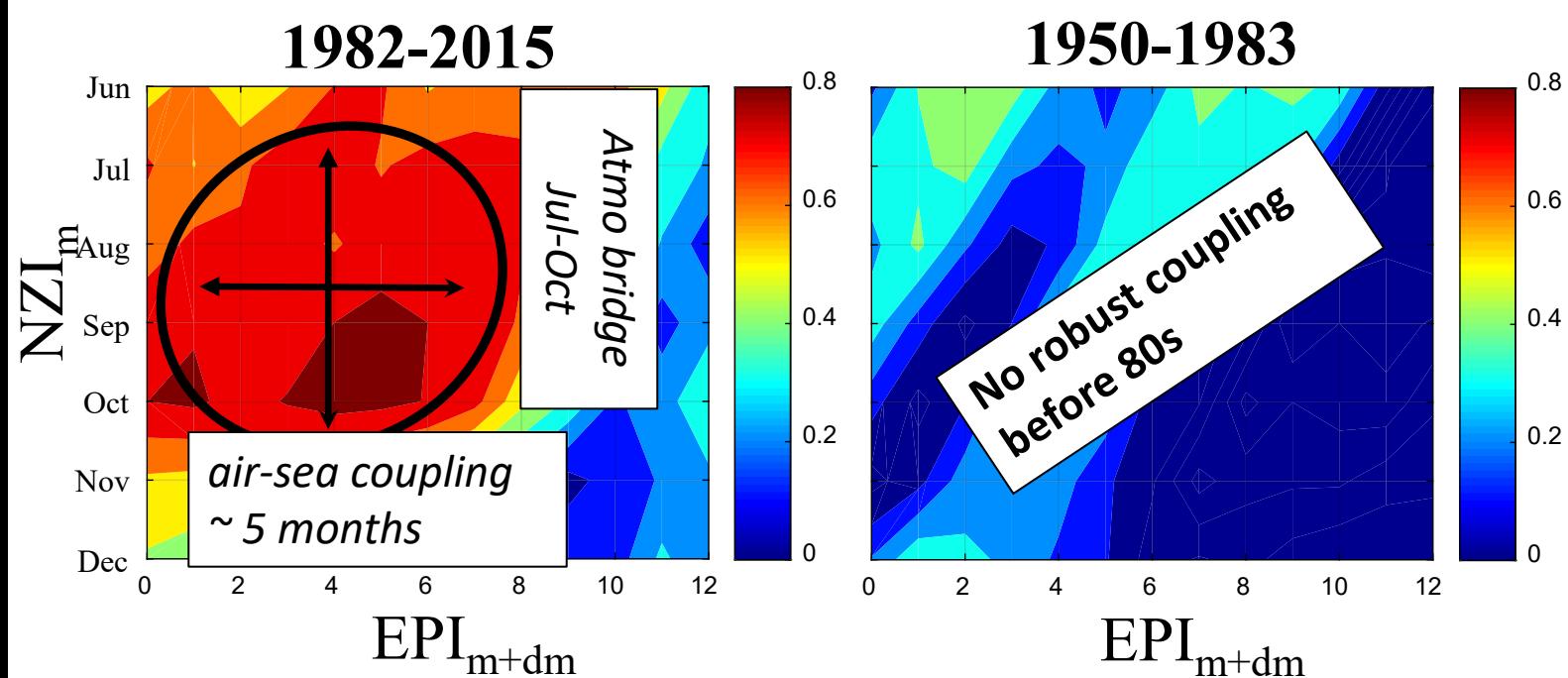


# Has the WP Pathway amplified?

Late boreal summer



Based on Observations



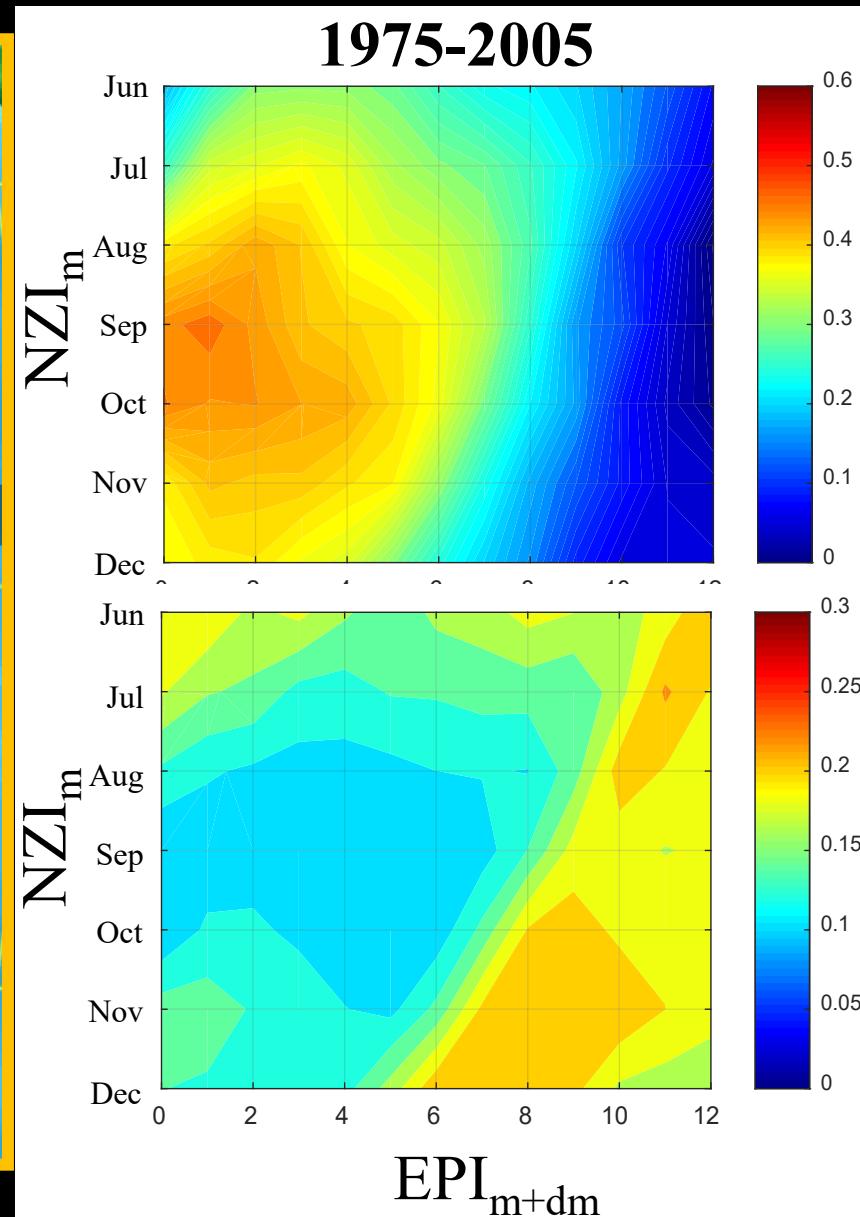
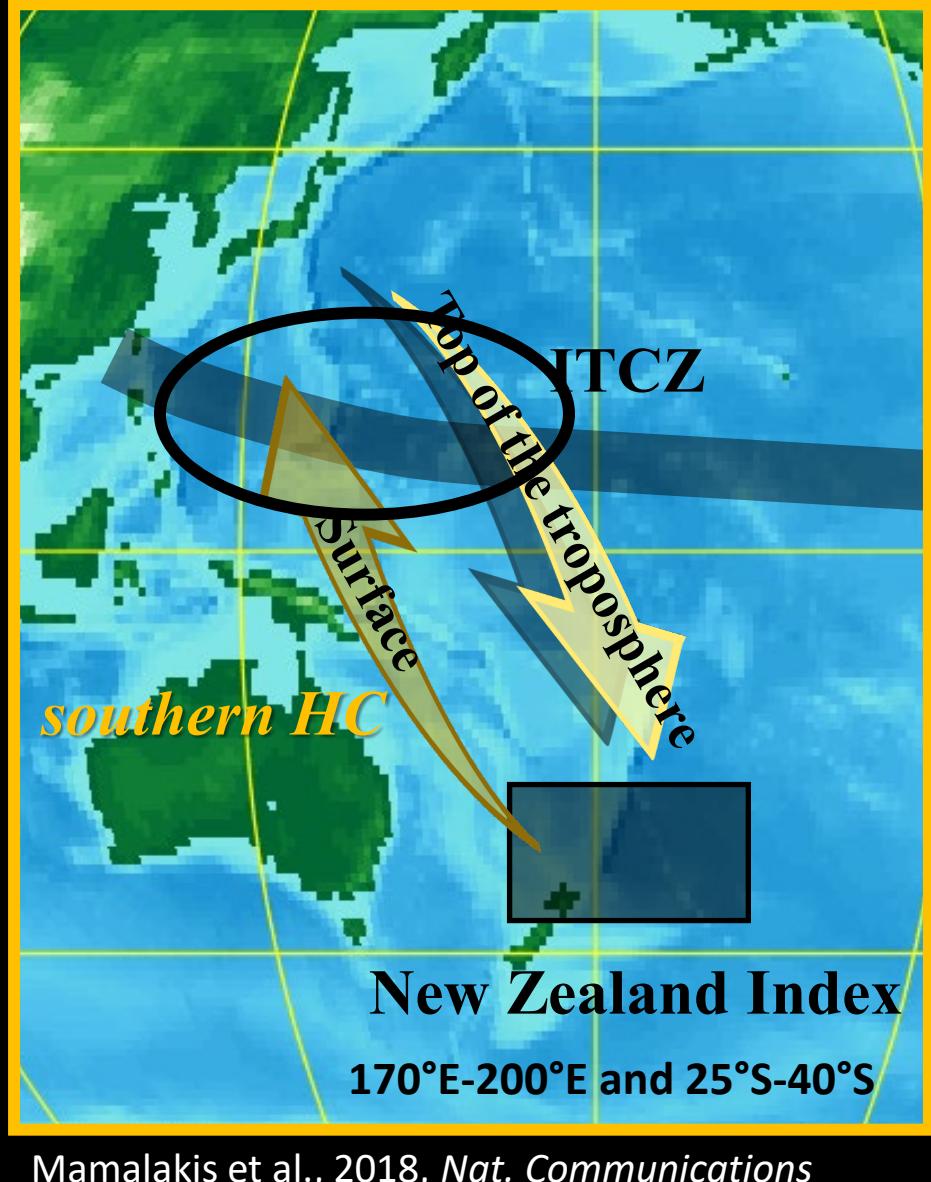
- Internal variability?
- External forcing?
- Data quality?

# Has the WP Pathway amplified?

Based on Models: CESMv1 Large Ensemble



Late boreal summer



Ensemble mean of correlation  $\text{NZI}_m$  and  $\text{EPI}_{m+dm}$

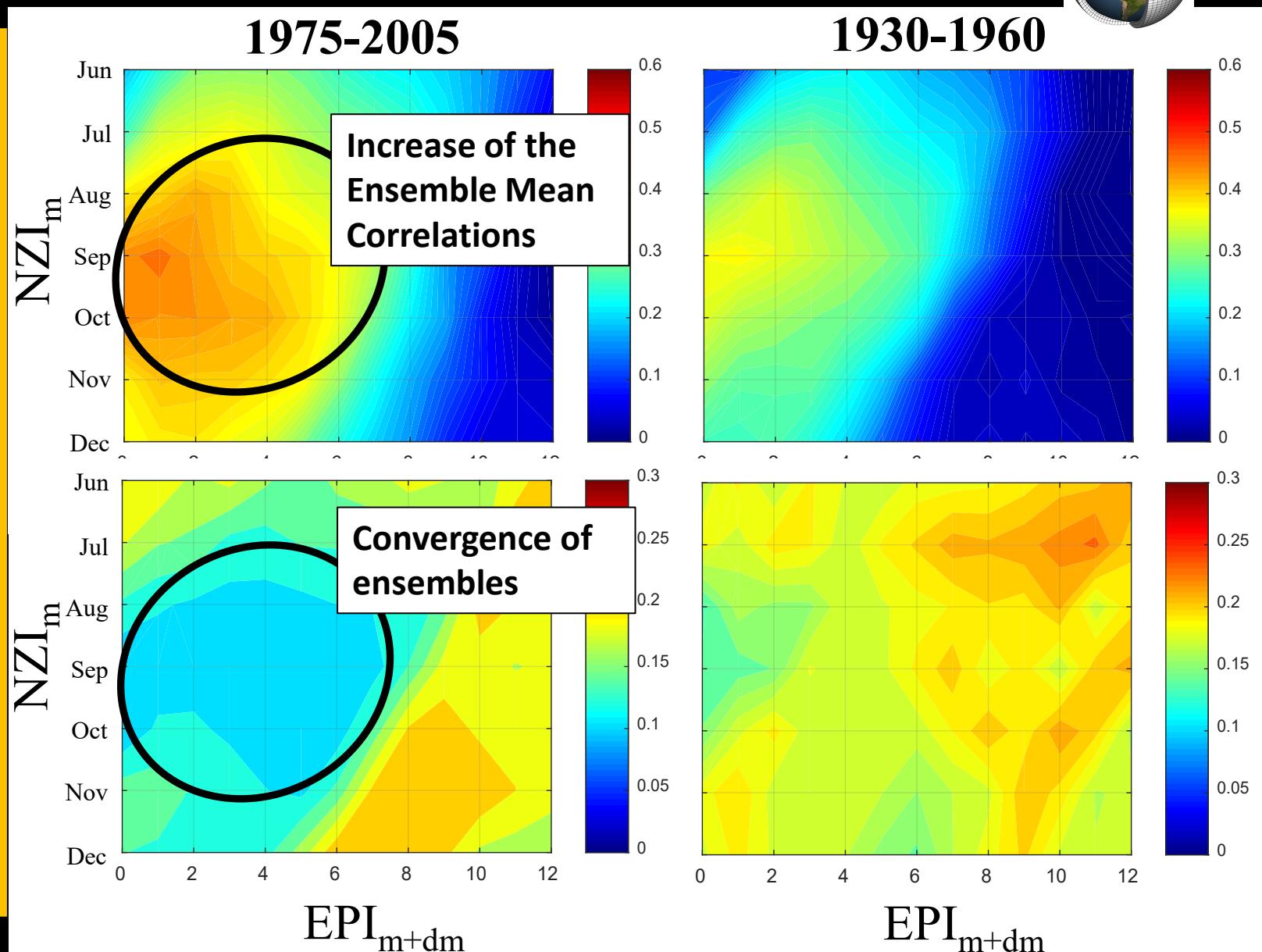
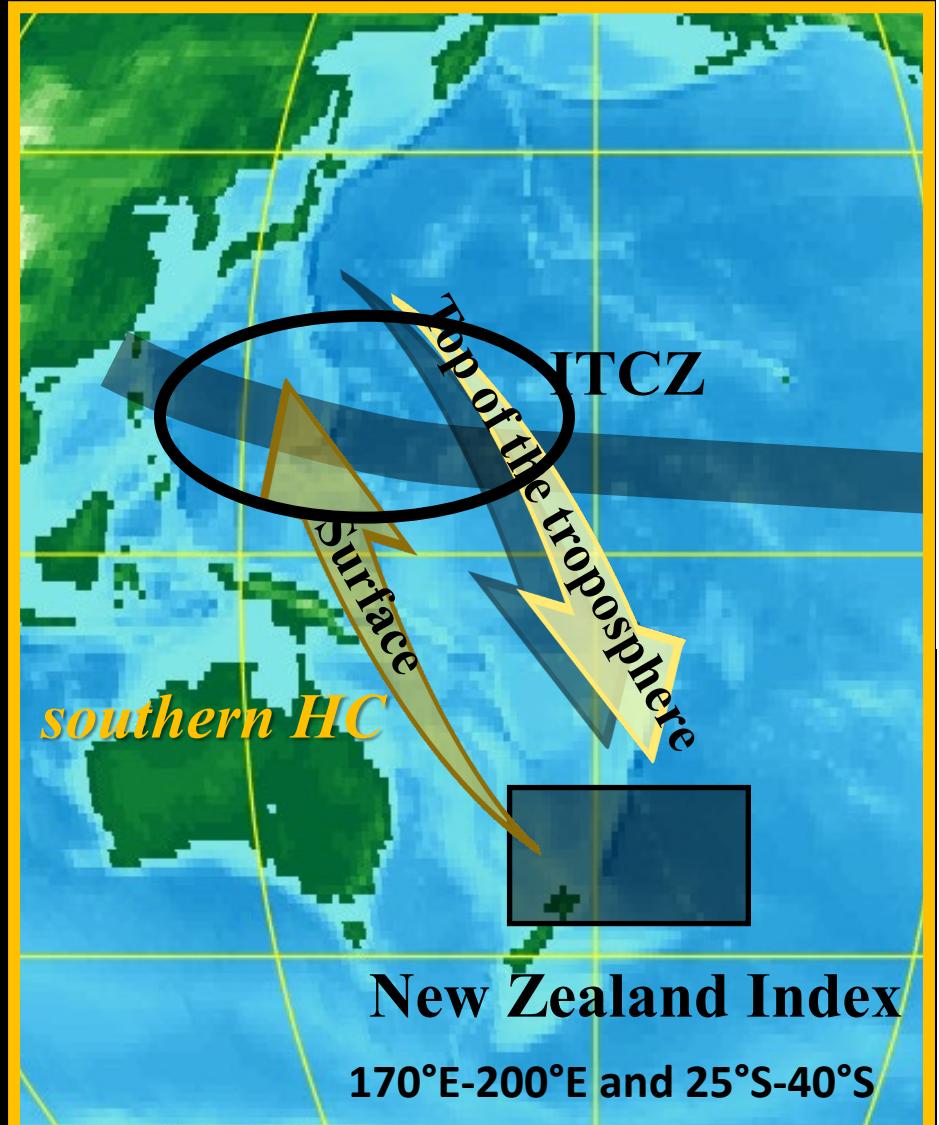
Ensemble st. deviation of correlation  $\text{NZI}_m$  and  $\text{EPI}_{m+dm}$

# Has the WP Pathway amplified?

Based on Models: CESMv1 Large Ensemble



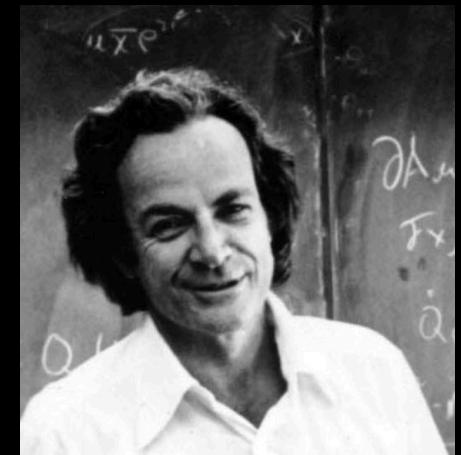
Late boreal summer



“We are trying to prove ourselves wrong as quickly as possible, because only in that way we can find progress”

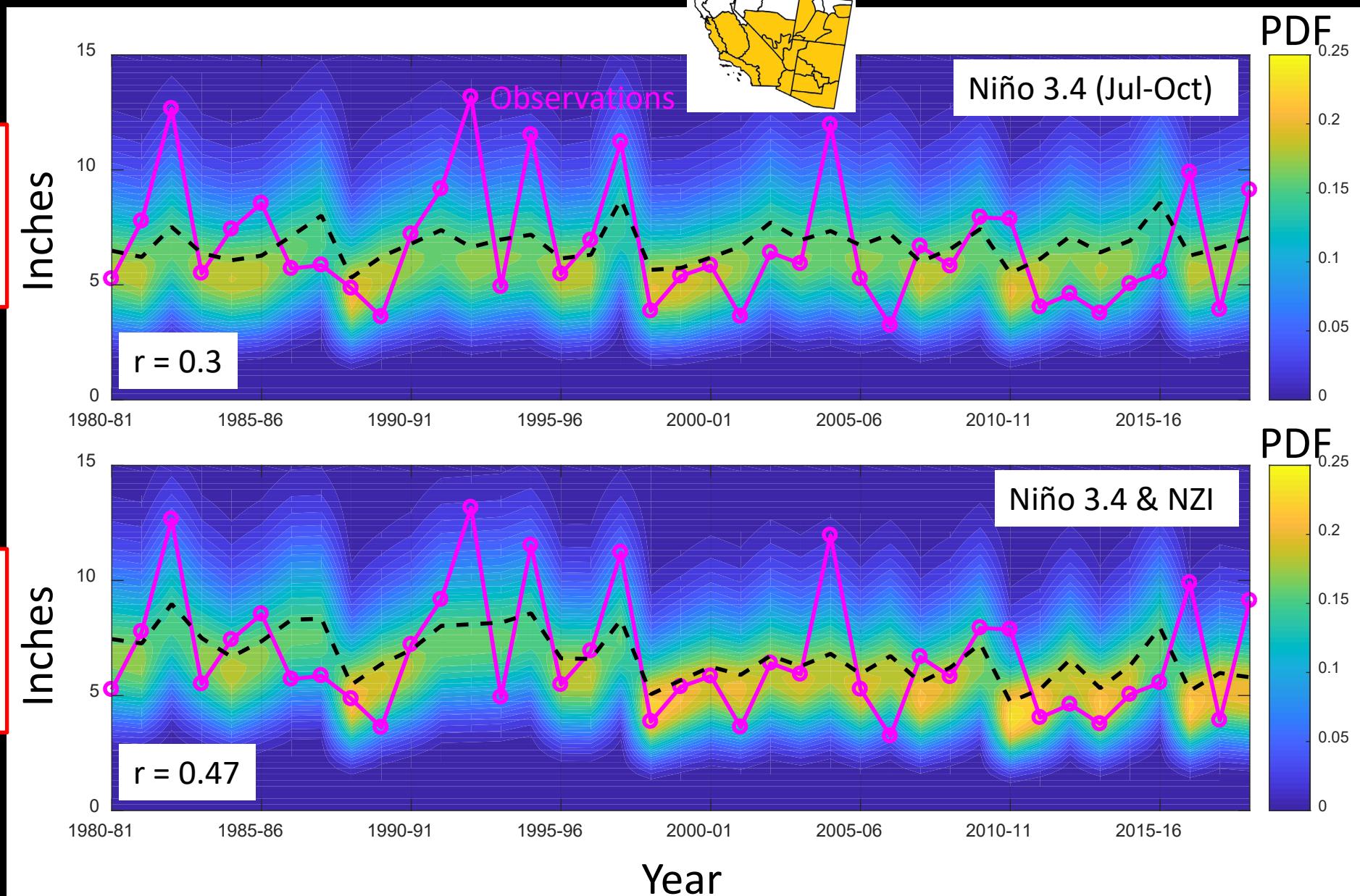
Richard P. Feynman

On the Scientific method



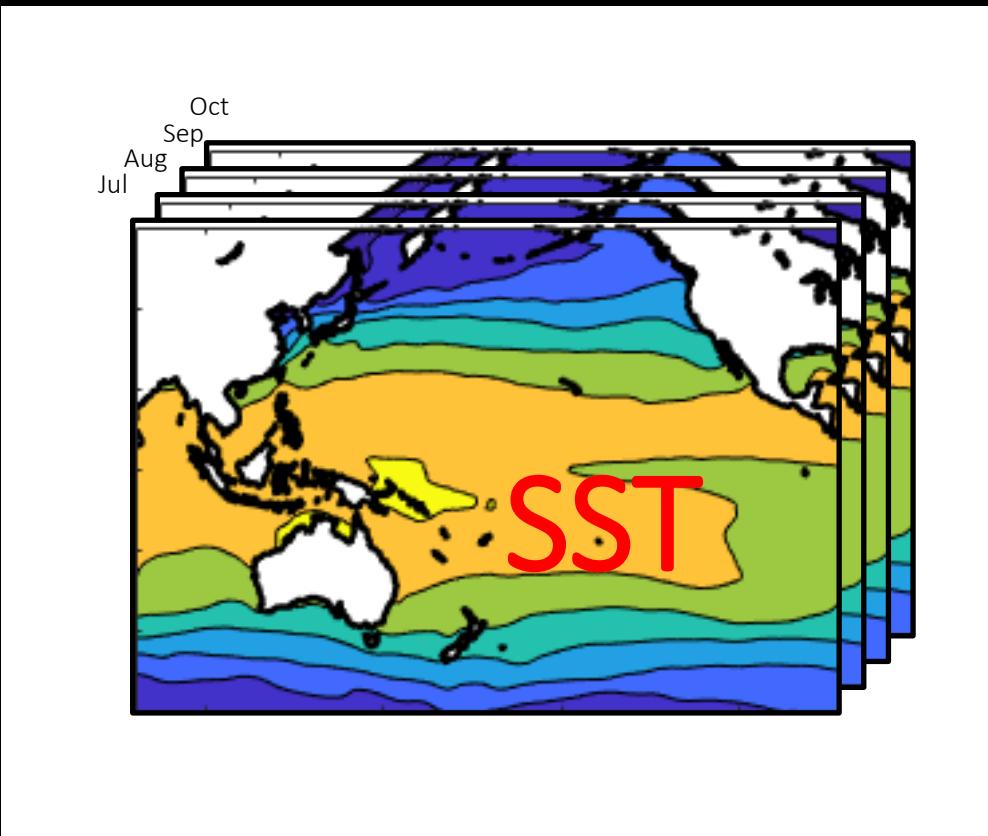
# Adding Western Pacific SSTs as predictors of Precip

Explained var: 9%  
Dry success rate: 28%  
Wet success rate: 30%



**Is this the best we can do?**

# Explore the whole Pacific?



$$y = X\beta + \varepsilon$$

Winter precipitation

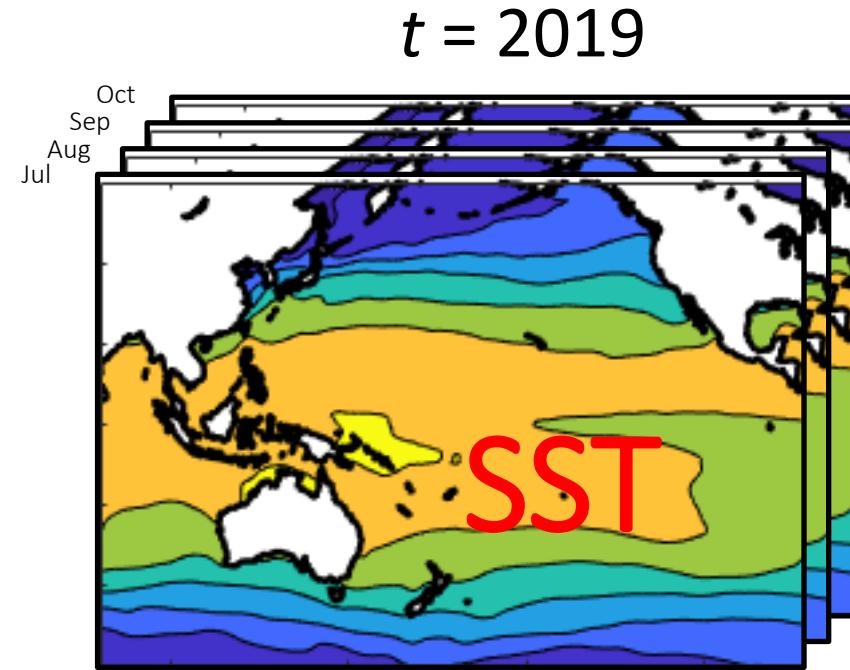
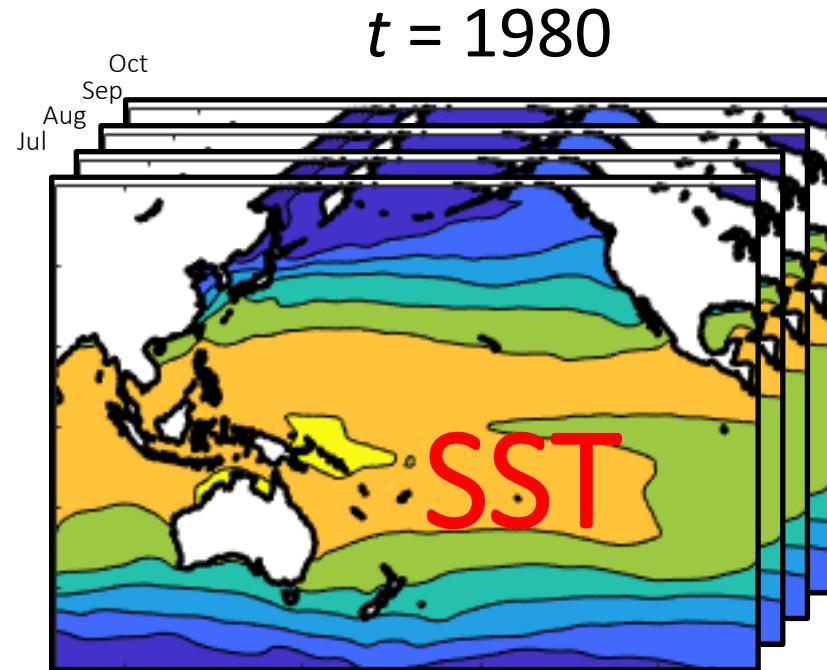
Weights

Climate predictors (e.g. SSTs, GPHs in Pacific ocean)

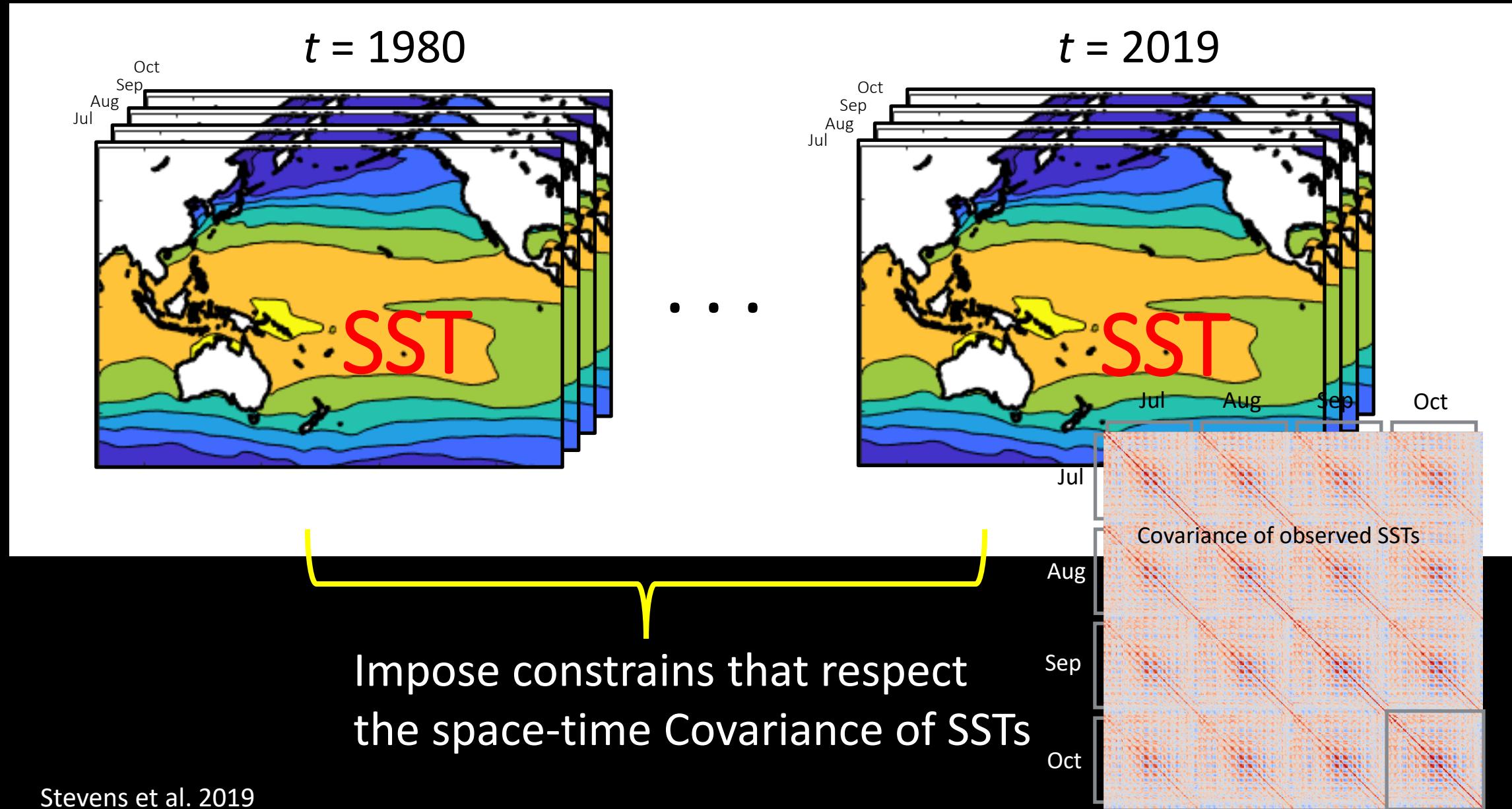
**Very high dimensional problem**

SSTs @  $2 \times 2^\circ \times 4$  months =>  
 $5612 \times 4 = 22,448$  predictors

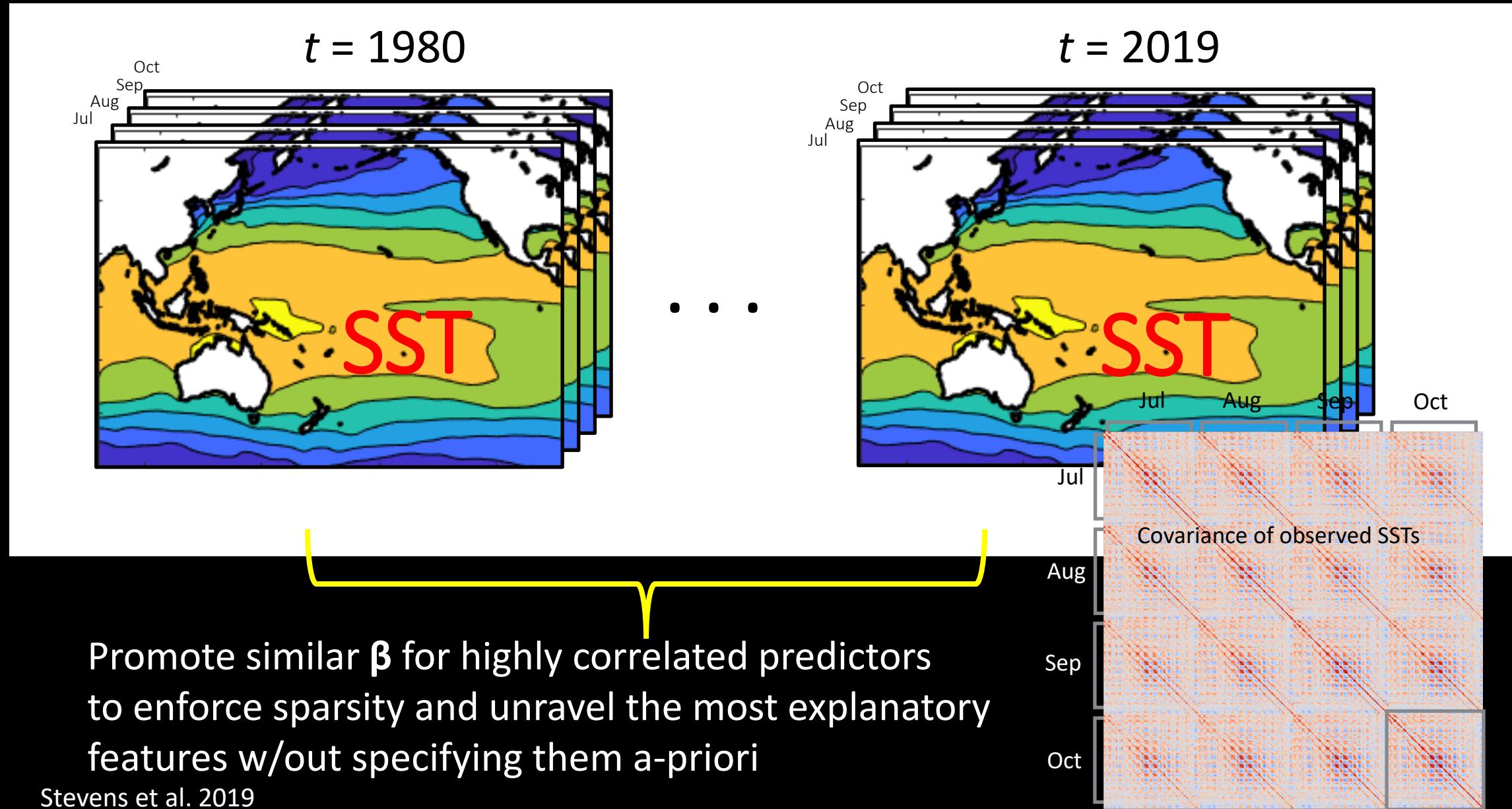
# Dimensionality Reduction



# Dimensionality Reduction



# Dimensionality Reduction



# Data-driven prediction

Winter precipitation

$$y = \mathbf{X}\beta + \varepsilon$$

Climate predictors (e.g. SSTs, GPHs in Pacific ocean)

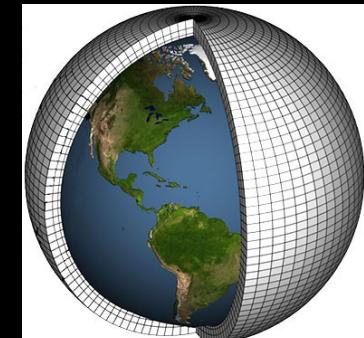
$$\hat{\beta} = \arg \min_{\beta} \{ \|y - \mathbf{X}\beta\|_2 + \lambda_1 \|\beta\|_1 + \lambda_{TV} \sum_{j,k} |\hat{C}_{j,k}|^{1/2} |\beta_j - \hat{s}_{j,k} \beta_k| \}$$

Diagram illustrating the components of the objective function:

- Data fitting**: The first term  $\|y - \mathbf{X}\beta\|_2$ .
- L1 regularizer (LASSO)**: The second term  $\lambda_1 \|\beta\|_1$ .
- Graph Total Variation (GTV)**: The third term  $\lambda_{TV} \sum_{j,k} |\hat{C}_{j,k}|^{1/2} |\beta_j - \hat{s}_{j,k} \beta_k|$ , where  $\hat{C}_{j,k}$  is circled in red.

$$\hat{\mathbf{C}} = \text{covariance matrix of } \mathbf{X}$$

$$\hat{s}_{j,k} = \text{sign}(\hat{C}_{j,k})$$



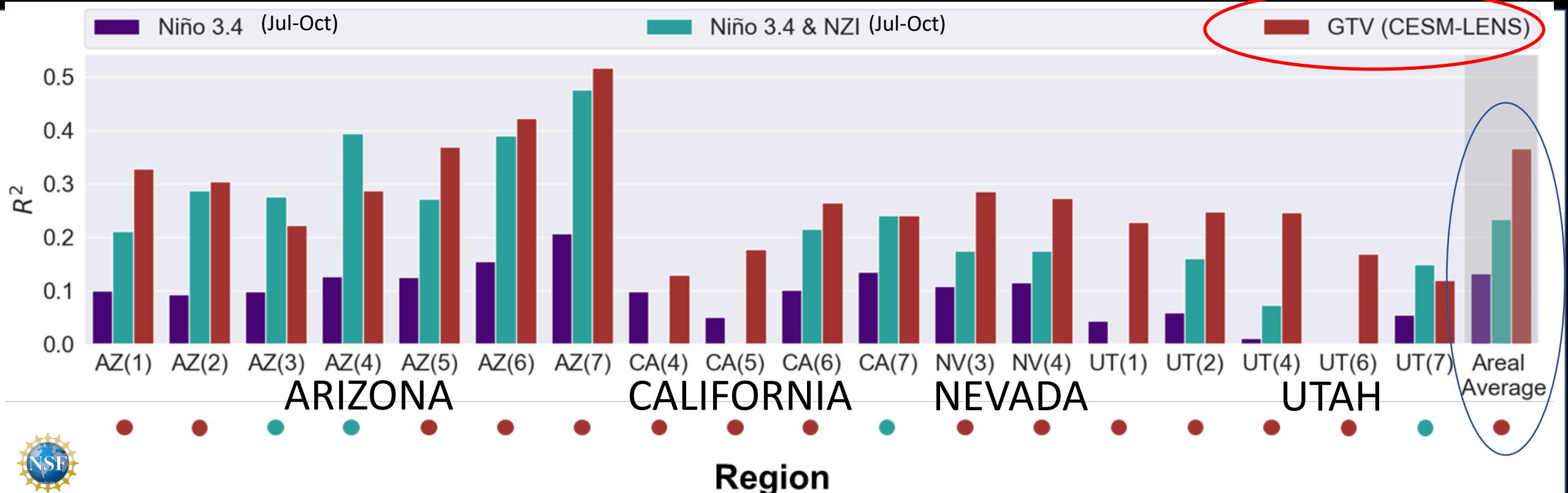
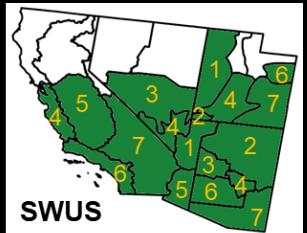
TRIPODS+CLIMATE project

# Data-driven prediction

Training period: 1940-1990  
(with a non-stationarity filter)

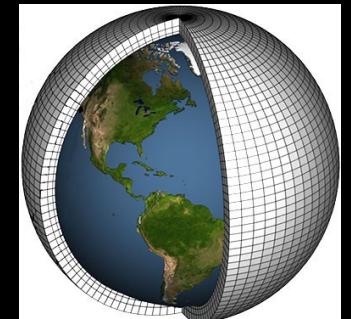
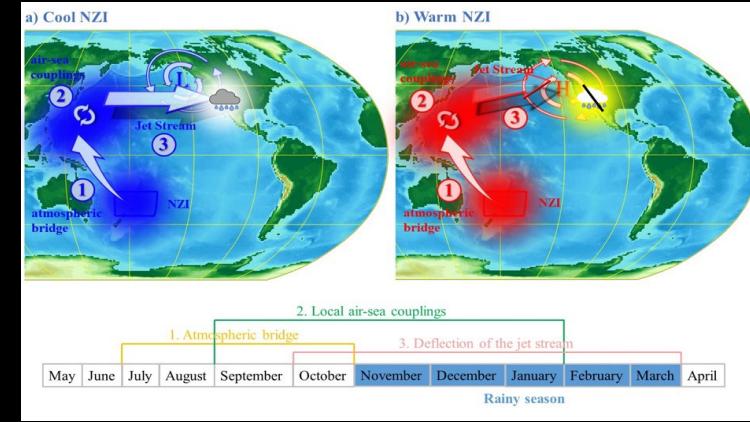
Testing period: 1991-2019

GTV captures almost 40% of  
the variability in the out-sample  
period



# What's next?

- Is Machine Learning (ML) the solution?
- Eventually maybe, but not without testing the causality of hypothesized mechanisms & predictors
- Perform idealized perturbation experiments designed to understand the process chain of the WP teleconnection (e.g, differentiate between Rossby-wave vs. HC mediated interhemispheric propagation)
- Study CMIP6 outputs (historical and future projections) to understand time-evolving dynamics relevant to prediction, spectral PCA
- Probabilistic prediction for water resources planning



# U34B - DATA ANALYTICS AND MACHINE LEARNING INNOVATION FOR CLIMATE AND EARTH SURFACE PROCESSES

Wednesday, 11 December 2019 - 16:00 - 18:00

Moscone South - 303-304, L3



**MARKUS REICHSTEIN**  
*Max Planck Institute*



**MATTHEW HANCHER**  
*Google Earth Engine*



**GRÉGOIRE MARIETHOZ**  
*University of Lausanne*



**EVAN B. GOLDSTEIN**  
*University of North Carolina*



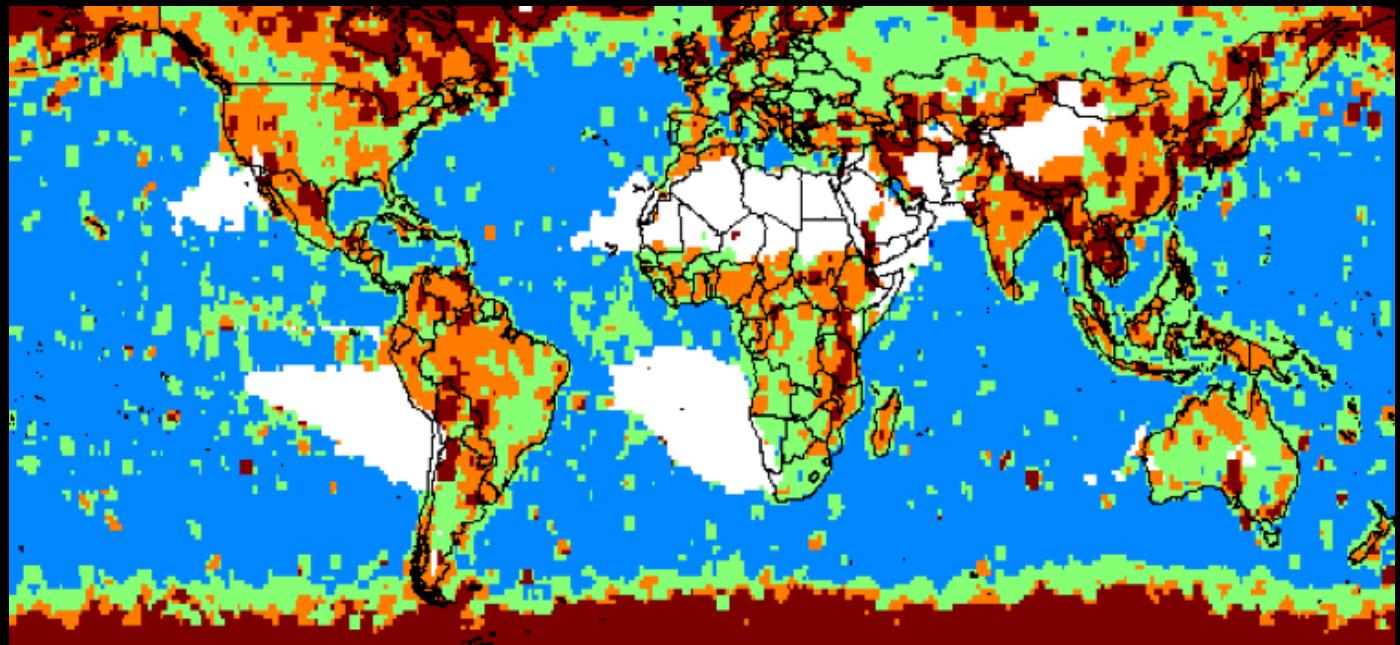
**CLAIRE MONTELEONI**  
*University of Colorado Boulder*



**VERONIKA EYRING**  
*German Aerospace Center DLR*

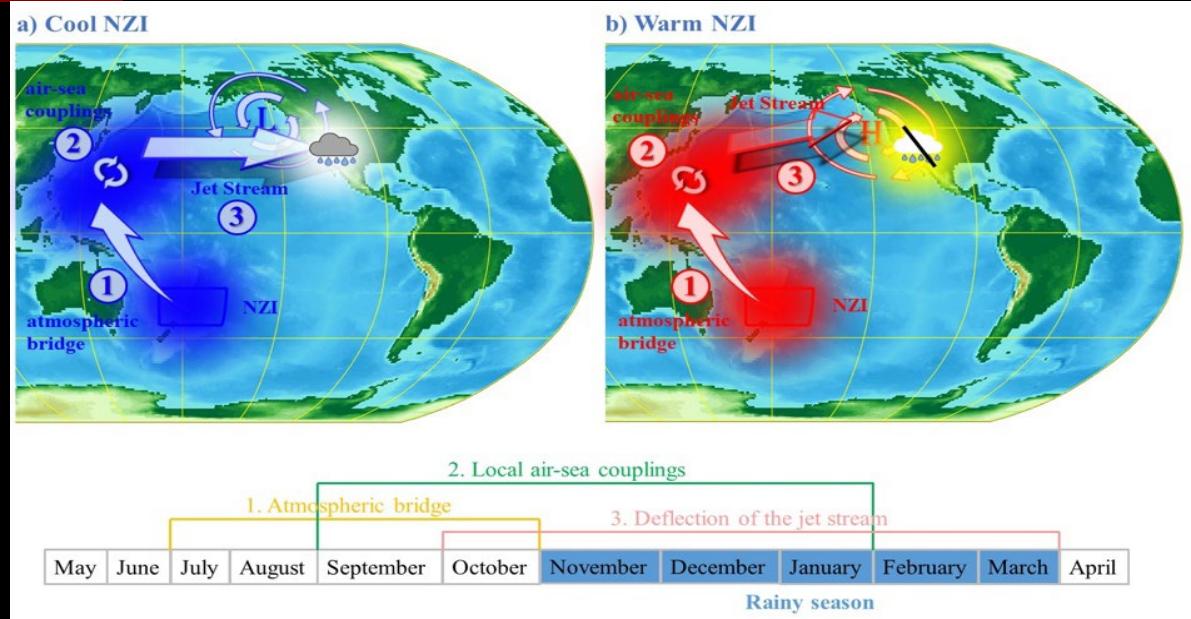


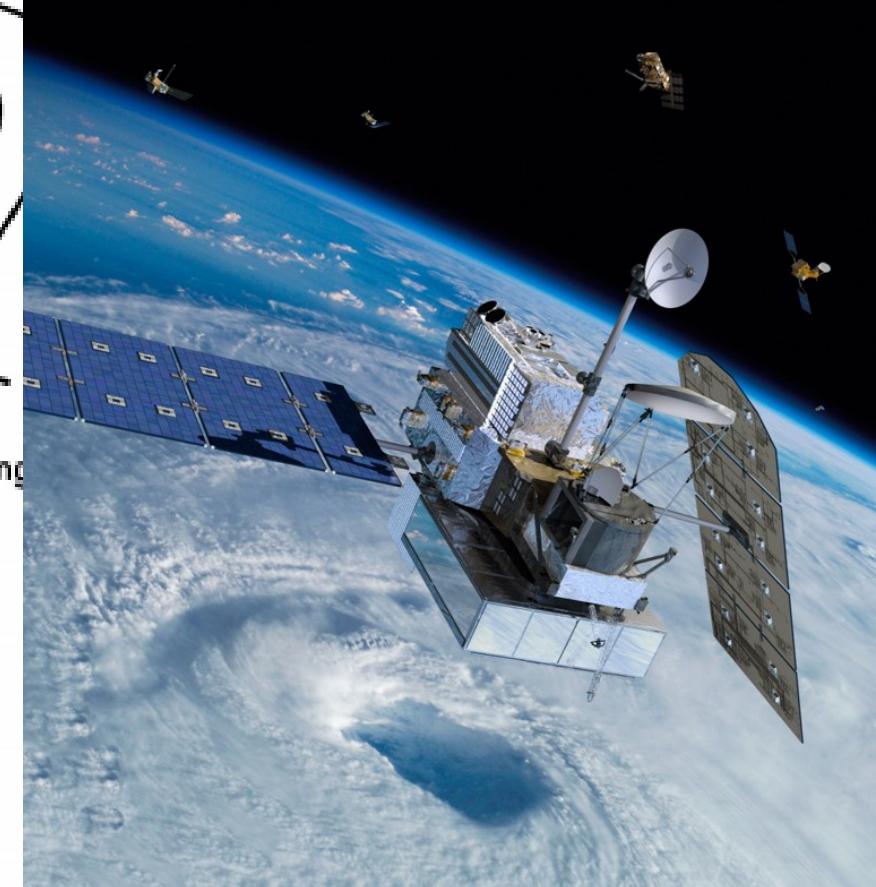
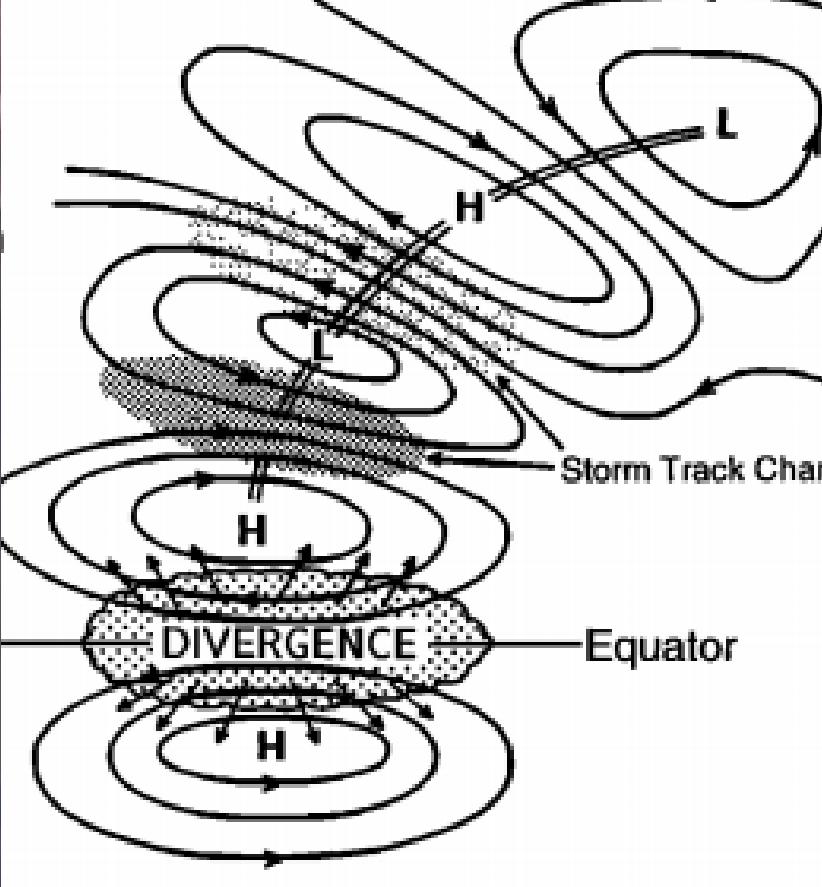
**ELIZABETH A. BARNES**  
*Colorado State University*



# Beyond ENSO

# Beyond the pixel





# Measuring the unmeasurable and predicting the unpredictable

Efi Foufoula-Georgiou  
University of California, Irvine



Langbein Lecture  
2019 AGU

# Patterns of Life



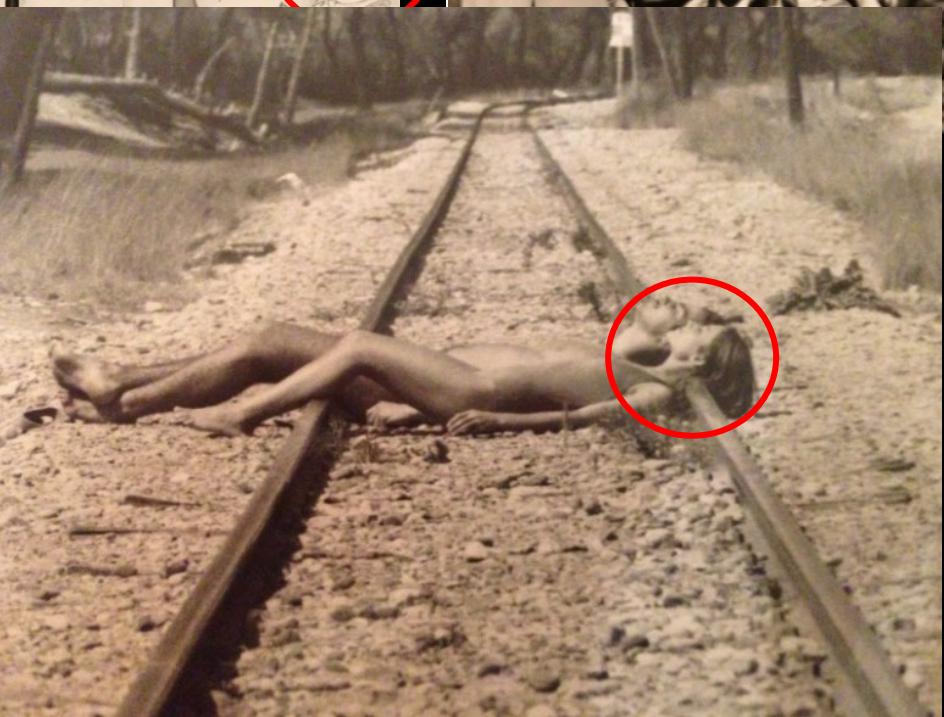
# Patterns of Life



# Patterns of Life



# Patterns of Life









# Efi's Group -- Positive covariances

---

✓ Whole > Sum (parts)?

$X_1$  = contribution of member 1

$X_2$  = contribution of member 2

$$X = X_1 + X_2 \quad X = \text{overall contribution}$$

$$\text{Mean}(X) = \text{Mean}(X_1) + \text{Mean}(X_2);$$

$$\text{Var}(X) = \text{Var}(X_1) + \text{Var}(X_2) + \underline{\text{COV}(X_1, X_2)}$$

**Whole > sum of its parts iff COV (+)**

# E.F.I.\* BINGO

"gee" 	buys the drinks 		not wearing black outfit 	makes the room laugh 
	"the heck..." 	> 4 hour meeting 	cooks a meal in <20 mins 	sends an emoji 
tells tryphon to cool it 	is bored by your research 	high-fives you 		"jesus christ" 
parks illegally/ where there is no space 		"look" 	winks at you 	meeting at her home 
you drive her to/from airport 	breaks meeting for "my yoga" 	your paper is "not there yet" for >6 months 	"shit" 	

\*Efficient Fear Injector

**Figure 1.** Incoming PhD students must complete a BINGO, defined by marking of five squares in a straight or diagonal line, before a PhD degree may be awarded. Squares with quotation marks indicate precise, standalone phrases that must be directed to you. If you are in doubt, it doesn't count--you'll know when you hear it. Pictures of Efi are free squares. The grid was designed to maximize entropy such that each possible bingo has approximately the same probability.

# E.F.I.\* BINGO

"gee" 	buys the drinks 		not wearing black outfit 	makes the room laugh 
	"the heck..." 	>4 hour meeting 	cooks a meal in <20 mins 	sends an emoji 

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EFFLUENT FEAR INJURY

**Figure 1.** Incoming PhD students must complete a BINGO, defined by marking of five squares in a straight or diagonal line, before a PhD degree may be awarded. Squares with quotation marks indicate precise, standalone phrases that must be directed to you. If you are in doubt, it doesn't count--you'll know when you hear it. Pictures of Efi are free squares. The grid was designed to maximize entropy such that each possible bingo has approximately the same probability.

# Thanks to my extended family & sponsors

## PhD students

- Praveen Kumar (1993)
- Sanja Perica (1995)
- Alin Carsteau (1997)
- Venu Venugopal (1998)
- Deborah Nykanen (2000)
- Boyko Dodov (2003)
- Sukanta Basu (2004)
- Chandana Gangodagamage (2009)
- Paola Passalacqua (2009)
- Arvind Singh (2011)
- Vamsi Ganti (2012)
- Ardeshir Mo Ebtehaj (2013)
- Jon Czuba (2015)
- Jon Schwenk (2016)
- Mohammad Danesh (2017)
- Zeinab Takbiri (2018)
- Lawrence Vulis
- Antonios Mamalakis



## Post-doctoral fellows

- Victor Sapozhnikov
- Daniel Harris
- Shuxia Zhang
- Rohan Shreshtha
- Ian Iorgulescu
- Kurt Fienberg
- Bruno Lashermes
- Stefano Zanardo
- Mahesh Rathinasamy
- Zi Wu
- Yiannis Dialynas
- Amy Hansen
- Leichen Guo
- Anthony Longjas
- Simon Papalexiou
- Alex Tejedor
- Clement Guilloteau

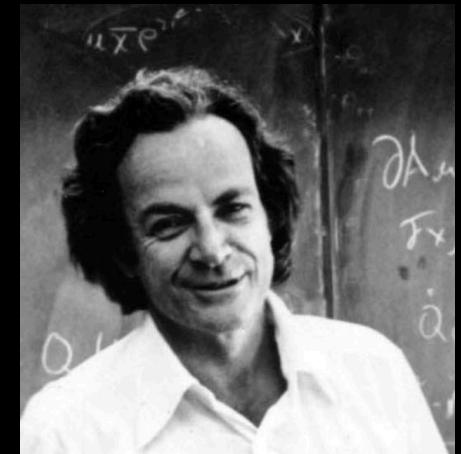
# Thanks to all my collaborators

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# THANK YOU!

“Study hard what interests you the most in the  
most undisciplined, irreverent and original  
manner possible”

Richard P. Feynman





# 2019-20 winter precip. prediction in Irvine?

